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THE MEANING OF ILLNESS: A PHENOMENOLOGICAL APPROACH
TO THE PATIENT-PHYSICIAN RELATIONSHIP

by

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ABSTRACT: This work provides a phenomenological account of the experience of illness and the manner in which meaning is constituted in the physician-patient relationship. Rather than representing a shared reality between physician and patient, illness represents two quite distinct realities - the meaning of one being significantly and qualitatively different from the meaning of the other. This difference in meaning has important implications for medical practice in terms of achieving successful communication between doctor and patient, alleviating the patient's suffering and devising maximally effective therapeutic interventions. In disclosing the manner in which the individual constitutes the meaning of his experience, the phenomenological analysis reveals that physician and patient constitute the meaning of illness from within the context of different "worlds" - each "world" providing its own horizon of meaning. The difference in perspectives between physician and patient reflects a distinction between meaning which is grounded in lived experience and meaning which is not so grounded (between the "natural attitude" and the "naturalistic attitude"). This distinction is evident in the manner in which both illness and body are experienced differently by physician and patient. In particular, the illness constituted by the patient is

distinct from, and cannot be identified with, the disease state constituted by the physician. A phenomenological analysis of body reveals that illness is fundamentally experienced by the patient as a disruption of the "lived body" rather than as a dysfunction of the biological body. This disruption of "lived body" incorporates the disorder of body, self and world and may include the disturbance of lived spatiality and lived temporality. Illness may cause the patient to objectify his body as a malfunctioning physiological organism but this conception of the body-as-object by the patient is significantly different from the physician's constitution of the body-as-scientific-object. There are certain essential characteristics which pertain to the lived experience of illness regardless of its idiosyncratic manifestation as a particular disease state. In recognizing these essential characteristics and in explicitly attending to the patient's meanings, the physician can effectively minimize the difference in understanding between himself and his patient.

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INTRODUCTION

THE PHENOMENOLOGICAL APPROACH

My interest in exploring the nature of the patient's and the physician's understanding of illness has grown out of my own experience as a multiple sclerosis patient. In discussing my illness with physicians, it has often seemed to me that we have been somehow talking at cross purposes, discussing different things, never quite reaching one another. This inability to communicate does not, for the most part, result from inattentiveness or insensitivity but from a fundamental disagreement about the nature of illness. Rather than representing a shared reality between us, illness represents two quite distinct realities - the meaning of one being significantly and distinctively different from the meaning of the other.

In this work I shall suggest that philosophical phenomenology provides the means to examine the nature of this fundamental disagreement between physician and patient in a rigorous fashion.¹ In particular, phenomenology discloses the manner in which the individual constitutes the meaning of his experience. In providing a phenomenological description, the phenomenologist is committed to the effort to begin with what is given in immediate experience (the "things themselves"), to turn to the essential features of what presents itself as it presents itself to consciousness, and thereby to clarify the

constitutive activity of consciousness and the sense-structure of experiencing.²

The phenomenological approach thus involves a type of radical disengagement, a stepping back from our immediate ongoing experience of everyday life in order to make explicit the nature of such experience and the essential intentional structures which determine the meaning of such experience. As such, phenomenology is an essentially reflective enterprise. The common sense world itself (and our experiencing of it) becomes the focus of our reflection. As Zaner points out, our attention shifts from that of engagement in the world to that of focal concern for the sense and strata of the very engagement itself.³ Rather than straightforward, unreflective absorption in the objects of experience, the phenomenological approach involves reflection upon experience. The task is to elucidate and render explicit the taken-for-granted assumptions of everyday life and, particularly, to bring to the fore man's consciousness-of the world. In rendering explicit the intentional structures of consciousness, phenomenological reflection thematizes the meaning of experience.

In order to describe phenomena as they present themselves directly in immediate experience (i.e. to pay heed to the "things themselves"), the phenomenologist attempts to effect a systematic neutrality. That is, he places in abeyance his taken-for-granted presuppositions about the nature of "reality," his commitments to certain habitual ways of interpreting the world. In particular, in the reflective attitude, the phenomenologist sets aside any theoretical

commitments derived from the natural sciences (for example, the causal-genetic mode of analysis) in order to describe what gives itself directly to consciousness.⁴ As Natanson explains with regard to Husserl's notion of bracketing or phenomenological reduction, what is disclosed in the reduction is the field of intentionality - the conscious processes of experiencing ("the noetic") and the objects of experience ("the noematic").⁵

To bracket the world is neither to deny its reality nor to change its reality in any way; rather, it is to effect a change in my way of regarding the world, a change that turns my glance from the "real" object to the object as I take it, treat it, interpret it as real. Within the natural attitude I attend to the object; in the phenomenological attitude I attend to the object as known, as meant, as intended ... The object continues to be in the real world as I do, but what now interests me, phenomenologically, is my awareness, my sense of its being in the real world. The object I reflect upon in the reduced sphere is the real thing as I've taken it to be real. Thus, "the" world is replaced by "my" world, not in any solipsistic sense, but only in the sense that "mine" indicates an intentional realm constituted by my own acts of seeing, hearing, remembering, imagining, and so on.

Merleau-Ponty notes that this radical reflection does not deny the existence of the physical, social and cultural world but rather reveals the "prejudices" and taken-for-granted presuppositions which are not explicitly recognized in our spontaneous, unreflective experience.⁶ Indeed, he maintains that the phenomenological reduction alone discloses the "setting of the world" which is presupposed at every moment of our thought.⁷

The philosopher, in so far as he is a philosopher, ought not to think like the external man, the psychophysical subject who is in time, in space, in society, as an object is in a container. From the mere

fact that he desires not only to exist but to exist with an understanding of what he does, it follows that he must suspend the affirmations which are implied in the given facts of his life. But to suspend them is not to deny them and even less to deny the link which binds us to the physical, social and cultural world. It is on the contrary to see this link, to become conscious of it.

With the methodological device of the reduction, the phenomenologist seeks to let what is given appear as pure phenomenon (the thing-as-meant) and to describe the essential features of such phenomena.

In order to arrive at the necessary and invariant features of phenomena, phenomenologists employ the method of "imaginative free variation."⁸ Free phantasy variation differs from empirical generalization in that, in the former, one explicitly endeavors to consider a range of actual and possible affairs as examples of some kind or sort in order to determine which characteristics intrinsic to the range of variations are invariant. As Zaner notes, "it is never a matter of trying to generalize ... it is rather a question of trying to determine what is invariantly common to (exemplified by) every actual and possible example of the kind in question."⁹

Casey explains that the phenomenologist may take an example of a given phenomenon which is either factual or fictitious as the point of departure. This example is then subjected to a systematic variation in imagination (free variation proper) until the essential structure of the phenomenon displayed in the example is made apparent.¹⁰ Casey further notes that free variation consists in one or more of three complementary moves: (1) the attempted removal of all significant

traits from the phenomenon in the example (i.e. imagine such traits as absent – those which cannot be removed are shown to be essential); (2) substitution of new traits (imagine different traits in place of those initially given as characterizing the phenomenon to see if the original traits can be replaced by others); and (3) the productive imagination of additional traits. By imaginative variation the phenomenologist seeks to discover the invariant features of the phenomenon he is investigating. The key point is that through imaginative variation, the phenomenologist is moving from fact to essence.

Merleau-Ponty notes that the intuition of essences is a grasping of universal meaning in and through contingent experience – the purpose being to grasp the sense of experience rather than simply living through experience. He notes that insight into essences rests simply on the fact that in our experience we can distinguish the fact that we are living through something from what it is that we are living through in this fact.¹¹ Insofar as I am able to grasp an intelligible structure that imposes itself on me whenever I think of an intentional object, then I go beyond the contingent fact and arrive at an insight into its essential features.¹² Furthermore, Merleau-Ponty insists that the field of ideality is necessary in order to give an account of meaning. In other words we must step back from our ongoing involvement in the world, in order to render the meaning of that involvement explicit.¹³

With its emphasis on direct givenness phenomenology is primarily concerned with elucidating the domain of unreflective, taken-for-granted lived experience. The examination of lived

experience discloses that it exhibits certain essential features. In particular, meaning is constituted in light of certain invariant intentional structures which characterize consciousness. Further, it is evident that there is a fundamental distinction between immediate lived experience and a naturalistic account of such experience.

In sum, then, the phenomenological approach includes the following: (1) the effort to elucidate the manner in which meaning is constituted; (2) the commitment to a radical reflection upon lived experience which requires (as a methodological device) the setting aside of theoretical commitments and taken-for-granted common-sense presuppositions in order to focus upon the "things themselves"; and (3) the attempt to uncover the essential features of phenomena and thereby to provide a rigorous description of such phenomena.

The phenomenological approach provides a means to render explicit the fundamental disagreement between physician and patient. In particular, such an approach focuses explicitly upon the phenomenon of illness and the manner in which meaning is constituted in the patient-physician relationship.

It must be emphasized that the phenomenological analysis of the constitution of meaning is in no way to be equated with empirical psychology.¹⁴ To do so is to misunderstand the nature of phenomenological method - particularly the phenomenological reduction which involves the setting aside of all the presuppositions about the nature of reality which are operative at the level of empirical science. That is, empirical science begins with (and remains within)

the "natural attitude," whereas phenomenology involves a radical disengagement from the "natural attitude" in order to analyze critically the nature of experiencing as such.¹⁵ Thus, whereas empirical psychology is concerned with psychological "facts," phenomenology is concerned to describe the essential features of consciousness and the eidetic structures which pertain to the constitution of meaning as such.¹⁶

The distinction between the eidetic (essential) features of an object, which are disclosed through phenomenological analysis, and its varying empirical features is important in the phenomenology of illness. What is particularly important in the case of illness is to recognize (with phenomenology) that lived experiences exhibit an eidetic structure (an essential way of being) and that one cannot fully understand the "fact" of a particular lived experience without grasping the eidetic structure embodied in the particular instance. For example, the primary experience of fear is a way of being (being-afraid) and to understand fully what a particular instance of fear means is to grasp not only the contingent "fact" of an individual's fearing but also the essential characteristics of being-afraid (in Merleau-Ponty's terms, to distinguish the fact that we are living through something from what it is that we are living through in this fact). In focusing on the phenomenon of illness, the task is to elucidate the essential features of the lived experience apart from the varieties of its concrete instantiations. The attempt to grasp the eidetic structure is what distinguishes a philosophy of illness from a

psychology.

In order to make explicit the fundamental disagreement between physician and patient with regard to the "reality" of illness, certain issues have to be addressed. One of these is the structure of intersubjective agreement - how is successful communication with another Self possible given that experience is essentially "mine," or how is a common world of meaning constituted in everyday life? What light does the analysis of intersubjectivity at the most general level shed on the problem of intersubjective agreement in the patient-physician encounter?

A further issue arises concerning the distinction between the immediate lived experience of illness and the conceptualization of illness as a disease state. What is the nature of this distinction? How do physician and patient experience illness differently?¹⁷

Further, since physical illness involves the body, an exploration into the difference in understanding between physician and patient must explore the manner in which the body is constituted in experience. In particular, in order to understand the nature of illness and the experience of the patient, it is necessary to focus upon the lived experience of being embodied. How does such experience manifest itself in normal circumstances? In illness? How does the patient's constitution of the body-in-illness differ from the physician's constitution of the diseased body?¹⁸

In what follows I shall suggest that phenomenology provides important insights into these issues and, thus, into the fundamental

disagreement between physician and patient. In particular, it will be noted that this fundamental disagreement is emblematic of a systematic distortion of meaning which occurs in the patient-physician encounter. This distortion of meaning relates not only to the nature of illness but also to the nature of the experience of the body. Rather than communicating on the basis of a shared set of assumptions, physician and patient routinely attempt to communicate with one another from within the context of different "worlds," each "world" providing its own horizon of meaning.

In clarifying the different perspectives of physician and patient, I shall show that this is not simply a matter of different levels of knowledge, as is often assumed to be the case, but that the difference in understanding is much more profound. In particular, I shall note that it is important to differentiate between the constitution of meaning which is grounded in immediate experience and the constitution of meaning which is not so grounded. Following Husserl, I shall make a distinction between the "natural" attitude which involves the immediate pre-theoretical experiencing of the world of everyday life, and the "naturalistic" attitude which involves an essential abstraction from immediate experiencing in favor of a theoretical, scientific account of the causal structure of such experiencing. This distinction is particularly important in the physician-patient relationship where the decisive gap between lived experience and the scientific account of such experience clash in a direct way with regard to the phenomenon of illness.

The decisive gap between lived experience and scientific explanation (which is disclosed in the phenomenological analysis) is at the root of the fundamental distortion of meaning in the physician-patient relationship - yet it is not generally explicitly recognized, nor is its impact on the patient-physician relationship well understood.¹⁹ I shall argue that, in fact, it has a major impact on the relationship. In particular, it will be shown that the lived experience of illness is quite distinct from the phenomenon of the disease state and that the two cannot be identified with one another. Thus, when physician and patient talk about "illness" they are not discussing a shared "reality."

An explicit recognition of this fundamental distinction between illness and the disease state is important for improving communication between doctor and patient. It also has significant implications for medical practice (implications which will be discussed in detail throughout the course of the analysis). For example, since the lived experience of illness is distinct from the phenomenon of the disease state, it is necessary that the physician explicitly attend to the lived experience when devising therapeutic goals. In this regard the phenomenological analysis of body provides the insight that illness is fundamentally experienced as the disruption of lived body rather than as the dysfunction of biological body. Thus, if therapeutic goals are to be optimally effective - and suffering is to be relieved - attention must be directed to this perceived lived body disruption rather than being exclusively directed towards the objective pathophysiology of the

disease state.

The phenomenological analysis carried out in this work indicates that the prevailing biomedical model of disease (which focuses exclusively on the dysfunction of the biological organism and the pathophysiology of the disease state) is an incomplete model for medical care. It will be suggested that an adequate model must include not only an understanding of illness in terms of clinically definable disease states but also an understanding of illness-as-lived. An expanded model will be proposed. Such a model will incorporate the essential characteristics of illness.

Although the major emphasis of this work has been to explicate the separate worlds of physician and patient, I shall argue that the phenomenological analysis also provides clues as to the manner in which a shared world of meaning may be constituted between them. It will be noted that the lifeworlds of physician and patient provide the starting point for mutual understanding of the illness experience. In particular, I shall argue that reflection upon the manner in which the body is constituted can provide the basis for empathic understanding of the "givenness" of illness. In addition, clinical narratives provide insights into the lived experience of illness.

Finally, it will be noted that the physician-patient relationship is a unique kind of "face-to-face" relationship in that it is grounded in the patient's experience of illness. A distinction will be made between "healing" and "curing" disease and it will be argued that "healing" requires the constitution of a shared world between physician

and patient.

NOTES

¹In this context I am not concerned to undertake a critical analysis of the work of any one phenomenologist but rather to show that there are genuine insights pertaining to the analysis of the physician-patient relationship which may be derived from the work which has been carried out by various phenomenologists and, further, to suggest that the phenomenological approach provides the means to carry out this analysis in a rigorous manner. At various points in the text I will identify important differences between these thinkers, as such differences bear on the problem under discussion.

²It may be noted that this description of the phenomenological enterprise is closer to Husserl's position than it is to, say, Sartre's position. In this respect I would argue that it is possible to distinguish between the phenomenological method (which is described in more detail in the text) and the use of the method by various phenomenologists to address specific philosophical problems. Husserl, for example, is concerned with the use of phenomenological method to establish philosophy as rigorous science, Heidegger with analyzing the question of Being, Sartre with developing a phenomenological ontology, Merleau-Ponty with providing a descriptive phenomenology of the Lebenswelt, and Schutz with providing a phenomenological description of the "natural attitude." Nevertheless, I believe that these thinkers (while differing in their use of phenomenological method) are united in

the attempt to provide a phenomenological description of the "things themselves" (such description demanding a type of radical reflection) and to the effort to elucidate the manner in which meaning is constituted.

³Richard M. Zaner, The Way of Phenomenology: Criticism as a Philosophical Discipline (New York: Western Publishing Company, Inc., 1970), 51.

⁴It should be noted that the phenomenological commitment to radical reflection distinguishes phenomenology from other philosophical approaches which appeal to "experience" (for example, those that begin with such constructs as "sense data," etc.) For a further discussion of this point see, Erazim Kohak, Idea and Experience: Edmund Husserl's Project of Phenomenology in Ideas I (Chicago: University of Chicago Press, 1978), 152-61.

⁵Maurice Natanson, Literature, Philosophy, and the Social Sciences: Essays in Existentialism and Phenomenology (The Hague: Martinus Nijhoff, 1968), 58-59.

⁶Maurice Merleau-Ponty, "Phenomenology and the Sciences of Man," in The Primacy of Perception, ed. James M. Edie (Evanston, Illinois: Northwestern University Press, 1964), 43-95.

⁷Merleau-Ponty, "Phenomenology and the Sciences of Man," 49; Merleau-Ponty argues that, because we are "through and through compounded of relationships with the world," the only way for us to become aware of that fact is to put such relationships "out of play"

and make them explicit. The reduction, he says, discloses the "facticity" of the world and brings to light our inescapable embeddedness in the world (our being-in-the-world or our being-towards-the-world). Maurice Merleau-Ponty, Phenomenology of Perception, trans. Colin Smith (London: Routledge and Kegan Paul, 1962), vii-xxi. It should be noted that, in this respect, Merleau-Ponty differs from Husserl in that the latter argues that the "bracketing of the world" ultimately discloses the "world" as the intentional correlate of transcendental subjectivity. Edmund Husserl, Ideas: General Introduction to Pure Phenomenology, trans. W. R. Boyce Gibson (London: Collier Books, 1962). In employing the reduction, Husserl notes that there are different levels of reduction – the ultimate being the transcendental level (the realm of pure consciousness) – and, in order to address the philosophical problems of epistemology, he finds it necessary to take everything back to the transcendental level. However, I would argue with Merleau-Ponty and Schutz that, whereas the phenomenological reduction is necessary in order to make explicit the intentional structures which determine meaning, it is possible to provide a rigorous phenomenological description of the "things themselves" without taking everything back to the transcendental level.

⁸Husserl, Ideas, 181ff.

⁹Richard M. Zaner, The Context of Self: A Phenomenological Inquiry Using Medicine as a Clue (Ohio: Ohio University Press, 1981), 193. For a helpful discussion on free phantasy variation, see Richard

M. Zaner, "The Art of Free Phantasy in Rigorous Phenomenological Science," in Phenomenology: Continuation and Criticism. Essays in Memory of Dorian Cairns, ed. Fred Kersten and Richard M. Zaner (The Hague: Martinus Nijhoff, 1973), 192-219; Richard M. Zaner, "Examples and Possibles: A Criticism of Husserl's Theory of Free-Phantasy Variation," Research in Phenomenology 3 (1973): 29-43; and Susan Bachelard, A Study of Husserl's Formal and Transcendental Logic, trans. Lester Embree (Evanston, Illinois: Northwestern University Press, 1968), 173-97. As Zaner notes, the key point is that the individual affair is taken as exemplifying some kind or sort and the phenomenologist's concern is for what-is-exemplified by that, or any other possible example. The concern is with types rather than tokens (with, for example, the triangle as such and not the illustration of a triangle in the textbook). See also, Maurice Natanson, Edmund Husserl: Philosopher of Infinite Tasks (Evanston, Illinois: Northwestern University Press, 1973), 67ff. For a discussion of the value of free-phantasy variation as a method for disclosing the invariant see, Zaner, The Context of Self, 242-49.

¹⁰Edward S. Casey, "Imagination and Phenomenological Method," in Husserl: Expositions and Appraisals, ed. Frederick A. Elliston and Peter McCormick (Notre Dame: University of Notre Dame Press, 1977), 75.

¹¹Kohak makes this same point when he argues that Husserl provides the insight that we always experience particular objects as embodying a "principle" which we can grasp separately. That is, we can not only reflect on but perceive our experience from both "a factual

and an eidetic viewpoint, from the standpoint of preoccupation with particulars as well as 'in principle,' focusing our awareness on the principle an instance embodies." Lived experiences are intelligible in that they have an eidetic structure (exhibit a typical way of being or, in Kohak's terms, "demonstrate a principle"). For instance, the experience of being-afraid has a "logic of its own" which can be grasped apart from a particular instance of an individual's fearing. Kohak, Idea and Experience, 13-22.

¹²Merleau-Ponty, "Phenomenology and the Sciences of Man," 55.

¹³Merleau-Ponty, Phenomenology of Perception, xiv.

¹⁴This is not to say, however, that such an analysis may not have implications for empirical psychology. In particular, the phenomenological analysis provides the means to clarify the concepts used by empirical psychology.

¹⁵All empirical sciences begin with the presupposition of the lifeworld as already given and amenable to their methods and theories. Therefore, they presuppose the kind of thing that phenomenology tries to elucidate - namely, the meaning structures through which our "coming to know" objects in the world is first of all made possible.

¹⁶For a helpful discussion of the methodological distinction between the empirical-positivistic tradition and the phenomenological approach see, Maurice Natanson, "Philosophy and Psychiatry," in Psychiatry and Philosophy, ed. Erwin W. Straus, Maurice Natanson and Henri Ey (New York: Springer-Verlag, 1969), 85-110. Psychology is a

positive science not a reflective discipline. As an empirical science psychology does not reflect critically on the origins or sources of the claims that it makes. Furthermore, empirical psychology involves a physicalistic interpretation of consciousness, whereas phenomenology is interested in describing the structure of consciousness, its essential character as the basis of perceptual reality.

¹⁷It should be emphasized that the only way to see the distinctions between the physician's and the patient's experience of illness is to set aside the predetermined theories of the positive sciences in order to make explicit the distinction between the lived experience of illness and the disease state.

¹⁸Once again, in order to answer the question "What is the body experienced as?", it is imperative to conduct such an inquiry without presupposing that the natural scientific account is the only legitimate account. In order to do that, it is necessary to engage in a radical phenomenological reflection which does not presuppose the orientation of natural science towards the reality of the object or events with which it deals. Furthermore, phenomenologists are committed to the position that not all meaningful questions are in principle capable of being answered by the methodology of the positive sciences.

¹⁹It should perhaps be noted that phenomenological approaches to the problem of illness are not altogether new. All such analyses emphasize that illness is intelligible as a lived experience – an experience which can be rigorously examined and elucidated. However, the phenomenological analysis carried out in the present work aims to

contribute in a more particular way in that it directly focuses on and clarifies the different perspectives of physician and patient which are often in conflict. For a work which takes an explicitly phenomenological approach to the problem of illness see, Victor Kestenbaum, ed., The Humanity of the Ill: Phenomenological Perspectives (Knoxville: The University of Tennessee Press, 1982).

CHAPTER ONE

THE SEPARATE WORLDS OF PHYSICIAN AND PATIENT

In this chapter I shall suggest that phenomenology provides a means of exploring the manner in which meaning is constituted in the patient-physician relationship. In particular, I shall argue that the work of Edmund Husserl and Alfred Schutz provides particular insights into the manner in which the individual actively constitutes the meaning of his experience.¹ In reflecting upon this constitution of meaning, one is led to differentiate between the private, egoistical world (the world of originary experiencing) and the common world (the intersubjective world in which understanding with others has been established and about which one can communicate). It is in the exploration of the concepts of "own world"² and "common world," in particular, that phenomenology can provide some insights into the manner in which meaning is constituted differently by physician and patient.

1. Own World

In his descriptive investigation of phenomena, Edmund Husserl paid particular attention to the manner in which the individual

experiences the world. He analyzed such experiencing in terms of the structuring activity of consciousness, and thereby disclosed an essential correlation between the perceiver and the object perceived (between myself-as-believer and the belief-as-believed-by-me). Thus, the world of immediate experiencing is necessarily unique.

In emphasizing the direct exploration of experienced phenomena, Husserl was concerned that we critically evaluate all our presuppositions about the world. He noted that we do not consciously reflect upon the manner in which we experience "reality." We simply take the "objectivity" of the familiar world for granted, rarely recognizing it to be a world always constituted by the activity of individual consciousness. Husserl called for a suspension of this natural attitude of taken-for-granted believing-in the world - a process he referred to as the phenomenological "reduction" or "bracketing." In performing the phenomenological reduction, the individual makes explicit the activity of experiencing itself. His concern is no longer with the object-as-such, but rather with the object-as-it-is-perceived or as-it-is-experienced.

In the course of his phenomenological analysis of experiencing, Husserl identified certain essential features (intentional structures) which characterize consciousness. Such features of consciousness include intentionality, focusing, horizon, temporality, and typification. It is in light of such invariant intentional structures that one may provide a rigorous analysis of the manner in which the individual constitutes the unique meaning of his experience.

Intentionality

According to Husserl the fundamental feature of consciousness is intentionality. All consciousness is necessarily a consciousness-of something. Consequently, one cannot talk about consciousness without referring both to the act of consciousness and the object of consciousness. Consciousness is directional in nature in the sense that to be conscious of an object is to be intente towards it (i.e. the nature of consciousness is such that it points towards its object). "All thinking is thinking of something, all willing is willing of something; all imagining is imagining of something."³ Thus, there is an essential correlation between the act of consciousness (an act such as perceiving, remembering, imagining, and so forth) and the intended object of consciousness, such that the object of consciousness is to be understood not as a "thing" but rather as a correlate of an intentional act. For example, I may now glance out of my window and perceive the tree to the right of the garden furniture. Later I may recall this same tree in memory, or perhaps spend some time imagining the tree as it will hopefully appear in a year or two. In each instance the intentional object, "tree" (i.e. the tree outside my window as it is intended by me) derives its sense from my intending act. In the one case the intentional object is the tree-as-perceived, in the others it is the tree-as-remembered or as-imagined. To consider the manner in which the meaning of this object is constituted within consciousness is, therefore, necessarily to take into account the whole interrelated complex of the experiencing ego, the intending act (in

Husserl's terms "noesis") and the intended object ("noema").

Focusing

Husserl thus noted that the manner in which an object is experienced is strictly correlative to the way in which an individual explicitly attends to, or focuses on, that object. In Husserl's terms the activity of consciousness renders the object "thematic."⁴ It is through such attentional focusing that certain aspects of the object are rendered explicit. One may, for example, focus on the color rather than the taste of a glass of wine. One may choose to attend to Elizabeth II as Queen of England, or as wife of Philip. The attentional focus that renders the object thematic varies. Additionally, one may thematize in a variety of modes - cognitively, valuationally, emotively, and so forth.⁵ The meaning of the object-as-experienced will change as the attentional focus varies.

In his phenomenological analysis of the social world, Alfred Schutz observes that ultimately just what the individual attends to depends upon his biographical situation and upon the complicated texture of choices, decisions and projects that make up his life plan.⁶ Experience is encountered, attended to, and rendered thematic in terms of the individual's unique situation; that is, in light of his own "special interests, motives, desires, aspirations, religious and ideological commitments."⁷ "The" world is valid according to the way it is defined in "specifically personal acts of perception, of remembering, of thinking, of valuing, of making plans ..."⁸

Horizon

The phenomenological analysis of experiencing further reveals that everything that is encountered is encountered as a "being-in-a-context."⁹

I see a tree as outside my window, next to the swings, behind the porch, in front of the hill – in short, as set off from a background of coperceived things (among them, my own body), and so on. More generally, I apprehend myself as located within a kind of zero-point, "Here" and "Now," my own living body, around which are concentric zones of "far" and "near." This is not only spatial and temporal, but also social, historical, economic, political, and so on.

No object is perceived as insulated but rather is comprehended as an object within a "horizon of familiarity and preacquaintanceship."¹⁰

As Schutz notes, the field of consciousness is structured into a "thematic kernel" which "stands out over against a surrounding horizon."¹¹ The horizon is constituted not only by perceptual experiences (e.g. the background of coperceived things) but also by one's former experiences which are preserved in memory or available within one's present stock of knowledge.¹² Thus the meaning of a particular object cannot be separated from the global field of meaning of the individual's world.¹³

Temporality

Husserl notes that a fundamental feature of consciousness is temporality. Not only is it the case that each particular consciousness-of something exhibits a temporal structure but

temporality is the unitary form binding all experiences within a single "stream of experience."¹⁴ The temporal aspects of the noetic-noematic structure are crucial in the constitution of the object-as-meant and in the constitution of intersubjectivity. In this connection, Husserl distinguishes between the consciousness of internal time (an aspect of the noetic structure) which synthesizes the object as a coherent whole, and its correlate, immanent temporality (an aspect of the noematic structure) by means of which everything is experienced as temporally ordered.¹⁵

As has been noted above, experiencing exhibits a horizontal structure. This horizontal structure is not only spatial but temporal. The ongoing stream of consciousness is such that every "now" perception is a temporal phase in a continuously flowing succession of present/just past/new "now" moments of perceiving. Nevertheless, objects are experienced as temporal unities. The "now" perception appears not as a discrete, isolated instant along a given time-line but rather as an integral part of a continuum - a continuum which incorporates not only the present now-point but those now-points which are just past, as well as future now-points which are to come. Every present moment of experience has about it a "fringe" of experiences (a moment just-past and a successive future moment) which are a part of the present consciousness of the object.¹⁶ In the constitution of this temporal unity, Husserl identifies a particular kind of memory - primary memory ("retention"). The enduring consciousness of the object is such that past temporal phases of the object are retained in primary

memory as a part of the present consciousness of the object. Furthermore, future phases are protended (or anticipated) in the now-consciousness.¹⁷ Carr notes that Husserl's analysis of internal time-consciousness provides the key insight that the temporal must be considered as a "field of occurrence" with past and future providing the horizons for the present. Temporal consciousness can be compared to "a gaze which spans or takes in the temporal horizons of future and past, against which the temporal object presents itself."¹⁸

Husserl's analysis of internal time-consciousness reveals a radical distinction between lived time and objective time. Lived time is the ongoing, immediate experiencing of the temporal phases of an object through the interplay of retentions and protentions which are evoked in the stream of consciousness. Objective time, on the other hand, is the time that can be measured by clocks, calendars, and so forth. Schutz notes that the distinction between lived and objective time is readily apparent when considering, for example, the experience of a person listening to music.¹⁹ While living through the ongoing flow of the music, the listener is not aware of objective time. It may come as a complete surprise to him that one movement in the music takes as much time (in the clock sense) as another movement. While experiencing the music, he is immersed in its ongoing flow, in the ongoing articulation of the musical piece. In living through the ongoing flow of internal-time consciousness, the individual lives in a dimension of time which is incomparable with that which can be measured according to the objective time scale. Other experiences are equally indicative of

the incommensurability of lived and objective time. "The hand of our watch may run equally over half the dial, whether we wait before the door of a surgeon operating on a person dear to us or whether we are having a good time in congenial company."²⁰

Typification

Following Husserl, Schutz notes that the individual experiences and interprets his familiar world by means of "typifications." That is, in everyday life, the individual encounters things always as examples of certain types.²¹

The factual world of our experience ... is experienced from the outset as a typical one. Objects are experienced as trees, animals, and the like, and more specifically as oaks, firs, maples, or rattlesnakes, sparrows, dogs. This table I am now perceiving is characterized as something recognized, as something foreknown and, nevertheless, novel. What is newly experienced is already known in the sense that it recalls similar or equal things formerly perceived. But what has been grasped once in its typicality carries with it a horizon of possible experience with corresponding references to familiarity, that is, a series of typical characteristics still not actually experienced but expected to be potentially experienced. If we see a dog, that is, if we recognize an object as being an animal and more precisely as a dog, we anticipate a certain behavior on the part of this dog, a typical (not individual) way of eating, of running, of playing, of jumping, and so on ... In other words, what has been experienced in the actual perception of one object is apperceptively transferred to any other similar object, perceived merely as to its type.

Such "typifications" comprise the individual's stock of knowledge by means of which he is able to interpret the totality of his experience, and they bestow upon the world of everyday life its quality of "taken-for-grantedness." As Zaner notes, it is by means of such

culturally and socially inculcated typifications that we encounter objects as familiar and habitually assume that things are going to be more or less as they have proven to be in the past. It is only when we come across something that does not conform to our typifications that we are called upon explicitly to notice it, and then our attention is directed towards interpreting it in light of our existing stock of knowledge.²² In other words, what is unfamiliar is recognized as being so because it is seen against the background of the familiar. Once the unfamiliar is encountered, the individual proceeds to adjust his stock of knowledge in such a way as to incorporate the novel into the already existing typified schema.

The typifications which comprise the individual's knowledge of the world (what Schutz has termed his stock of "knowledge-at-hand") are derived either from his own previous experiences, or are handed down to him by others such as parents or teachers.²³ For the most part they are socially and culturally inculcated.²⁴ From childhood on the individual continues to add to his stockpile of "typifications." Thus, the world of everyday life assumes a familiar quality which makes the prediction and control of experience possible.

However, although the "typifications" which comprise the individual's stock of "knowledge-at-hand" are, more often than not, socially derived, the way in which the individual ultimately interprets his common sense reality, given these "typifications," depends upon his own unique "biographical situation."

Biographical Situation²⁵

Each individual finds himself in a unique biographical situation within the social world. His situation is unique not only in terms of his actual physical environment, but also in the manner in which he arrives at his carefully constructed definition of reality. From the outset things are handed down from parents and teachers in such a way that "typifications" carry along with them a "sedimented" meaning which is different for each individual. Additionally, as the individual lives and acts in the world he amasses a store of subjective experiences, compiles a unique stock of knowledge-at-hand which is necessarily his alone and upon which he builds his further interpretations of reality.²⁶

Thus, the way common-sense reality is defined depends upon "the totality of the experience a person builds up in the course of his existence."²⁷ All that the individual encounters has significance for him in light of his own "special interests, motives, desires, aspirations, religious and ideological commitments."²⁸ Therefore, although his knowledge is comprised of "typifications" which are socially inculcated, the individuated expression of this knowledge, the interpretation of his experience, depends on the unique placement of the individual in the social world.²⁹

In this regard, it is important to note that the horizontal temporal structure of experiencing (which has been explicated above) is evident in the constitution of meaning in the individual's biographical

situation. As Schutz notes, the world is organized around the individual as center not only spatially but temporally (i.e. my actual "Now" is the origin of all time perspectives – past and future, sooner and later, and so forth).³⁰ Most importantly, Schutz points out that the actual present (a phase or element of the individual's unique biographical situation) transcends the Here and Now, in that the present incorporates the individual's recollections of the way things have appeared in the past and anticipations of the way they will appear in the future.³¹ This horizontal aspect of the constitution of meaning has already been noted in reference to typification. What is newly experienced is already known in the sense that it recalls similar or equal things formerly perceived. In addition, it carries a horizon of possible experiences (a series of typical characteristics still not actually experienced but expected to be potentially experienced). What is important to emphasize, however, is that the horizontal structure is intimately related to the individual's biographical situation (that is, his unique past experiences carry a sedimented meaning for each individual).

Not only is this horizontal temporal structure evident in such constitution of meaning but Husserl's analysis of time provides the key insight that this temporal structure pervades lived experience. The present is not an isolated instant along a given time-line but rather a present-now which is always experienced within the horizons of past and future. Carr makes the point that this temporal structure is evident at all levels of experiencing, from the most fundamental level of

pre-reflective sensory experience, through the level of simple actions, up to the level of complex sequences of action.³² Such horizontal temporal structure is also exhibited at the level of the life narrative.³³ The present is constituted in light of past experiences and future anticipations.

In summary, then, the individual finds himself always located within the everyday world, the world of immediate experience.³⁴ In order to render this world comprehensible, he interprets it in light of a meaningful structure which he imposes upon the "reality" he encounters. By means of intentionality and focusing, the individual attends to certain aspects of his experience which are always perceived against a background or horizon which includes his unique biographical situation and stock of knowledge-at-hand, and which incorporates the horizons of past and future.

Since all experience represents a correlation between the one who is experiencing and that which is experienced, and since the locus of meaning is grounded in the intentional activity of personal consciousness, the core of another person's experience cannot be immediately accessible to another. Everyone has exclusively his own phenomena which only he is capable of experiencing quite originally.³⁵ As Husserl notes the contents of another's world are, therefore, only available to me in an "appresent" manner.³⁶ I cannot experience them directly.

Each individual retains the essential core of his experiencing as a constituted world. In such a "world-for-me" things are not "the

in-themselves-existing things of nature – of the exact sciences with the definitive properties which alone are recognized by science as objective characteristics – instead they are experienced, thought, or otherwise posited things as such, intentional objectivities of the personal consciousness."³⁷

Nevertheless, although the individual experiences his world directly in a unique way, he perceives himself to be located in an intersubjective world, that is, to be living in the familiar world as a man among fellow men who share a relationship to a common world. He perceives himself to be an experiencing subject (for whom objects exist as correlates of his experiencing of them) among other experiencing subjects for whom he, himself, exists as an object.³⁸ Thus, he is at once subject and object in the world. In addition, he is a self-conscious being in that he is reflectively conscious of being both subject and object in an intersubjective world.³⁹

How is the intersubjective world constituted? And, particularly, how is a shared relationship to a common world possible given the unique nature of experiencing?

2. Common World

Schutz notes that the world of everyday life is from the outset an intersubjective world. The individual finds himself always within a historical, social, cultural environment, as a man among other men. Even his unique biographical situation is to some extent a shared situation. That is, his stock of knowledge-at-hand is for the most

part culturally and socially derived. The world is handed down as an interpreted world. As Schutz notes in the "natural attitude"⁴⁰ the individual takes for granted "the bodily existence of other men, their conscious life, the possibility of intercommunication, and the historical givenness of social organization and culture."⁴¹

Nevertheless, since all experiencing is necessarily unique, one can have only indirect knowledge of another's experience – such indirect knowledge being available through events in the outer world such as the Other's bodily gestures and linguistic expressions.⁴² In other words, while it is the case that I perceive the Other's body directly, I can have no immediate apprehension of his thoughts or experience. It is through the medium of bodily events (e.g. blushing, smiling), body movements (e.g. wincing, beckoning), bodily activities (e.g. walking, talking), as well as communication through language, that I interpret the Other's thoughts and experience.⁴³ Such forms of appresentational reference (bodily expressions and the communicative process) have the function of establishing a communicative common environment (a shared world of meaning) – at least to some extent although fully successful communication remains unattainable in principle given the unique nature of experience. There will always remain an inaccessible zone of the Other's private world which transcends my possible experience.⁴⁴

Schutz notes that certain idealizations – the idealization of the interchangeability of standpoints and the idealization of the congruency of the system of relevances – are presupposed if a shared

world of meaning (a communicative common environment) is to be established. That is, a world of common objects is made possible by such idealizations and they are thus the basis for communication.⁴⁵ In the ordinary course of events the "same" object means something different to myself and to my fellows in that each of us experiences the object from a different perspective spatially and in light of unique biographical situations, different purposes at hand and different systems of relevances. Common sense thinking overcomes these differences in individual perspective by means of two typifying constructs which Schutz has called "the general thesis of reciprocal perspectives." This general thesis is comprised of two idealizations:⁴⁶

(i) The idealization of the interchangeability of the standpoints: I take for granted – and assume my fellow-man does the same – that if I change places with him so that his "here" becomes mine, I shall be at the same distance from things and see them with the same typicality as he actually does; moreover, the same things would be in my reach which are actually in his. (The reverse is also true.)

(ii) The idealization of the congruency of the system of relevances: Until counter evidence I take it for granted – and assume my fellow-man does the same – that the difference in perspectives originating in our unique biographical situations are irrelevant for the purpose at hand of either of us and that he and I, that "We" assume that both of us have selected and interpreted the actually or potentially common objects and their features in an identical manner or at least an "empirically identical" manner, i.e., one sufficient for all practical purposes.

Both idealizations are "typifying constructs of objects of thought which supersede the thought objects of my and my fellow-man's private experience."⁴⁷ It is largely due to the operation of these constructs

of common-sense thinking that the private world of immediate experiencing is rendered into a common world shared with other fellow men.

In sum, then, the common world is constituted in the encounter with other individuals through the establishment of a communicative common environment. Such a communicative common environment is possible because the familiar world is interpreted by means of "typifications," a stock of knowledge-at-hand which is socially and culturally derived, and in light of the "general thesis of reciprocal perspectives." Successful communication thus presupposes a certain taken-for-granted congruence in the presentational and interpretational schemes of the communicators.⁴⁸ As Schutz notes with regard to communication:

(1) since the sign used in communication is always preinterpreted by the communicator in terms of its expected interpretation by the addressee, communication presupposes that the interpretational scheme which the communicator relates and that which the interpreter will relate to the communicative sign in question will substantially coincide;⁴⁹

(2) full identity of the interpretational schemes of communicator and interpreter is impossible (because such interpretational schemes are determined by the unique biographical situations of each of them). Successful communication is, therefore, possible only between persons who share a substantially similar system of relevances. The greater the differences between the systems of relevances, the fewer are the

chances for successful communication.⁵⁰

(3) to be successful the communicative process must involve a set of common abstractions or standardizations. Typification is a form of abstraction which provides the basis for standardization within common-sense thinking. Typification occurs within the prepredicative sphere of experience (i.e. prepredicative experience is organized from the outset under certain types).

3. Separate Worlds of Physician and Patient

The foregoing phenomenological analysis of "own world" and "common world" provides important insights into the constitution of meaning in the context of the physician-patient relationship. In particular, such an analysis reveals that there is a systematic distortion of meaning in the physician-patient relationship. Rather than representing a shared "reality" between physician and patient, illness represents two quite distinct "realities" - the meaning of one being significantly and qualitatively different from the meaning of the other.

A consideration of such phenomenological concepts as focusing, the naturalistic attitude, temporality, and relevance reveals that the physician and patient constitute illness from within the context of separate worlds, each world providing its own horizon of meaning. Furthermore, it becomes clear that the experience of illness is such that it is particularly difficult to constitute a communicative common environment (a shared world of meaning) between physician and patient.

Focusing

As Husserl has noted, the manner in which an object is experienced is strictly correlative to the way in which an individual attends to it. The activity of consciousness renders the object "thematic." Such attentional focusing determines the meaning of illness. The patient and physician are motivated to attend to different aspects of the experience, and each thereby renders it thematic in a qualitatively distinct manner. The physician is trained to see illness essentially as a collection of physical signs and symptoms which define a particular disease state. He thematizes the illness as being a particular case of "multiple sclerosis," "diabetes," "peptic ulcer," and so forth. The patient, however, focuses on a different "reality." He does not "see" his illness primarily as a disease process. Rather, he experiences it essentially in terms of its effects upon his everyday life. Thus, whereas the physician sees the patient's illness as a typical example of a disease, the patient attends to the illness for its own sake. This is an explicitly different focus. Whenever one considers something as an example, it is not considered for its own sake, but only insofar as it exemplifies something other than the affair itself.⁵¹

The motivation for focusing is intimately related to the individual's placement within the familiar world. In the practice of a profession certain "habits of mind" develop that provide a horizon of meaning by means of which reality is interpreted. Such "habits of mind" are in many ways peculiar to the profession that utilizes them.

They represent a distinct approach to the world and compose the culture of a profession.⁵²

"Habits of mind" in a real way determine the manner in which an object is rendered thematic. For example, the professional art critic and the ordinary man-in-the-street will look at a painting differently. The art critic will be influenced by certain "habits of mind" that are a function of his profession. He may be preoccupied with the technique of the artist, the explicit use of color, and so forth. These "habits of mind" will, to a large extent, determine what he will "see" and the way in which the sense of the object is made explicit. His experiencing will, therefore, be quite different from that of the untrained individual. Indeed, it may be difficult for them to converse together about the same painting in anything other than a very superficial manner.

The scientific "habit of mind" likewise determines the manner in which an object is rendered thematic. It provides a horizon of meaning, a motivation for focusing, and a means of constituting "reality." However, the scientific interpretation is quite distinct from other interpretations of "reality." In particular, it is quite different from the immediate experiencing of that "reality" in the everyday world.⁵³

Natanson argues that the world of immediate experience has a certain precedence over the derivative world of science. We first of all experience the world in its immediacy. Only in reflection and abstraction may we then thematize our experience in terms of

theoretical, scientific constructs. Even then, as Natanson notes, there is a "decisive gap" between one's immediate experiencing of the world and the theoretical, scientific account of the causal structure of such experiencing.⁵⁴

A study of Helmholtz's *Physiological Optics* tells me nothing about the visual experience I have in its qualitative immediacy ... my color world is first of all mine; it is not mediated by expert knowledge of its conditions, nor is the theory of vision in any way relevant to its presentational validity. It is only in a derivative sense that the case of my color experience falls under the general scientific category of visual perception. In one sense, then, my color world is a privileged one: the total scope and content given in it possess an experiential depth that is independent of subsequent theoretical explanation.

Natanson points out that what holds for vision holds for the entire world of immediate experience.

This "decisive gap" between the world of immediate experience and the world of science manifests itself concretely in the experience of illness. The patient encounters his illness in its qualitative immediacy. The categories that he uses to define his illness are primarily concerned with everyday life and functioning.⁵⁵ The physician, on the other hand, may categorize the patient's illness solely in terms of scientific constructs; that is, according to the prevailing "habits of mind" of the medical profession that render the illness thematic in terms of "objective," quantifiable data. Indeed, it is often assumed by the physician that such clinical data exclusively represent the "reality" of the patient's illness. As Cassell notes, on being presented with a sick person doctors do not attempt to find out what is the matter but, rather, attempt to make a

diagnosis. This is not the same thing. As Cassell points out "diagnoses are relatively sharply defined name diseases that are believed to exist when certain criteria are met by the patient's history, physical examination, or laboratory or other tests."⁵⁶ In the event that such objective criteria are not met, from the physician's point of view there is no illness. But the patient nevertheless still feels ill.

It is worth noting that when physicians themselves become patients they immediately become aware of the "decisive gap" between the qualitative immediacy of their own experience of illness and any subsequent scientific explanation in terms of disease.⁵⁷ Physicians who speak of their experience as patients note this change in experiencing and say they have great difficulty discussing their illness with colleagues.⁵⁸ What they fail to recognize is the difference in thematizing. Their colleagues are thematizing the illness according to the "habits of mind" of the profession, whereas they, as patients, are responding to the illness-as-lived.

The Natural and the Naturalistic Attitude

The foregoing distinction between the world of immediate experience and the derivative world of science has been further explicated by Husserl in terms of the distinction between the "natural attitude" and the "naturalistic attitude." In the "natural attitude" the world itself is not made explicitly thematic as an object. Rather we ordinarily take the existence of the world (and the objects within

it) for granted and act in the world in a pragmatic fashion according to our subjective and selective interests.⁵⁹

That is, we find ourselves always within the world of immediate experience. It is presupposed and pregiven in all that we do and we take its validity for granted without explicitly investigating the world as world. The "natural attitude" is prior to all scientific intent and activity.⁶⁰ In the "naturalistic attitude," however, the intent is to thematize the world as "object" and to consider the world itself as a scientific theme. The aim in the "naturalistic" (or scientific) attitude is to grasp the nature of "reality" and to describe such "reality" in terms of some "objective" description which will accurately characterize the "thing-in-itself" apart from one's experiencing of it.⁶¹

As Natanson has indicated in the above distinction between the world of immediate experience and the world of science, there is a fundamental difference between the "natural" and the "naturalistic" attitude. In conceptualizing the patient's illness in terms of objective, scientific constructs, the physician adopts the "naturalistic" attitude. In adopting the "naturalistic" attitude the physician, in effect, reifies the illness and conceives of it as an objective entity - a disease state. That is, the purpose in the "naturalistic" attitude is to grasp the patient's illness as a pathological "fact." As Baron has noted, the prevailing commitment to accurate diagnosis of disease - which is the hallmark of the modern physician - turns on the notion that there is a pure disease state

which is, ideally, distinct from the patient.⁶² Thus, the patient is seen as a kind of "translucent screen" on which the disease is projected.⁶³ In consequence, in the "naturalistic" attitude, the patient's subjective experiencing of illness is discounted in favor of an objective, quantitative account of a disease state. As Foucault comments:⁶⁴

In order to know the truth of the pathological fact, the doctor must abstract the patient ... Paradoxically, in relation to that which he is suffering from, the patient is only an external fact; the medical reading must take him into account only to place him in parentheses. Of course, the doctor must know "the internal structure of our bodies"; but only in order to subtract it, and to free to the doctor's gaze "the nature and combination of symptoms, crises and other circumstances that accompany diseases." It is not the pathological that functions, in relation to life, as a counternature, but the patient in relation to the disease itself.

Thus, disease, as it is conceived within the "naturalistic" attitude, represents an abstraction from the immediate lived experience of the patient.

Temporality

With regard to the lived experience of illness, it is important to recall the distinction between lived (or subjective) time and objective time. The patient experiences his illness in its immediacy in terms of the ongoing flow of "lived" time. If one is in pain, for example, each flicker of pain does not represent a discrete, atomic instant along a time-line but rather a continuum of discomfort in which past and future pains coalesce into a stagnating present. As Schrag

notes with regard to this temporality:⁶⁵

The moments of pain ... do not follow the regular and ordered sequence of seconds and minutes that are marked off by the swing of a pendulum or the ticking of a clock. Clock time is isotropic. The values of its units are uniform. The time of one's being in pain is anisotropic. Its values vary with the intensity of the pain, the accompanying emotional weight, and the press of concerns at hand.

Illness as it is "lived through" is experienced as an ever-present, enduring consciousness of disorder which resists measurement in terms of objective time. In his preoccupation with the here and now, the person who is ill pays little attention to clock time. Minutes may seem like hours, hours like days.⁶⁶ The person who is ill is like Schutz's beholder of the musical piece. Just as the beholder of the musical piece has little awareness of clock time while listening to the music, and hence he may be surprised later to learn that one movement takes exactly as much clock time as another, so the person who is ill has little awareness of clock time as he is actually living through his discomfort.

The physician, on the other hand, uses the objective time scale to measure the physical events and biological processes which define the patient's illness as a disease state (and to plan therapeutic interventions). Consequently, physician and patient are constituting the temporality of illness and the disease state according to two different and incommensurable time dimensions.⁶⁷

Relevance

Schutz has emphasized that what the individual attends to depends upon the project in which he is engaged and the system of relevances that are a function of his life plan. In particular, Schutz notes that the world of everyday life is governed by the pragmatic motive. As such it is organized into strata of major or minor relevance. The individual selects as being of primary importance those objects which actually are or will become in the future possible ends or means for the realization of his projects, or which are or will become dangerous or enjoyable or otherwise relevant to him.⁶⁸ Furthermore, Schutz argues that the overall system of relevances within the practical sphere of everyday life is governed by what he has termed the "fundamental anxiety" – "the basic experience of each of us: I know that I shall die and I fear to die."⁶⁹

While engaging in the scientific project, the scientist adopts a system of relevances which are governed by "stating the problem at hand."⁷⁰ In "stating the problem at hand," the scientist defines what is considered relevant and guides the process of inquiry. Consequently, his system of relevances changes. What is relevant to him in his scientific work may be quite irrelevant in his daily life, and vice versa.

In attending to the experience of illness the physician does so in light of his scientific training and the goals of his profession. In so doing, he focuses on the disease process itself. Consequently,

the clinical data are of highest relevance to him. However, the patient is less concerned with the objective clinical data. What is most relevant to him is the effect the illness will have upon his life.

Tolstoy has captured this shift in orientation in The Death of Ivan Ilych.⁷¹

To Ivan Ilych only one question was important: Was his case serious or not? But the doctor ignored that inappropriate question. From his point of view it was not the one under consideration, the real question was to decide between a floating kidney, chronic catarrh, or appendicitis ... All the way home [Ilych] was going over what the doctor had said, trying to translate those complicated, obscure, scientific phrases into plain language and find in them an answer to the question: "Is my condition bad? Is it very bad? Or is there as yet nothing wrong?"

Walker Percy has elaborated on this distinction by suggesting that one may differentiate between "knowledge sub specie aeternitatis" (knowledge that can be arrived at anywhere by anyone at any time, e.g., the boiling point of water) and "news" that expresses a "contingent and nonrecurring event or state of affairs which ... is peculiarly relevant to the concrete predicament of the hearer of the news."⁷² The significance of a statement for an individual will depend upon his situation. "To say this," says Percy, "is to say nothing about the truth of sentences. Assuming that they are all true, they will have a qualitatively different significance for the reader according to his own placement in the world."⁷³ For example, the castaway on a desert island and the individual in the midst of civilization will react differently to the statement "there is water over the next hill."

While the statement may be of momentous import to the one, it may be of little interest to the other.

The scientist has abstracted from his own existential situation in order to achieve objectivity. What is significant to him as a piece of "knowledge" may be significant to another as a piece of "news"; that is, the information may have a peculiar relevance for the other's concrete predicament in the world. Such is the case in the patient-physician encounter. The clinical data represent "news" to the patient and "knowledge" to the physician. Each, therefore, reacts to the information in a distinctly different manner.

In this regard Cassell notes that the patient is both experiencer and "assigner of understandings."⁷⁴ The meaning of illness to a particular patient will depend upon "the collectivity of his meanings" - a collectivity that is necessarily a function of his biographical situation. Thus, an experience of pain might be interpreted by one patient as a possible heart attack and by another as merely indigestion. The significance of the pain to the particular patient will depend upon his life history and the personal meanings constituted within that life history. Likewise the significance of the clinical data to the particular patient will depend upon his unique biographical situation. A clinical diagnosis may be regarded as "terrible" by one patient, and as merely "inconvenient" by another. Each reacts to the "news" of the diagnosis according to its peculiar relevance to his concrete situation within the world. In this connection it will be recalled that the present is constituted in light of past experiences

and future anticipations which relate to the individual's unique life plan.

As Cassell points out, the physician is also an "assigner of understandings" in that he takes the patient's subjective report of illness and reinterprets it in terms of his own understanding of disease processes.⁷⁵ That is, in attending to the patient's account of the lived experience of his illness, the physician interprets this account in terms of his knowledge of physiology, anatomy, and so forth (i.e. the patient's lived experience is placed within the "naturalistic attitude") in order to determine therapeutic interventions. This assignment of meaning on the part of the physician will, however, be quite different from the patient's assignment of meaning.

The physician defines the "problem at hand" in light of certain goals of medicine: diagnosis, treatment and prognosis. These goals appear to be shared with the patient. However, as Baron notes, the patient defines the "problem at hand" in terms of different goals.⁷⁶ What the patient seeks is explanation, cure and prediction. This is not the same thing. The patient's goals relate to the qualitative immediacy of his illness. They represent an attempt to integrate the experience into his daily life. In seeking explanation, the patient seeks a validation of his experience, a means reasonably to account for his feeling that something is wrong ("You have a pain because you have gallstones.") If no explanation is forthcoming ("Your tests are negative. I can't find anything wrong with you.") the patient is at a loss as to how to make sense of his illness. In seeking a cure, the

patient anticipates a perfect restoration of health, a return to the way things were before he became ill. In asking for a prognosis, the patient expects a prediction of what is going to happen to him personally.

Baron suggests, however, that the physician's goals of diagnosis, treatment, and prognosis usually represent "derivative or secondary goals."⁷⁷

Diagnosis for us is categorization (for example, acute promyelocytic leukemia or acute myelomonocytic leukemia), not explanation. Treatment virtually never results in cure, if only because treatment itself usually has an effect on people's lives, altering "the way things were before." Prognosis is always statistical and in that sense rarely tells a particular person what will happen to him or her.

Since the "problem at hand" is defined differently by patient and physician, according to goals that relate to their separate worlds, they do not share a system of relevances with respect to these goals. It is clear, for example, that the system of relevances of the patient is governed in a very explicit way by the "fundamental anxiety." The experience of illness is such that the individual comes face to face with his own inherent vulnerability. He reacts to the "news" of his illness accordingly. The physician, on the other hand, is attending to the patient's experience of illness according to the system of relevances which are a function of his scientific training and the goals of his profession. In this respect the physician prescind from the fears and anxieties of the patient and focuses upon the clinical data as "knowledge" rather than "news," in order to determine what

actions or medical interventions may be possible. Consequently, most physicians tend to act upon a narrower range of goals than the patient and to address a sub-set of the patient's concerns.⁷⁸

Communicative Common Environment

In considering the manner in which patient and physician constitute the meaning of illness differently, it is thus important to note that the experience of illness is such that the factors which Schutz has identified as integral to a common understanding no longer provide the means to constitute a "common world." The patient and physician find it difficult to communicate about the experience of illness on the basis of a shared set of assumptions.

As Schutz notes, to be successful the communicative process must involve a set of common abstractions or standardizations. Typification is a form of abstraction which provides the basis for standardization within common-sense thinking. Indeed, the individual ordinarily interprets his daily life in light of "typifications" which make up his stock of knowledge-at-hand and which render experience predictable and controllable. By means of such naive "typifications" the familiar world assumes a quality of taken-for-grantedness such that one expects things will continue more or less as they have proven to be in the past. This taken-for-grantedness permeates the fabric of daily life and it is on the basis of a "typified" stock of knowledge-at-hand that a shared world of experience is possible. In particular, shared typifications provide the ground for successful communication.

In the patient-physician encounter it is often the case that doctor and patient do not communicate on the basis of a shared set of typifications. In the first place the lived experience of illness is such that, whereas the doctor interprets the patient's illness as a typified instance of a particular disease state, the patient encounters his disorder as a unique personal event. As has been noted earlier in this work, this is an explicitly different focus. Typification involves grasping an object as an example of a certain type (e.g. as a tree, an automobile, a mountain, and so forth). Thus, to grasp a particular instance of illness as a typification is to consider it not in its uniqueness but rather as it exemplifies something other than itself (e.g. as a typical case of diabetes, chicken pox, measles). In living through his illness the patient does not experience his bodily disorder as simply exemplifying a typified instance of disease. Rather, he experiences it in the unique manner in which it impacts upon his particular life situation.⁷⁹ The temporality of lived experience is important in this respect. To grasp something as a typification is to consider it apart from its ongoing constitution in lived time.⁸⁰

Furthermore, the existential impact of illness is such that the patient may find it difficult readily to interpret the experience in terms of those "naive" typifications which ordinarily make up his stock of knowledge-at-hand. This is perhaps especially the case if the illness comes on unexpectedly or if it appears to be serious in nature. In the experience of illness the taken-for-granted quality of daily life is called into question. What is primarily threatened is

the integrity of the self (one's own self), and this most fundamental loss of wholeness (this ontological threat) cannot readily be interpreted in terms of the individual's stock of knowledge-at-hand, that is, in terms of his "typifications." The most deeply held taken-for-granted assumption of his daily life is the assumption that he, personally, will continue to be alive and it is in light of this assumption that he engages in his daily life.⁸¹ In illness the individual suddenly finds himself concretely face-to-face with his personal vulnerability. The loss of control that is intrinsic to the experience of illness is accompanied by an acute awareness of the unpredictability of the familiar world. It can no longer be assumed that things will continue much the same as they have in the past. Thus, the person who is ill finds his prior assumptions about the familiar world, his stock of knowledge-at-hand, to be strangely inadequate for interpreting his existential crisis. He is unable readily to fit his illness into the typified schema he uses to organize and interpret his experience.⁸² Since communication with others is founded on the shared "typifications" of the familiar world, the person who is ill often finds himself unable successfully to communicate his experience to others.⁸³

The physician, on the other hand, IS able to interpret the illness of the patient in terms of his own stock of knowledge-at-hand and he may be unaware that the patient does not conceive of his illness as a typification. Moreover, the typifications which the physician uses to characterize the patient's illness are significantly different

from those naive typifications which characterize daily life. The doctor, as does any specialist, acquires through his training a whole new set of types.⁸⁴ Such scientific typifications are characteristic of what Schutz has called an "autonomous province of knowledge."⁸⁵ Autonomous provinces of knowledge are to be distinguished from general knowledge (i.e. knowledge routinely transmitted to everyone and, in principle, accessible to all) in that the acquisition of such specialized knowledge requires complicated learning sequences which precede its acquisition. Consequently, such specialized knowledge is only readily available to "experts."⁸⁶ Thus, not only is it the case that the physician sees the patient's illness as a typification, but further that he conceives of it in terms of scientific typifications - the latter being significantly different from the types used by the patient in everyday life.

While it is the case that the patient always lives through his particular illness in its uniqueness, at the reflective level he may typify certain aspects of the illness experience. One might, for example, note that a feeling of feverishness, a sore throat, and a general ache in one's muscles is "typical" of the onset of the 'flu.⁸⁷ Indeed, as a multiple sclerosis patient, I can conceive of certain of my permanent and ongoing disabilities (such as loss of equilibrium, gait disturbance, and so forth) as "typical" of my disorder. Nevertheless, it should be noted that these typifications still relate in large part to my unique experience of the illness (rather than representing for me typified instances of an abstract, objective

disease process). The loss of equilibrium manifests itself as "typical" of my experience of M.S. in the sense that I must typically hold on to furniture or touch walls as I make my way around the house. My gait disturbance is "typical," not only in that many M.S. patients experience such disturbance, but in the sense that my illness incorporates a "typical" way of "being-in-the-world" for me - a way of being in which walking is effortful, uncoordinated, and accomplished only with the aid of crutches or a walker.

In considering the communicative process between doctor and patient, then, it is important to recognize the role of typification in achieving successful communication. To what extent do patient and physician share a set of common abstractions or standardizations? Obviously, to some extent doctor and patient share a set of typifications (i.e. prescientific typifications) on the basis of which the patient attempts to describe his experience of bodily disorder and the physician begins the diagnostic process. That is, the patient attempts to describe the atypicality of his experience in terms of its deviation from typical ways of being and the physician attempts to grasp this atypicality in a "naive" way prior to interpreting it in light of his scientific knowledge. As Schwartz and Wiggins have noted, the physician achieves his understanding of illness on the basis of prescientific typifications (e.g. the scientific notion of emphysema presupposes an ordinary understanding of breathlessness).⁸⁸ In adopting the scientific attitude, however, the physician moves to the level of scientific typifications (typifications which are not normally shared

by the patient) and conceptualizes the patient's illness as representing a more or less typified instance of an objective, disease process. At this level patient and physician no longer communicate on the basis of a shared set of typifications. Furthermore, though he attempts to describe it in typified terms, the patient always experiences his illness in its uniqueness.

In communicating about illness, however, patient and physician assume that they are discussing a shared reality, a common object. This assumption is made on the basis of the two idealizations of the "general thesis of reciprocal perspectives." Through the idealization of the "interchangeability of the standpoints" the individual takes for granted - and assumes that his fellow man does the same - that if they were to change places then each would see essentially what the other now sees. Through the idealization of the "congruency of the system of relevances" the individual takes for granted that the difference in perspectives originating in the unique biographical situation of himself and his fellow man is irrelevant for the purpose at hand, and that both he and his fellow have selected and interpreted common objects in an identical manner or, at least, an "empirically identical" manner sufficient for all practical purposes.

Thus, the patient and physician both assume that, in communicating about the illness, they are doing so on the basis of a shared understanding, that they are interpreting illness in an "empirically identical" manner. The patient takes for granted that the physician recognizes his illness, as he does, as primarily and

essentially a threat to his being. The physician assumes that the patient understands the disease (albeit incompletely) in terms of the "objective" clinical data. Thus, the constructs of common sense thinking, rather than enabling the patient and physician to share a common "reality" tend to deepen the chasm between their separate worlds.

This failure of the "interchangeability of standpoints" is not, however, simply a matter of disparate interpretations of a common object. In a more fundamental respect the lived experience of illness is such that it cannot represent a common object. Illness is, first and foremost, a subjective experience. As such, it is an inner - rather than an outer - event which, in large part, cannot be shared with another. It is, for example, by no means evident that if I changed places with you, then I would have substantially the same experience as you are having when it comes to such inner experiences as pain. For where is pain located? It is not an object like a cup on the table which you and I may both perceive and which (if we were to change places) we would presumably perceive in essentially the same manner.⁸⁹ There is, thus, an unshareability characteristic about illness which derives from its being an inner, rather than an outer, event.⁹⁰ Indeed, as Scarry has noted, there seems to be no language adequate for communicating such inner events as pain to another who does not share that inner event.⁹¹

[W]hen one speaks about "one's own physical pain" and about "another person's physical pain," one might almost appear to be speaking about two wholly distinct orders of events. For the person whose pain it is, it is

"effortlessly" grasped (that is, even with the most heroic effort it cannot not be grasped); while for the person outside the sufferer's body, what is "effortless" is not grasping it (it is easy to remain wholly unaware of its existence; even with effort, one may remain in doubt about its existence or retain the astonishing freedom of denying its existence; and, finally, if with the best effort of sustained attention one successfully apprehends it, the aversiveness of the "it" one apprehends will only be a shadowy fraction of the actual "it").

This unshareability aspect of illness results not only in the failure of the "interchangeability of standpoints" but also in an incongruence in the appresentational schema of physician and patient. In some sense it appears that in the communicative process between doctor and patient language appresents two distinct entities. For the patient language is intended to appresent (albeit inadequately) the inner event of his illness; for the doctor language appresents the disease lurking behind the patient's subjective experience. That is, the object for which the language is presumed to be an appresentational reference is not a common object.

An important factor that contributes to the unshareability aspect of illness is the incommensurability of inner and outer time (or lived and objective time). The patient must describe his illness in terms of outer time (since this is the common language for time). Yet he experiences his illness in its immediacy in terms of inner time. The reference to outer time represents an interpretive scheme imposed upon the lived experience. The necessity for using the objective time scale as a means for communicating the lived experience of inner time creates difficulties for the person attempting to communicate the experience of illness. It is often hard for the patient to gauge the duration of

alien body sensations when he is living through such sensations.

As Schutz has noted, successful communication also presupposes a certain taken-for-granted congruence in the interpretational schemes of the communicators; that is, the communicator assumes that the interpreter will interpret his communicative sign in substantially the way that he interprets it. In the doctor-patient relationship this assumption is problematic since the communicator (the patient) is intending his sign to relate to his subjective experience of illness and the interpreter (the physician) is interpreting it as a sign relating to disease. As Schutz indicates, full identity of the interpretational schemes is impossible in principle (since such interpretational schemes are determined by the unique biographical situation of the communicators). Any successful communication is, thus, dependent upon the communicators sharing a substantially similar system of relevances. As has been noted earlier, however, doctor and patient do not share a system of relevances with regard to the patient's illness.

In sum, then, the foregoing phenomenological analysis reveals that there is a systematic distortion of meaning in the doctor-patient relationship. In everyday life a common world is ordinarily constituted through the establishment of a communicative common environment. Such a communicative common environment is possible because certain constructs of common sense thinking and such factors as shared typifications, congruent interpretational schemes, and substantially similar systems of relevances to a large extent overcome

differences in individual perspectives. The failure of the general thesis of reciprocal perspectives (which is grounded in the unshareability aspect of illness) and the incongruence between the typificational, interpretational and appresentational schema of doctor and patient present particular difficulties for the establishment of a shared world of meaning. Such difficulties must be explicitly recognized if a common world between doctor and patient is to be successfully constituted.

4. Implications for Medical Practice

The phenomenological analysis of the constitution of meaning provides some practical insights for those engaged in medical practice. In the first place, such an analysis reveals that the difference in perspectives between physician and patient is much more profound than generally recognized. It becomes clear that such a difference in perspectives is not simply a matter of varying levels of knowledge – as is often assumed to be the case – but rather such a difference is grounded in the fundamental distinction between lived experience and scientific conceptualization. The patient necessarily experiences his illness in its immediacy. To the extent that the physician conceives of the illness purely as a scientific construct (i.e. as a pure disease state), so he moves away from the patient's immediate experience and there develops a fundamental and decisive gap in understanding between them.

In revealing the primacy of lived experience over and above any

subsequent theoretical scientific account of such experience, the phenomenological account discloses the validity of the patient's subjective experience of illness. Critics of modern medicine argue that such subjective experience on the part of the patient is often discounted as unreliable and treated as "soft data" to be essentially ignored in favor of the "hard," objective, quantitative data of laboratory tests, xrays, and so forth.⁹² The foregoing analysis shows that the patient's experience must be taken into account not simply as a subjective accounting of an abstract "objective" reality but rather that the patient's experience must be taken into account because lived experience represents the reality of the patient's illness.

The fundamental insight that in the constitution of meaning there is an essential correlation between the experiencer and that which is experienced underscores the necessity of considering the manner in which each patient constitutes the meaning of his experience of illness. No two patients will assign exactly the same meaning to their disorder. Thus, it becomes of vital importance to consider the "horizon" of the patient's world in terms not only of his unique biographical situation but also in terms of the wider social meanings which are a function of his particular ethnic and cultural background. For example, as physicians such as Cassell and Kleinman have shown, the meaning of a particular illness to a particular patient will depend upon the "collectivity of his meanings" - a collectivity which is in part determined by social meanings.⁹³ Acknowledging the cultural background of the patient is imperative since symptoms of illness have

a different significance according to particular ethnic and cultural backgrounds, as well as according to the personal meanings embedded in a particular life narrative.⁹⁴

The physician, as an experienter, is of course equally an assigner of meaning to the illness – an assignment which derives from his biographical situation and which necessarily differs from that of the patient. Studies suggest that an explicit recognition that this is the case can prove invaluable in enabling the physician to begin the task of constructing a shared world of meaning with his patient. The doctor who monitors his own reaction and feelings towards the patient and the patient's illness, is better able to recognize and set aside any preconceived notions which may impede his ability to explore the meanings inherent in the patient's world.⁹⁵ In this respect it is important to note that, in face-to-face contact with patients, physicians may come to experience illnesses as "frustrating," "boring," "interesting," "a limitation on one's capacities," "a challenge to one's expertise," and so forth. In other words, the physician's lived experience of the patient's illness is significantly different from the patient's experience of the patient's illness.

The importance of understanding the patient's lived experience should not be underestimated. Therapy is less likely to be successful if the physician fails to take into account what the illness means to the patient.⁹⁶ Indeed, as Cassell has shown, one cannot begin to address the patient's suffering unless attention is paid to such meaning.⁹⁷ On the other hand, case studies demonstrate that physicians

who explicitly focus on the meaning of the patient's experience find they are better able to care for their patients. For example, Leigh and Reiser show how the understanding of a patient's experiencing allowed his physicians to treat him more effectively in the intensive care unit.⁹⁸ Cassell discusses a patient with intractable pain in the throat whose father died of cancer of the esophagus. Understanding the meaning of the pain to the patient enabled Cassell to alleviate the physical symptoms of the patient's illness.⁹⁹ In exploring the meanings inherent in accounts of particular illness experiences of chronically ill patients, Kleinman proposes a practical methodology for treating those with chronic illnesses.¹⁰⁰ In his clinical case histories, Sacks demonstrates that the "human vision" of the physician (as opposed to his "medical vision") can provide invaluable insights into the patient's particular situation.¹⁰¹ Such insights are not readily apparent from a review of the clinical data alone. The physician's "medical vision" is directed at the clinical picture; his "human vision" is focused on the person who is ill.

Certain matters which are of perennial concern to physicians, such as the apparent non-compliance of a large number of patients, may be more readily understood once one recognizes that many times physicians and patients do not share a system of relevances. It is not necessarily the case that what the physician deems good for the patient is the same as what the patient considers best for himself. Treatment decisions, therapeutic goals, value choices, estimations of what is ultimately in one's own best interest, are all affected by the system

of relevances which are a function of one's particular life plan. Consequently, it is a matter of some importance that physician and patient make it clear to each other just what each considers to be of primary importance in the therapeutic endeavor so that they may negotiate and collaborate on a system of care.

As has been noted, one of the difficulties inherent in patient-physician communication arises from the fact that illness in its immediacy is a subjective (inner) experience. Consequently, it is not easy for the patient to communicate this experience to others. In particular, it is often hard for the patient to give an account of his illness according to the units of the objective time scale. This difficulty leads to the distrust of the patient as a reliable narrator and the patient's experience is bypassed in favor of what is taken to be a more "objective" rendering of the disease state. The phenomenological analysis shows, however, that to bypass the patient's voice is to bypass the illness itself. The recognition that the apparent non-specificity of the patient's account is simply a reflection of the unshareability aspect of illness motivates a different approach to the patient's narrative. The narrative is seen to be central to an understanding of the patient's illness. Indeed to understand the illness-as-lived, Baron suggests, the physician must "go beyond questions such as 'When did it begin? Do you have black, tarry stools? Does it get worse when you walk?' and develop such questions as 'What is it like?' or 'How is it for you?'"¹⁰² Furthermore, diagnostic questionnaires such as the McGill Pain Questionnaire take

seriously the linguistic difficulty of communicating the felt experience of pain and reject conventional medical vocabulary ("moderate pain," "severe pain") in favor of groups of adjectives such as "flickering," "quivering," "pulsing," "throbbing," and "beating" (adjectives often spoken by patients) to aid patients in more readily generating descriptions of their experience.¹⁰³

The unshareability aspect of illness may be minimized in other ways. Literature (plays, novels, short stories) and personal accounts written by patients can provide information which is otherwise not readily available to the physician. Indeed, Baron argues that many works of literature may be read as "medical treatises that give physicians information absolutely essential to the practice of medicine."¹⁰⁴ Literary descriptions of illness (whether fictional or autobiographical) provide insight into the existential predicament of illness - what it is like to be sick. Physicians who have themselves been ill or who have experienced illness in their families or in those close to them find they have a greater understanding of their patients' situation.¹⁰⁵ Literature can provide similar insights for the uninitiated and may aid in the constitution of a shared world of meaning between physician and patient.¹⁰⁶

It is only by understanding what it is that keeps them apart, that physician and patient may take concrete steps to build bridges between their separate worlds. The analysis of communicative common environment suggests that attention be given to recognizing such differences as disparate systems of relevances, different habits of

mind, distinct typificational and interpretational schema, the unshareability character of illness, and so forth. Such differences need to be made explicit if they are to be confronted and resolved. As has been noted, a fundamental difference which exists is that between the lived experience of illness and the conceptualization of illness as a disease state. This difference will be further explored in Chapter Two.

NOTES

¹In this chapter I have focused on the concepts of Edmund Husserl and Alfred Schutz since I find these to be especially helpful in elucidating the constitution of meaning within the separate worlds of physician and patient. It should perhaps be noted that, while Husserl and Schutz both provide an analysis of the eidetic structure of experiencing, they differ in some important respects. Schutz's main concern is to analyze the meaning structure of the intersubjective world of everyday life (i.e. a phenomenology of the "natural attitude"), and he finds Husserl's analysis of transcendental intersubjectivity problematic. I am not concerned to explore these differences in this work, since I do not believe they bear on its central claims. The emphasis on Husserl and Schutz in this chapter is also not intended to imply that other phenomenologists may not provide additional insights into the experience of illness. As subsequent chapters will reveal, I find that the works of Merleau-Ponty, Sartre and Zaner can also be particularly helpful in understanding the

experience of illness. Furthermore, Heidegger provides additional insights into the disordered existence of illness (particularly with regard to the notion that illness is a disruption of "being-in-the-world"). Indeed, Jan H. Van den Berg explicitly takes this Heideggerian approach in his works. Jan H. Van den Berg, A Different Existence: Principles of Phenomenological Psychopathology (Pittsburgh: Duquesne University Press, 1972), and Jan H. Van den Berg, The Phenomenological Approach to Psychiatry (Springfield, Illinois: Charles C. Thomas, 1955).

²Throughout this work I shall use Gerhard Bosch's designation of "own world" to refer to the private, egoistical world of the individual. Gerhard Bosch, Infantile Autism: A Clinical and Phenomenological Investigation Taking Language as a Guide, trans. Derek and Inge Jordan (New York: Springer Verlag, 1970).

³Maurice Natanson, Edmund Husserl: Philosopher of Infinite Tasks (Evanston, Illinois: Northwestern University Press, 1970), 85.

⁴Edmund Husserl, The Crisis of European Sciences and Transcendental Phenomenology: An Introduction to Phenomenological Philosophy, trans. David Carr (Evanston, Illinois: Northwestern University Press, 1970), 108.

⁵Richard M. Zaner, The Way of Phenomenology: Criticism as a Philosophical Discipline (New York: Western Publishing Company, Inc., 1970), 165.

⁶Alfred Schutz, "Common Sense and Scientific Interpretation of

Human Action," in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 9.

⁷Maurice Natanson, "Introduction," in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), xxviii.

⁸Husserl, The Crisis, 317.

⁹Zaner, The Way of Phenomenology, 154.

¹⁰Schutz, "Common Sense and Scientific Interpretation of Human Action," 7.

¹¹Alfred Schutz, Reflections on the Problem of Relevance, ed. Richard M. Zaner (New Haven: Yale University Press, 1970), 4.

¹²See the following discussion on temporality for a further elucidation of this point.

¹³John Wild, "Husserl's Life-World and the Lived Body," in Phenomenology: Pure and Applied, ed. Erwin W. Straus (Pittsburgh: Duquesne University Press, 1964), 10-28.

¹⁴Edmund Husserl, Ideas: General Introduction to Pure Phenomenology, trans. W. R. Boyce Gibson (New York: Macmillan Publishing Company, Inc., 1962), 91-93, 215-20. For an excellent commentary on Husserl's investigation of time see, Robert Sokolowski, Husserlian Meditations: How Words Present Things (Evanston, Illinois: Northwestern University Press, 1974).

¹⁵Edmund Husserl, Cartesian Meditations: An Introduction to Phenomenology, 7th impression, trans. Dorion Cairns (The Hague: Martinus Nijhoff, 1982), 39-43.

¹⁶Edmund Husserl, The Phenomenology of Internal Time-Consciousness, trans. James S. Churchill (Bloomington: Indiana University Press, 1964); Husserl, Ideas, 218-19.

¹⁷Husserl is concerned to show that primary memory is quite different from recollection. In recollection the object is no longer actually perceived but is recalled in memory. It is re-presented. Whereas in retention the past phases of an object are present as a part of the actual now-perception of the object, in recollection the object is no longer actually experienced. Rather, in recollection we seem to perceive it again, but only in an "as-if" presentation. Husserl, The Phenomenology of Internal Time-Consciousness, 57-59.

¹⁸David Carr, Time, Narrative and History (Bloomington: Indiana University Press, 1986), 23-24.

¹⁹Alfred Schutz, "Making Music Together," in Studies in Social Theory, ed. Arvid Brodersen, vol. 2 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1976), 159-78.

²⁰Schutz, "Making Music Together," 171.

²¹Alfred Schutz, "Language, Language Disturbances and the Texture of Consciousness," in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 281-82.

²²Richard M. Zaner, "Chance and Morality: The Dialysis Phenomenon," in The Humanity of the Ill, ed. Victor Kestenbaum (Knoxville: The University of Tennessee Press, 1982), 48.

²³Schutz, "Common Sense and Scientific Interpretation of Human Action," 7.

²⁴With reference to the acquisition of knowledge, Schutz distinguishes between the subjective stock of knowledge which is the result of sedimented subjective experiences of the life-world and the social stock of knowledge which depends upon such features of intersubjectivity as communication. With regard to the social stock of knowledge, Schutz notes that "the basic reserve ... consists of elements relevant for everyone. These elements are routinely transmitted to 'everyone,' and the processes of transmission are institutionally secured." Alfred Schutz and Thomas Luckmann, The Structures of the Life-World, ed. Richard M. Zaner and H. Tristram Engelhardt, Jr. (Evanston, Illinois: Northwestern University Press, 1973), 304-12.

²⁵Alfred Schutz has used this term to refer to the unique biography of each individual. See, Natanson, "Introduction," xxix.

²⁶Alfred Schutz, "Choosing Among Projects of Action," in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 77.

²⁷Natanson, "Introduction," xxviii.

²⁸Natanson, "Introduction," xxviii.

²⁹Natanson, "Introduction," xxix.

³⁰Alfred Schutz, "Symbol, Reality and Society," in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 307.

³¹In this connection Schutz argues that the world is organized around the individual in terms of (1) the "world within actual reach" (the sector of the world which I can modify directly by movements of my body or with the help of artificial extensions such as tools); (2) the "world within potential reach" (the world of my potential working acts); and (3) the "world within restorable reach" (my recollections of the world within my reach in the past). Schutz, "Symbol, Reality, and Society," 308-309.

³²Carr, Time, Narrative and History, 18-72.

³³Carr, Time, Narrative and History, 73-99; Alasdair MacIntyre, After Virtue (Notre Dame, Indiana: University of Notre Dame Press, 1981), 190-209. Jerome Bruner argues that we have no way of describing "lived time" save in the form of a narrative. This is not to say that other temporal forms cannot be imposed on the experience of time but none of them, he says, succeeds in capturing the sense of lived time, of "living through" our experiences. Jerome Bruner, "Life as Narrative," Social Research 54 (Spring 1987): 11-32.

³⁴Husserl has termed the world of everyday experience the "Life-world" in order to distinguish it from, for example, the world of science. Husserl, The Crisis, Appendix VII, 379-83.

³⁵Bosch, Infantile Autism, 55.

³⁶Edmund Husserl, Cartesian Meditations, 113ff.

³⁷Edmund Husserl, Ideen zu einer reinen Phänomenologie und phänomenologischen Philosophie, Bd. 2, 186, quoted in Bosch, Infantile Autism, 54.

³⁸This awareness of being at once both an experiencing subject (for whom others exist as objects) and an object-for-the-Other has different implications for different phenomenologists. For Husserl (Cartesian Meditations, 89-151) this introduces the possibility of the constitution of "objective" nature (a common world) and leads ultimately to the constitution of a community of transcendental egos or monads within transcendental subjectivity. For Sartre, the awareness that one is an object-for-the-Other (revealed in the Look) presents the Other as an irreducible subjectivity. Thus, for Sartre, the Other is conceived to be in a dialectical relation with the Self such that there is no possibility of the Self and the Other existing as co-subjects. Either the Self retains its subjectivity by turning the Other into an object, or the Self loses its subjectivity in being experienced as an object-for-the-Other. Jean-Paul Sartre, Being and Nothingness: A Phenomenological Essay on Ontology, trans. Hazel E. Barnes (New York: Pocket Books, 1966). While it is not my purpose in this work to evaluate these differing theories of intersubjectivity, I do think it is important to recognize the fundamental and paradoxical experience that one has of being both subject and object. This paradoxical relation will be shown to be particularly important when considering

the constitution of body and the alienation of body from self.

³⁹This consciousness of being both subject and object is rendered explicit in the experience of illness and in the doctor-patient encounter. In the clinical encounter the body is objectified in the sense that it is attended to as an exclusively biophysiological mechanism and as an object for scientific investigation. In the experience of being looked-at, under the "gaze" of the physician, the patient concretely recognizes the duality of his being-an-object for the Other and concurrently his being as a suffering subject.

⁴⁰Husserl noted that in everyday life the individual lives for the most part within what he termed the "natural attitude," that is in light of a naive unquestioned belief in the existence and validity of the world. In the "natural attitude" the world or "reality" is simply assumed to have an "objective" existence apart from one's consciousness of and experiencing of it. See the more detailed analysis in the section on the "natural" versus the "naturalistic" attitude.

⁴¹Schutz, "Symbol, Reality and Society," 313.

⁴²This is, of course, the crux of the problem of intersubjectivity which it is not my purpose to explore in detail in this work. Schutz follows Husserl in arguing that while the Other's body is given to me as an originary presence, his psychological life is not presented but rather appresented. Schutz, "Symbol, Reality and Society," 313-15.

⁴³Schutz, "Symbol, Reality and Society," 315.

⁴⁴As Bosch notes, it is through communication that the individual erects a bridge between the world he essentially experiences as "own world" and the world of the Other. This communication is based on original experiences which "so long as they are not revealed by the act of communicating, remain a concrete part of the own world, and even when they are communicated they still retain an abstractable portion of own-world originality." See, Bosch, Infantile Autism, 55.

⁴⁵Schutz, "Common Sense and Scientific Interpretation of Human Action," 11-12.

⁴⁶Schutz, "Common Sense and Scientific Interpretation of Human Action," 11-12.

⁴⁷Schutz, "Common Sense and Scientific Interpretation of Human Action," 11-12.

⁴⁸Schutz, "Symbol, Reality and Society," 327.

⁴⁹Schutz, "Symbol, Reality and Society," 322.

⁵⁰Schutz, "Symbol, Reality and Society," 322-23.

⁵¹For a helpful discussion on this shift in attention see, Richard M. Zaner, "Examples and Possibles: A Criticism of Husserl's Theory of Free-Phantasy Variation," Research in Phenomenology 3 (1973): 29-43.

⁵²Victor Kestenbaum, "The Experience of Illness," in The Humanity of the Ill, ed. Victor Kestenbaum (Knoxville: University of Tennessee Press, 1982), 6-7.

⁵³Husserl has noted the distinction between the Life-world (the world of everyday experience) and the world of science in terms of both the distinction between the "natural" and "naturalistic" attitude, which is explicated in the next section, and in terms of the difference between the goals of the Life-world and the goals of the world of science. The goal of science is to determine nature "in-itself" through "truths in themselves." Thus, the world of science is first and foremost a purposeful structure which is constituted within a horizon of already existing scientific works. The Life-world on the other hand is constantly pregiven, valid constantly and in advance as existing, but not valid because of some purposeful investigation. Thus, although the Life-world represents a "structure" it is nevertheless not a "purposeful structure" in the way that science is. Rather, the Life-world "was always and continues to be 'of its own accord'." Consequently, the world of science constitutes a domain within and presupposes the Life-world. Husserl, The Crisis, Appendix III and VII. Patrick Heelan argues that a crisp demarcation between the manner in which entities are experienced in the Life-world as opposed to the world of science cannot be maintained in the case of certain kinds of scientific activity. In particular, he suggests that the observable scientific entities of experimental science belong to the Life-world in that they are experienced by the practicing scientist in an ordinary manner. It is important to note, however, that such scientific observations are preceded by many complex inferences and training (e.g. the untrained individual using a microscope cannot make

observations of mitochondria and Golgi bodies because facets of these entities have to be learned). In other words, the scientific "habit of mind" (which incorporates a complex stock of acquired knowledge, a certain noetic intention which animates the inquiry, and so forth) determines the manner in which "reality" is constituted. Patrick Heelan, "Hermeneutics of Experimental Science in the Context of the Life-World," in Interdisciplinary Phenomenology, ed. Don Ihde and Richard M. Zaner (The Hague: Martinus Nijhoff), 1973), 7-50.

⁵⁴Maurice Natanson, Literature, Philosophy and the Social Sciences: Essays in Existentialism and Phenomenology (The Hague: Martinus Nijhoff, 1968), 95.

⁵⁵In the following chapter I will discuss in detail the manner in which illness is constituted by the patient. As I shall note, it is the case that the patient's experiencing of illness is influenced by the theoretical understandings that are embedded in the Life-world. Nevertheless, illness-as-lived is fundamentally experienced in terms of the disruption of body, self and world. Consequently, this lived body disruption is the focus of the patient's concern.

⁵⁶Eric J. Cassell, letter to the author, 1983.

⁵⁷Oliver Sacks, A Leg to Stand On (New York: Summit Books, 1984).

⁵⁸David Rabin, "Occasional Notes: Compounding the Ordeal of ALS: Isolation from My Fellow Physicians," The New England Journal of Medicine 307 (1982): 506-509; Martha W. Lear, Heartsounds (New York: Simon and Schuster, 1980); Dewitt Stetten, Jr., "Coping With

Blindness," The New England Journal of Medicine 305 (August 1981): 458-60; Harvey Mandell and Howard Spiro, eds, When Doctors Get Sick (New York: Plenum Publishing, 1987).

⁵⁹Husserl, The Crisis, 321, 379.

⁶⁰As Kohak explains:

[W]hen Husserl speaks of the natural standpoint, he is not claiming that some biological necessity forces a metaphysics upon us but simply that the ingrained habits of our common sense, without our even being aware of it, lead us into a metaphysics. Precisely because our common sense is habitual and quite free of self-conscious reflection, because it is preoccupied with the world it encounters as "out there," it assumes that reality itself is "out there," only passively recorded by the subject "in here." The shift is subtle but significant. As lived, reality is the experiencing of an object. As common sense interprets it, the reality is the object, the experience is incidental to it. That is no longer a datum; it is the unacknowledged theoretical postulate of common-sense knowledge. Husserl calls it the "thesis of the natural standpoint": the world is "out there," only its reflection is "in here." I must look for an explanation "out there"; or in sum, lived experience is what is to be explained, and the world is what explains it. To understand my experience, common sense assumes, I need to know what I am experiencing but must discover what in the world is causing it.

Erazim Kohak, Idea and Experience: Edmund Husserl's Project of Phenomenology in Ideas I (Chicago: University of Chicago Press, 1978), 32.

⁶¹In Ideas, Husserl notes that such "objective" description differs from the immediate sensory experience of the object in that it is an intentional correlate of a higher level. That is, for rational motives we adopt certain forms of apprehending material and construct intentional systems. See, Husserl, Ideas, 143-49.

⁶²The constitution of the disease state will be explored at length in Chapter Two.

⁶³Richard J. Baron, "Bridging Clinical Distance: An Empathic Rediscovery of the Known," The Journal of Medicine and Philosophy 6 (February 1981): 7.

⁶⁴Michel Foucault, The Birth of the Clinic: An Archaeology of Medical Perception, trans. A. M. Sheridan Smith (New York: Vintage Books, 1975), 8.

⁶⁵Calvin Schrag, "Being in Pain," in The Humanity of the Ill, ed. Victor Kestenbaum (Knoxville: The University of Tennessee Press, 1982), 122.

⁶⁶I shall discuss the disruption of lived temporality in more detail in Chapter Three. For a detailed account of the temporality of illness see also my article, "The Temporality of Illness," Theoretical Medicine, (forthcoming).

⁶⁷In relating his experience of illness to the physician, the patient must do so in terms of "objective time" (since "objective" time is the common language for time). However, he experiences his illness in "lived" time.

⁶⁸Schutz, "On Multiple Realities," 226-29.

⁶⁹While I agree with Schutz that the individual organizes his world into strata of major and minor relevance according to his unique life plan, it is not clear to me that the recognition of "fundamental anxiety" is explicit. Although at some level each of us is aware of

our own mortality, for the most part we do not in any deep sense consciously reflect upon this mortality. Maybe Schutz's point is just that many of the relevances that pertain to our life situations are pragmatic relevances basic to perpetuation of life (e.g. I go to work to get money to provide food and shelter for myself and my family). Heidegger, of course, argues that much of the individual's time is spent in inauthentically denying the "fundamental anxiety." Martin Heidegger, Being and Time, trans. John Macquarrie and Edward Robinson (New York: Harper and Row, 1962), 296-99.

⁷⁰ Schutz, "Common Sense and Scientific Interpretation," 37.

⁷¹ Leo Tolstoy, "The Death of Ivan Ilych," in Story and Structure, 5th edition, ed. Laurence Perrine (New York: Harcourt Brace Jovanovich, Inc., 1978), 520-21.

⁷² Walker Percy, The Message in the Bottle (New York: Farrar, Straus and Giroux, 1954), 125-26.

⁷³ Percy, The Message in the Bottle, 128.

⁷⁴ Eric J. Cassell, "The Subjective in Clinical Judgment," in Clinical Judgement: A Critical Appraisal, ed. H. Tristram Engelhardt, Jr., Stuart F. Spicker, and Bernard Towers (Dordrecht, Holland: D. Reidel Publishing Company, 1979), 203.

⁷⁵ Cassell, "The Subjective in Clinical Judgment," 204-205.

⁷⁶ Richard J. Baron, "An Introduction to Medical Phenomenology: I Can't Hear You While I'm Listening," Annals of Internal Medicine 103 (October 1985): 609.

⁷⁷Baron, "An Introduction to Medical Phenomenology," 609.

⁷⁸I am grateful to H. Tristram Engelhardt, Jr. for his helpful comments in this regard. Engelhardt further notes that since the expertise of physicians tends to be non-global, physicians narrow down their focus of concern still further to what they can alter or change. Thus, surgeons think of surgical interventions, internists think of medical interventions, psychiatrists think of psychiatric interventions, and so forth. The result is that physicians focus upon only bits and pieces of the whole that is lived through by the patient.

⁷⁹Cassell, "The Subjective in Clinical Judgment," 203-205.

⁸⁰In this respect Schutz notes, for example, the distinction between experiencing a piece of music and constituting its meaning in terms of the ongoing flow of consciousness in internal time, and grasping a piece of music as a typical example of, say, "a sonata." In the first case the meaning is constituted polythetically (i.e. as a series of steps in inner time), in the latter it is constituted monothetically (i.e. without reference to the polythetic steps in which the music is experienced in its particular individuality). See Schutz, "Making Music Together."

⁸¹Obviously, here it would seem that I part company with Schutz in that I suspect that the explicit awareness of "fundamental anxiety" only makes itself concretely felt in moments of existential crisis.

⁸²Consider, for example, the distinction between death as a

typified event and death as a personal, concrete awareness that I, myself, will no longer continue to be alive, as portrayed in the following quote from Heidegger, Being and Time, 296-97.

In the publicness with which we are with one another in our everyday manner, death is "known" as a mishap which is constantly occurring - as a "case of death." Someone or other "dies," be he neighbor or stranger ... People who are no acquaintances of ours are "dying" daily and hourly. "Death" is encountered as a well-known event occurring within-the-world. As such it remains in the inconspicuousness characteristic of what is encountered in an everyday fashion ... The analysis of the phrase "one dies" reveals unambiguously the kind of Being which belongs to everyday Being-towards-Death. In such a way of talking, death is understood as an indefinite something which, above all, must duly arrive from somewhere or other, but which is proximally not yet present-at-hand for oneself and is therefore no threat. The expression "one dies" spreads abroad the opinion that what gets reached, as it were, by death is the "they." In Dasein's public way of interpreting, it is said that "one dies," because everyone else and oneself can talk himself into saying that "in no case is it I myself."

⁸³For an interesting analogy to the patient's experience see Alfred Schutz, "The Homecomer," in Studies in Social Theory, ed. Arvid Brodersen, vol.2 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1964), 106-19. Schutz notes that the person who returns home after spending a period of time away in a different environment finds himself unable to communicate his experience to those who have remained at home. He is unable to do so because he can no longer communicate on the basis of a shared set of typifications. As an example, Schutz quotes the case of the returning veteran:

When the soldier returns and starts to speak ... he is bewildered to see that his listeners, even the sympathetic ones, do not understand the uniqueness of these individual experiences which have rendered him another

man. They try to find familiar traits in what he reports by subsuming it under THEIR preformed types of the soldier's life at the front. To them there are only small details in which his recital deviates from what every homecomer has told and what they have read in magazines and seen in the movies. So it may happen that many acts which seem to the people at home to be the highest expression of courage are to the soldier in battle merely the struggle for survival or the fulfillment of a duty, whereas many instances of real endurance, sacrifice and heroism remain unnoticed or unappreciated by the people at home.

⁸⁴For an interesting discussion on the role of typification in the diagnostic process see, Michael A. Schwartz and Osborne P. Wiggins, "Typifications: The First Step for Clinical Diagnosis in Psychiatry," Journal of Nervous and Mental Disease 175 (February 1987): 65-77.

⁸⁵Schutz, Structures of the Life-World, 314-15.

⁸⁶The complexity of specialized knowledge is such that its acquisition is, in principle, no longer accessible to all. Thus, there arises the need for not only "specialists" but "sub-specialists" each of whom has the requisite knowledge of a small domain of specialized knowledge. As specialized knowledge becomes more complex and differentiated, the various provinces of special knowledge become progressively further "removed" from general knowledge and the gap between "laymen" and "experts" becomes greater. Schutz, Structures of the Life-World, 314ff.

⁸⁷In comments to the author Engelhardt has noted that the widespread use of common remedies attests to the use of typifications by patients.

⁸⁸Michael A. Schwartz and Osborne P. Wiggins, "Science, Humanism,

and the Nature of Medical Practice: A Phenomenological View," Perspectives in Biology and Medicine 28 (Spring 1985): 354.

⁸⁹Schutz argues that one of the essential ways in which the Other's world transcends mine is that we each experience events uniquely in inner (rather than objective or outer) time. Consequently, the establishment of a common environment presupposes individuals sharing an experience of an event in outer time. Schutz comments, "I and you, WE see the flying bird. And this occurrence of the bird's flight as an event in outer (public) time is simultaneous with our perceiving it, which is an event in our inner (private) time. The two fluxes of inner time, yours and mine, become synchronous with the event in outer time (bird's flight) and therewith one with the other." See, Schutz, "Symbol, Reality and Society," 317.

⁹⁰I shall have more to say about the manner in which illness is constituted at various levels by the patient, beginning with pre-reflective experience, in the next chapter.

⁹¹Elaine Scarry, The Body in Pain (New York: Oxford University Press, 1985), 4.

⁹²Schwartz and Wiggins, "Science, Humanism, and the Nature of Medical Practice," 331-60; Engel, "The Need for a New Medical Model: A Challenge for Biomedicine," Science 196 (April 1977): 129-36; Baron, "An Introduction to Medical Phenomenology," 606-11; William J. Donnelly, "Medical Language as Symptom: Doctor Talk in Teaching Hospitals," Perspectives in Biology and Medicine 30 (Autumn 1986):

81-94.

⁹³Cassell, "The Subjective in Clinical Judgment," 199-215; Arthur Kleinman, The Illness Narratives: Suffering, Healing and the Human Condition (New York: Basic Books Inc., 1988).

⁹⁴Kleinman, The Illness Narratives, 21-24, 100-20.

⁹⁵Andrew Elder and Oliver Samuel, eds., "While I'm Here Doctor": A Study of Change in the Doctor-Patient Relationship (New York: Tavistock Publications, 1987).

⁹⁶Kleinman, The Illness Narratives, 239-41.

⁹⁷Eric J. Cassell, "The Nature of Suffering and the Goals of Medicine," The New England Journal of Medicine 306 (March 1982): 639-45.

⁹⁸Hoyle Leigh and Morton F. Reiser, The Patient: Biological, Psychological and Social Dimensions of Medical Practice (New York: Plenum Publishers, 1980), 243ff.

⁹⁹Eric J. Cassell, Clinical Technique, vol. 2 of Talking With Patients (Cambridge, Mass.: The MIT Press, 1985), 157ff.

¹⁰⁰Kleinman, The Illness Narratives.

¹⁰¹Oliver Sacks, The Man Who Mistook His Wife for a Hat and Other Clinical Tales (New York: Summit Books, 1985).

¹⁰²Baron, "Bridging Clinical Distance," 19.

¹⁰³Scarry, The Body in Pain, 7-8.

¹⁰⁴Baron, "An Introduction to Medical Phenomenology," 609.

¹⁰⁵Edward E. Rosenbaum, A Taste of My Own Medicine: When the Doctor is the Patient (New York: Random House, 1988); Mandell and Spiro, When Doctors Get Sick; Kleinman, The Illness Narratives, 211-13.

¹⁰⁶I shall explore the constitution of a shared world of meaning in detail in Chapter Four.

CHAPTER TWO

THE CONSTITUTION OF ILLNESS

The phenomenological analysis of the separate worlds of physician and patient reveals a fundamental distinction between the lived experience of illness and its conceptualization as a disease state. In particular, a distinction has been noted between meaning which is grounded in lived experience and meaning which represents an abstraction from lived experience. In this chapter I shall analyze more fully the manner in which patient and physician each constitute the meaning of illness. In particular, it will be shown that the illness which is constituted by the patient is something significantly different from, and cannot be identified with, the disease state which is known and named by the physician.

1. Levels of Constitution

In an attempt to elucidate the manner in which illness is constituted differently by physician and patient, it is helpful to consider Sartre's analysis of pain and illness in which he identifies four distinct levels of constitution: pre-reflective sensory experiencing, "suffered illness," "disease," and the "disease state." The first three represent the manner in which the patient constitutes his illness; the "disease state" represents the physician's

conceptualization of illness.¹

Sartre argues that the fundamental level of constitution of illness is that of pre-reflective sensory experiencing. At this level the immediate, pre-reflective experiencing is a manifestation of the way consciousness "exists" the body. A pain in the eye, for example, is not immediately experienced as an object "pain" which is located in the eyes. Rather, pain is the eyes at this particular moment. One experiences the eyes-as-pain, vision-as-pain, the peculiar contingency of, say, this particular act of reading which manifests itself in terms of the blurring of the words, the inability to concentrate on this particular passage in the book, and so forth.²

In contrast, Sartre says, if I reflect on my pain and attempt to apprehend it, the pain ceases to be lived-pain and becomes object-pain. In the reflective act, the pure quality (consciousness) of pain is transcended and a psychic object, pain-as-object, is constituted. This psychic object which transcends the pure quality of lived-pain is constituted as the "suffered illness."³ As lived unreflectively (or pre-reflectively) the pain is the body. When reflected upon, pain becomes a psychic object (illness) outside one's immediate subjectivity and thus becomes identified as, say, pain "in the stomach." For the reflective consciousness, then, illness is distinct from the body and has its own form. At this point "each concrete pain is like a note in a melody: it is at once the whole melody and a 'moment' in the melody."⁴ With each pain one apprehends the illness and yet "it transcends them all, for it is the synthetic

totality of all the pains, the theme which is developed by them and through them."⁵

At yet another level of reflection illness is constituted by the patient as "disease." At this level illness represents an objective disease, such as ulcer of the stomach, which is known to the patient by means of bits of knowledge acquired from others (i.e. such knowledge as the principles of physiology and pathology described to him by others).⁶ In its immediacy illness is not experienced as "disease." It is, rather, experienced as the body painfully-lived. In this regard Sartre is concerned to show that since I am my body, the lived body is an inapprehensible given which is never grasped as such. In the normal course of events I do not experience my body as a neurophysiological organism (i.e. as a skeleton, brain, nerve endings, and so forth). It is only if I conceive of my body as an object (in Sartre's terms, as a "being-for-others") that I may constitute it as a malfunctioning physiological organism. "Disease" represents such objectification. The immediate experience of the stomach painfully-lived is now constituted not only as pain "in the stomach" but, further, as "gastralgia." Furthermore, this level of constitution incorporates the knowledge of a certain objective nature possessed by the stomach.⁷

I know that it has the shape of a bagpipe, that it is a sack, that it produces juices and enzymes, that it is enclosed by a muscular tunica with smooth fibres, etc. I can also know - because a physician has told me - that the stomach has an ulcer, and again I can more or less clearly picture the ulcer to myself. I can imagine it as a redness, a slight internal putrescence; I can conceive of it by analogy with abscesses, fever blisters, pus, canker sores, etc. All this on principle stems from bits of knowledge which I have acquired from Others or from such

knowledge as Others have of me. In any case all this can constitute my Illness, not as I enjoy possession of it, but as it escapes me.

The level of the "disease state" represents the physician's conceptualization of the patient's illness. Illness is identified with a pathoanatomical or pathophysiological fact. Sartre notes that illness is thereby wholly conceived as "a question of bacteria or of lesions in tissue."⁸

2. The Constitution of Illness by the Patient

I should now like to consider the patient's constitution of illness in light of Sartre's analysis. Sartre suggests that the fundamental level of constitution of illness is that of pre-reflective sensory experiencing and it is indeed usually the case that one first becomes aware that all is not well in the felt experience of some alien bodily sensation (such as pain, itch or chill) or in the sensed experience of a change in function (such as the unusual weakness of a limb, the abnormal stiffness of joints or the unaccustomed loss of coordination).⁹ Additionally, one might become aware of an alteration in the normal appearance of one's body - some disfigurement such as a rash or a lump - which would lead to the constitution of illness at the reflective level.¹⁰

It is, of course, the case that a sensory experience such as pain is not always constituted at the reflective level as "suffered illness" - for example, if I drop a brick on my toe or if I have a headache following an evening of heavy drinking, I may experience pain but do

not constitute it as illness. Such would also be the case if I experienced a weakness in my legs and an abnormal redness in my face following a long and vigorous game of tennis, or an ache in my back after digging in the garden. Nevertheless, more often than not, "suffered illness" is constituted at the reflective level in light of immediate sensory experiencing at the pre-reflective level.

In this regard Cassell notes that symptoms of illness are the patient's reports of what is experienced as an alien body sensation. He points out that the key point is that the sensation is experienced as alien or unusual. Not all abnormalities are symptoms in that, if the person has become acclimatized to the abnormality, then it is no longer regarded as an alien body sensation – and hence as a symptom. As an example Cassell notes that heavy smokers may deny that they have a cough, even though one may hear them coughing.¹¹ "Cigarette cough" has become part of them. It is a way of life and, since it is not experienced at the pre-reflective level as an alien sensation, it is not constituted as "suffered illness" at the reflective level. Engelhardt notes that, in order for pre-reflective sensory experience to be constituted as illness at the reflective level, it must be perceived to be dysfunctional or to involve pain which is not a part of a function deemed proper to the human organism (for example, consider the pain of teething as compared to the pain of a migraine headache).¹²

If the immediate experience of bodily disruption is sufficiently unusual, prolonged, uncomfortable, and so forth, then it must be explicitly attended to by the patient and reflected upon.

Consequently, at this point, the experience becomes one that must be given meaning.¹³ In focusing on the unusual sensory experience, the patient's attention shifts to his body and the bodily disruption is itself made thematic. As Sartre has noted, at the pre-reflective level the body is not explicitly given to consciousness. Rather one is engaged in the world, preoccupied with one's projects. The body is "surpassed" in carrying out one's projects in the world. For example, if I am reading a book, my attention is wholly directed to the meaning of the text. I am not explicitly aware of the functioning of my eyes in the act of reading. It is rather the meaning of the text itself that is thematic to my consciousness. However, if I have a headache and reading becomes difficult, then my attention is diverted from the meaning of the text. I focus instead on the act of reading and I attempt to identify the source of the difficulty. I become aware that the source of the difficulty is pain and, furthermore, that this pain is located "in the eyes." At this reflective level Sartre argues that the immediate pre-reflective sensory experiencing is thus constituted as "suffered illness" - a psychic object, an "it" which is somehow distinct from the body. That is, rather than being simply experienced as the eyes painfully-lived, pain becomes a separate entity which is located "in the eyes."¹⁴

Cassell notes that patients often refer to this psychic object (the "it") when attempting to communicate their lived experience of illness.¹⁵

And then it seemed to me that the gripping shifted
... It'd go on for a minute or two ... then it shifted to a

lower part ... And then in the morning it persisted ... but it seems to be centered around here, in the middle of the stomach.

This constitution of sensory experiencing as a distinct entity is well reflected in Ivan Ilych's description of the pain of his fatal illness.¹⁶

But suddenly in the midst of those proceedings the pain in his side ... would begin its gnawing work. Ivan Ilych would turn his attention to it and try to drive the thoughts of it away but without success. It would come and stand before him and look at him, and he would be petrified and the light would die out of his eyes, and he would again begin asking himself whether It alone was true. And his colleagues and subordinates would see with surprise and distress that he, the brilliant and subtle judge, was becoming confused and making mistakes ... And what was worst of all was that It drew his attention to itself not in order to take some action but only that he should look at It, look it straight in the face: look at it without doing anything, suffer inexpressibly.

This description well illustrates the shift of attention which occurs in the presence of unusual sensory experience. The lived experience of the body itself becomes the focus of attention.¹⁷ Pain or other bodily dysfunction disrupts one's ongoing engagement in the world. The body can no longer be taken for granted and ignored. Rather, the bodily disruption must be attended to and interpreted.

In addition, at this reflective level, there is an intuitive awareness on the part of the patient that his symptoms are part of a larger whole. That is, the various isolated bodily disturbances point to, or signify, a more complex entity of which they are simply one phase or facet (i.e. they are not experienced as discrete sensations bearing no relation to a larger unity). With each pain or disruption

one apprehends the illness, and yet it is a synthetic totality which transcends them all. Ilych, for example, was intuitively aware that the pain in his side (the "it") was but one facet of an even more complex and dreadful reality – a disease which was killing him.

Sartre argues that at this point illness is still an immediate lived experience. "Suffered illness" manifests itself as the collection of alien body sensations (for Ilych the totality of pains) which disrupt sensory experiencing at the pre-reflective level (i.e. at this level it is not constituted as a particular illness – that comes at the next level of constitution.)

At yet a further level illness is constituted by the patient as "disease." "Disease" represents a "being-for-others" in that it is known to the sick person by means of concepts derived from others. The patient experiences his body as an object (i.e. as a neurophysiological organism which possesses a certain objective nature). Furthermore, he experiences the disruption in his everyday experiencing (his "suffered illness") as being a disease – an abstract entity residing in but in some way distinct from his body.

In this regard Engelhardt has pointed out that illness is experienced not simply as suffering but "as a suffering with a particular portent and meaning, as a suffering of a specific kind."¹⁸ He notes, for example, that a person with urethritis may experience his illness as "gonorrhoea," or as "likely to be gonorrhoea." A lump in the breast may be constituted as "cancer" or "likely cancer." At this level of constitution the patient's experiencing of illness is

influenced by the theoretical understandings that are embedded in the life-world. That is, for those who live in a highly technological society, "pathoanatomically based theoretical concepts are expressed in the constitution of the lived experience of one's body."¹⁹ Consequently, individuals in such a society come to experience themselves not simply as having pain, or pain "in the chest," but as "having a heart attack."²⁰ At the level of "disease" the patient assigns explanatory meaning to his experience of illness, although such meaning may be more or less sophisticated and does not coincide with the theoretical explanation of the "disease state" constituted by the physician.

Cassell makes the important point that at this level of constitution the meaning that the patient assigns to his experience is also influenced by its association with the experiences of significant others in the patient's life.²¹ For example, if a person notices stiffness and pain in his fingers and his mother had arthritis, he is likely to constitute his own pain and stiffness as "arthritis." Likewise, an individual with a family history of heart disease may well constitute the pain in his chest as "having a heart attack," whereas another individual with no such history might dismiss such pain as merely indigestion. In this regard Cassell distinguishes between the patient as experiencer and the patient as assigner of understandings. He argues that the patient assigns meaning to his immediate pre-reflective sensory experience at two distinct levels: the first involves interpreting the sensation as, say, painful or dysfunctional;

the second involves assigning the meaning of, say, "possible gallbladder disease" to the interpreted sensation of pain. These two levels of interpretation reflect the difference in constitution between "suffered illness" and "disease" identified by Sartre.²²

It is obvious that the patient's constitution of illness at the reflective level is a function of his biographical situation. That is, his assignment of meaning to his pre-reflective sensory experience will be influenced by what Cassell has called "the collectivity of his meanings."²³ Consequently, cultural meanings are an important determinant in the constitution of illness. Engelhardt, for example, argues that the very determination of which functions are or are not proper to humans (i.e. the initial interpretation that the pre-reflective sensory experiencing is "alien" and thus a symptom of illness as opposed to something else) involves a value judgment.²⁴ This value judgment may vary in different cultures. As Kleinman has shown, what is regarded as "natural" functioning depends upon the shared understandings of a particular social group.²⁵ The meanings assigned to body sensations are a part of the shared common-sense knowledge of the group (in Schutz's terms the social "stock of knowledge-at-hand") so that there is a shared appreciation of what the sickness experience is. However, this shared stock of knowledge is not common to every culture or to each historical period. Kleinman notes, for example, that even at the basic level of interpretation there are differences in the way that we talk about the immediately sensed pain of, say, headaches.²⁶ Indeed, studies have shown that what patients say, and

their behavior in response to pain, is influenced by their ethnic group.²⁷ (However, as Cassell points out, the immediate experience of pain is universal in the sense that it represents a similar kind of experience in all groups. What one group describes as "pain" another group does not describe as "itch," and so forth.²⁸ That is, at the pre-reflective level the lived experience of pain has an inherent negative quality although the significance of that negativity depends upon how it is interpreted according to the cultural and personal meanings of the person who experiences it.)

As has been noted, the constitution of illness as "disease" ("my abdominal pain is possibly gallbladder disease"; "I have a temperature so I must have a virus") is a direct reflection of the particular life-world in which the patient is situated. Not only is it the case that the patient's experiencing of illness is influenced by the theoretical understandings that are embedded in his particular life-world, but symptoms are interpreted according to special significances within the distinctive life-worlds shaped by class, ethnicity, age and gender.²⁹ For example, Kleinman notes that complaints associated with menopause are common among white middle-class women in mid-life, whereas women in other cultures have no conception of this life transition as an illness. Similarly, premenstrual tension (constituted as "PMS") is a constellation of symptoms of illness which is unheard of in much of the world.³⁰ Meanings inherent in the life-world of the patient determine whether sensory experience at the pre-reflective level is constituted as

"suffered illness" and further as "disease."

In sum, then, it is important to recognize that illness is constituted by the patient at both the pre-reflective and reflective levels. The fundamental level is that of pre-reflective sensory experience. The patient's immediate experience is such that it leads him to become aware of some disruption in the manner in which he "exists" his body. That is, some unusual sensory experience (such as pain, weakness, or some visual apprehension of an alteration in body) causes the patient to shift his attention from his ongoing involvement in projects in the world and to focus upon the bodily disruption. Once the immediate experience of disruption is thematized at the reflective level, it may be constituted as "suffered illness." "Suffered illness" is a synthetic totality in that it incorporates the immediate bodily sensations – the various and varied aches and pains – as parts of a larger whole. In particular, the unusual sensations are interpreted as symptoms which point to or characterize a more complex entity – illness. Furthermore, at this reflective level, the disruption is identified and located as, say, "in the leg" or "in my leg." It is important to note that both pre-reflective sensory constitution and "suffered illness" represent lived experience. The patient lives through his "suffered illness" as the collection of aches and pains which characterize the immediate sensory disruption at the pre-reflective level.

At a further interpretive level the patient constitutes his "suffered illness" as "disease." The lived body becomes objectified as

a neurophysiological organism and the immediate sensory disruption becomes constituted as a particular illness. The person who constitutes his illness as, say, "having a heart attack" is reflecting upon and assigning a specific meaning to the immediately sensed experience of pain, or rather pain "in the chest." His understanding of what it is to have a heart attack may represent more or less detailed knowledge depending upon whether or not he has discussed his illness with a physician. In any event, as Sartre points out, the patient's conceptualization of "disease" incorporates bits of knowledge acquired from others and, as such, it involves the setting-into-play of a type of constitution distinct from that which is operative at the levels of pre-reflective sensory experience and "suffered illness."³¹ At the level of "disease," illness is an object – a "being-for-others" – and, as such, it is transcendent to subjectivity and no longer represents the lived experience of illness.

3. The Constitution of Illness by the Physician

I should now like to consider the manner in which the patient's illness is constituted by the physician. Sartre has identified this level as that of the "disease state" and he argues that, as a "disease state," illness is wholly conceived as "a question of bacteria or of lesions in tissue."³² Sartre's insight is, of course, that the constitution of illness as a "disease state" is quite different from its constitution in terms of pre-reflective sensory experience, "suffered illness" or "disease." As a "disease state" illness is

thematized in terms of theoretical, scientific constructs. That is, the patient's immediate experience is wholly subsumed under the causal categories of natural scientific explanation.³³

In Western scientific medicine the prevailing model of illness is the biomedical model.³⁴ According to this scientific account illness is identified as a pathological or pathoanatomical fact.³⁵ As Engelhardt notes at the level of the "disease state" illness is conceptualized according to the pathoanatomical, pathophysiological and microbiological nosologies of modern medicine (i.e. according to the nosologies of the basic sciences).³⁶ So, for example, peptic ulcer disease is equated with an ulcer crater in the duodenum and with various aberrant complex pathophysiological and hormonal processes.³⁷ The patient's illness is thereby thematized as a pathological and pathophysiological process (i.e. as the anatomical fact of ulcer crater). Indeed, as has been noted in the previous chapter, many biomedical practitioners tend to assume that such "objective facts" alone constitute the reality of illness.³⁸ That is, it is concluded that patients' complaints that do not correlate with demonstrated pathoanatomical and pathophysiological findings are not bona fide illnesses.³⁹

The level of the "disease state" represents a further objectification of illness over the previous levels of "suffered illness" and "disease." Symptoms are reinterpreted in terms of physical signs - the objectivity of, say, visible lesions. Physiological processes become translated into objective, quantified data -

laboratory values, images, graphs, numbers, and so forth.⁴⁰ Disease is constituted as an entity defined via medical categories. Since disease is categorized in the same way as other natural phenomena, it can be viewed independently from the person suffering from the disease.⁴¹

In the previous chapter a distinction was made between the "natural" and the "naturalistic attitude." It will be recalled that the aim in the "naturalistic attitude" is to grasp the nature of "reality" and to describe such "reality" in terms of some objective description which will accurately characterize the "thing-in-itself" apart from one's experiencing of it. As Husserl notes the aim in the "naturalistic attitude" is to establish what the world is in fact and thereby to arrive at scientific, objective truth (such objective truth being captured in terms of the quantifiable data of mathematical-physical science).⁴² The "naturalistic attitude" represents, therefore, a theoretical abstraction from prescientifically intuited nature; yet such abstraction comes to be viewed as alone disclosing the fundamental nature of things.⁴³

The "disease state" as constituted by the physician is grounded in the "naturalistic attitude." The aim is to reclassify the patient's experience of illness in terms of the findings of the basic sciences. As Engelhardt points out this aim of modern scientific medicine exemplifies the notion that the basic sciences are understood as "telling the real truth," as presenting what really is the case.⁴⁴ In addition, it represents the reduction of the clinical to the basic-scientific.⁴⁵ The patient's subjective experience is

re-constituted as the pathoanatomical and pathophysiological "fact" and such experience has validity as illness only to the extent that it may be so constituted.

It is important to emphasize that the "disease state" constituted by the physician is not identical with the "disease" which is constituted by the patient. A concrete example may serve to illustrate this distinction. Suppose one has a neurological disorder: At the pre-reflective level the disorder is immediately experienced as a dragging of the leg which manifests itself in terms of the inability to climb the stairs without difficulty, a propensity for tripping up the curb, and so forth. At the reflective level, the dragging of the leg is constituted as "suffered illness." It signifies or points to a more complex entity of which the dragging of the leg is but one part. Furthermore, it is experienced not simply as the inability to climb the stairs but as a disorder which is located "in the leg" or "in my leg." When the illness becomes further constituted by the patient as "disease," the dragging of the leg is experienced as "a dragging of the leg which may indicate neurological disease" or as "possible multiple sclerosis" or "possible brain tumor." If a visit to the physician confirms, say, "multiple sclerosis" then from that point on the dragging of the leg is constituted by the patient as "multiple sclerosis." Consequently, if asked how he is faring, the patient is likely to say "the multiple sclerosis is progressing" or "I'm having problems with the M.S." It is important to recognize, however, that even though the patient may understand his "disease" as "multiple

sclerosis" and, consequently, as involving a disruption of the nerve pathways which control motor functioning, he does not experience the disruption of the nerve pathways directly (i.e. he does not directly experience the lesion in the central nervous system which is the disease known by the physician).

In contrast, the physician constitutes the patient's illness directly as a disease state (i.e. as "bacteria and lesions in tissue"). It is not simply that the physician constitutes the fundamental alien body sensation as "suffered illness" and further as "multiple sclerosis" (indeed since, this represents the subjective experience of illness, he is unable to constitute this at all) but rather that he regards the fundamental entity as being the lesion in the central nervous system. Thus, for the patient, the fundamental entity is the body painfully-lived whereas for the physician the fundamental entity is the disease state.

4. Implications for Medical Practice

The foregoing phenomenological analysis reveals the enormous complexity of the constitution of illness. In particular, this analysis underscores the philosophical importance of the difference between meaning which is grounded in lived experience and meaning which represents an abstraction from lived experience. The "disease state" - as constituted in the "naturalistic attitude" - represents a theoretical abstraction and a level of constitution which is distinct from, and not identical with, the patient's experiencing. Illness in

its complexity cannot be reduced to its conception as a pathoanatomical and pathophysiological fact. If one is to alleviate the patient's suffering, it is necessary to pay explicit attention not only to the patient's sensory experience of illness but also to his constitution of illness at the reflective level (such constitution being a function of his own particular meanings, evaluations, expectations, and so forth).

In this regard it is important to emphasize that there is a distinction between suffering and clinical distress. Suffering is experienced by persons, not merely by bodies.⁴⁶ Suffering occurs at the reflective level and is intimately related to the manner in which the patient constitutes his illness (i.e. the meaning and significances assigned to the pre-reflective sensory experience by the particular patient). So, for example, suffering may involve physical pain but is not limited to it. It is the particular significance accorded to such pain (or other physical anomaly) that causes suffering. Engelhardt asks us to consider, for example, the difference between pain recognized as a beginning heart attack signalling possible death (full of foreboding and danger), pain a runner feels as he wins a race, pain experienced as a part of loveplay, pain experienced by a patient with terminal cancer (signalling the inevitability and proximity of death), and pain thought by the patient to be a sign of a heart attack but which instead is diagnosed as a non-threatening ailment.⁴⁷ It is clear from these examples that not only will certain of these instances of pain not be constituted as "illness" by the patient but, in addition, the meaning that the patient assigns to the pain will determine whether

or not such pain involves suffering.

As has been noted, it is the case that meanings inherent in the life narrative of the patient determine whether or not pre-reflective sensory experience is constituted as "suffered illness" and "disease." It is also the case that such meanings determine whether "disease" involves suffering. That is, the significance of alien sensations (such as stiffness in the joints, a disfigurement such as an unsightly rash) will vary according to the particular patient's life situation. Whereas stiffness in the joints (constituted as "arthritis") may be simply a nuisance to one individual, it might represent untold suffering to a professional concert pianist. This is simply to note that the constitution of illness, and the suffering which accompanies it, is integrally related to the whole pattern of a person's life.⁴⁸

Cultural meanings are an important determinant in the constitution of illness. Consequently, cultural definitions can also be a source of suffering to the sick person. Such definitions influence the behavior of others towards the person who is ill and the behavior of the sick towards themselves. Cultural norms and social rules determine whether or not the sick will be considered foul or acceptable, whether they are to be pitied or censured, and whether or not they should be isolated.⁴⁹ For example, it might be the case that a disfigurement which causes no overt loss of function or sensory distress leads to suffering at the reflective level due to the negative reaction of others who find the disfigurement unacceptable or unattractive. Similarly, the suffering which accompanies disability is

not solely caused by the actual loss of function but incorporates the patient's recognition of a devaluation in status which reflects cultural values.⁵⁰

Since suffering is intimately related to the manner in which illness is constituted by the patient, it is clear that the alleviation of suffering requires that attention be paid to such constitution. Since suffering is not identical with clinical distress (and illness is not identical with the "disease state"), suffering is not necessarily alleviated when attention is given solely to illness as a "disease state." Indeed, Cassell argues that the failure to understand the nature of suffering can result in medical intervention which (though technically adequate) not only fails to relieve suffering but itself becomes a source of suffering for the patient.⁵¹ An obvious example would be the suffering engendered by the mutilation of one's body following a radical mastectomy or the distress accompanying impotence following a radical prostatectomy – both procedures, however, representing successful intervention in terms of cancer therapy.

Changes in meaning may relieve or exacerbate suffering. In this connection it is important to consider the impact of diagnosis on the patient's constitution of illness. In the example provided by Engelhardt (see above), the diagnosis changed the meaning of the experience of pain when what was initially thought to be pain associated with an impending heart attack was diagnosed instead as a non-threatening ailment. Diagnoses are, however, themselves permeated with personal and cultural meanings. Indeed, whether or not a

diagnosis has validity as a bona fide illness is in large part a function of the culture and the historical period. For example, Kleinman indicates that "neurasthenia" is no longer a "fashionable" diagnosis in North America (although at one time it was considered the "American disease"). Consequently, it has been banished from orthodox nosology in this country although it is considered a legitimate physical ailment in China.⁵² Sontag has explored the cultural meanings (and stigma) associated with such diseases as cancer and AIDS.⁵³ Others have similarly noted that each society structures the meaning of illness in very different ways according to its own values.⁵⁴ This collective representation of illness enters into the meaning which the diagnosis has for the patient. For example, Carson emphasizes that cancer is loaded with symbolic meaning, "surrounded by metaphoric meanings of a particularly horrid kind."⁵⁵ Consequently, facts about cancer are "loaded facts, freighted with a significance that, if undiscerned or unacknowledged, will likely thwart even the most well-intentioned dedication to patient care."⁵⁶

Since changes in meaning can alleviate or exacerbate suffering, it is important to understand the meaning a diagnosis has for a particular patient. The only way to find out is to ask the patient. In this way it may well be possible for the physician to alleviate unnecessary suffering resulting from evaluations and expectations which might be interpreted differently. For example, I well remember receiving the diagnosis that I had multiple sclerosis. A few days earlier I had fortuitously read an article in a popular magazine in the

doctor's office which related the story of a young woman (a former beauty queen), stricken with M.S., who was now severely disabled and confined to a wheelchair. Consequently, on hearing the diagnosis, my first question was, "Will I end up in a wheelchair?" The physician replied that he could give me no guarantees for the future. Not unnaturally I interpreted this response to mean that I would indeed become disabled and perhaps in the near future. While the doctor's response was not incorrect (he couldn't guarantee my future physical state - for that matter who can?) it is certainly the case that not all M.S. patients end up severely incapacitated and my initial dire interpretation of my situation would have been less distressing had he included such information in his response to my question. The point is that, if the physician is sensitive to the patient's interpretive understanding of his illness, he can act as an arbiter of meaning - perhaps enabling the patient to modify or change an inappropriate interpretation of his situation.

With regard to the impact of diagnosis, the failure to constitute illness as a "disease state" may also be a source of suffering to the patient. As has been noted the biomedical model requires that the patient's complaints be correlated with demonstrated pathoanatomical or pathophysiological findings if such complaints are to be recognized as bona fide illnesses. Thus, a scientific diagnosis validates the patient's experience and the lack of such a diagnosis suggests such experience is not to be taken seriously as a medical problem.⁵⁷ Engelhardt shows the distrust of nonpathoanatomically or

nonpathophysiologically based complaints has become integral to the character of modern Western medicine. In medical training (most of which takes place in high technology hospitals) patients with recurring but nonspecific complaints which are not easily assimilable within the scientific model are regularly referred to as "crocks" and their symptoms dismissed as unimportant.⁵⁸ Yet patients seeking care for complaints which are not easily referred to specific pathoanatomical or pathophysiological lesions are numerous in general practice. For such a patient to have his experience of illness dismissed as not "really" illness, to be told that it is "all in his head" or "there is nothing wrong with you" is a source of dismay (especially as this assessment not only contradicts his actual experience but implies that his distress is not legitimate).⁵⁹

There are other reasons why it is important for the physician to have some understanding of the patient's constitution of illness. In particular, such an understanding enables the physician to be a better therapist. It is, for example, essential to recognize that the patient's constitution of "disease" is not identical with the naturalistic account of the "disease state." Engel shows that ignoring the patient's meanings can result in errors in diagnosis and therapy. He details a case where the physician tacitly assumed that the patient's description of his complaint as "spitting blood" meant hemoptysis (the coughing up of blood). This unquestioned assumption led to thorough pulmonary studies including bronchoscopy, none of which yielded an explanation for the bleeding. However, when subsequently

asked exactly what he meant by "spitting blood," the patient proceeded to describe in detail feeling something flowing down the back of his throat which when "hawked up" proved to be bloody mucus. He had never "coughed up" blood. His problem proved to be a small varix oozing blood in the nasopharynx which was subsequently cauterized.⁶⁰ Cassell notes that patients often describe their symptoms in disease terms (e.g. "I had a virus last week"). However, it is important for the physician to recognize that the patient's conception of, say, "virus" is significantly different from his own conception and such differences need to be carefully explored.⁶¹

The analysis of the constitution of illness reveals that at the level of "disease" the patient assigns explanatory meaning to his experience. In this connection Kleinman argues that it is vital for the physician to understand the patient's explanatory model of his illness. Explanatory models are notions that patients, families and practitioners have about specific illness episodes. The patient's explanatory model involves answers to such questions as: what is the nature of the problem, why has it affected me, why now, what course will it follow, what treatment do I desire, what do I fear most about the illness and its treatment, and so forth.⁶² Obviously, the patient's explanatory model will differ from the explanatory model constituted by the physician. Kleinman notes that the elicitation of patient and family explanatory models aids the practitioner in organizing strategies for clinical care. The doctor who does not sufficiently take account of the patient's understanding of "disease" may miss crucial features

of the illness or may prescribe inappropriate treatment.⁶³

Furthermore, it is important that the practitioner take the time effectively to communicate to the patient his explanatory model of the illness. Failure to ensure that the patient clearly incorporates this model may result in appropriate treatment being ignored. Engelhardt argues, for example, that until patients see themselves as "diabetics" or "hypertensives," they do not regularly do the things that diabetics or hypertensives ought to do.⁶⁴ Engelhardt here makes the important point that patients can, in fact, learn to interpret their illness in terms of the objective, quantitative data that characterize the "disease state." For example, in living with leukemia, Stewart Alsop eventually came to structure his illness in terms of platelet counts.⁶⁵ Chronically ill individuals, such as diabetics or sufferers from chronic renal insufficiency treated by kidney machines, must closely follow their condition and may perceive their illness in terms of levels of glycemia, measurements of arterial pressure, phosphorus-calcium ratios, and so forth.⁶⁶ (Of course, this interpretation represents an abstraction from their lived experience. Alsop, for instance, found that his actual experience – how he felt – did not necessarily correlate with the quantitative data provided by the platelet counts, although the results of such counts naturally affected how he responded in terms of the threat posed by his illness.)

The analysis of the constitution of illness reveals a fundamental change in the manner in which the body is experienced in illness.

Abnormal sensory experience renders the body thematic. Rather than living his body unreflectively, the patient focuses on the bodily disruption and attempts to discover its meaning. Furthermore, at the level of "disease" the patient objectifies his body and constitutes it as a neurophysiological organism by means of concepts acquired from others. This constitution of the body as an object results in a sense of alienation between body and self which is intrinsic to the experience of illness. Such alienation is intensified as a result of the physician's constitution of the body as a scientific object within the "naturalistic attitude." As is the case with illness, the constitution of the body reflects the distinction between meaning which is grounded in lived experience and meaning which is not so grounded. This bodily constitution will be explored in detail in the following chapter.

NOTES

¹Jean-Paul Sartre, Being and Nothingness, trans. Hazel E. Barnes (New York: Pocket Books, 1956), 436-45, 463-70. In another context I have argued that Sartre's analysis is very helpful in understanding the temporality of illness. See my article, "The Temporality of Illness: Four Levels of Experience," Theoretical Medicine, (forthcoming.)

²Sartre, Being and Nothingness, 436-38.

³Sartre, Being and Nothingness, 440-41.

⁴Sartre, Being and Nothingness, 442.

⁵Sartre, Being and Nothingness, 442.

⁶Sartre, Being and Nothingness, 466.

⁷Sartre, Being and Nothingness, 466.

⁸Sartre, Being and Nothingness, 466.

⁹Drew Leder, "Clinical Interpretation: The Hermeneutics of Medicine," Theoretical Medicine, (forthcoming).

¹⁰In this context I am focusing for the most part on illness which relates directly to the disruption of body rather than illness which is experienced as a disorder of mental functioning. This is not intended to suggest an arbitrary distinction, or to imply that a careful phenomenological analysis of mental illness is not warranted. This limitation is imposed simply in the interests of constraining this particular work within reasonable limits.

¹¹Eric J. Cassell, Clinical Technique, vol. 2 of Talking with Patients (Cambridge, Mass.: The MIT Press, 1985), 25.

¹²H. Tristram Engelhardt, Jr., "Ideology and Etiology," The Journal of Medicine and Philosophy 1 (September 1976): 260.

¹³Cassell, Clinical Technique, 26.

¹⁴The expression "painfully-lived" here should not be taken to imply that illness at the pre-reflective level always involves the experience of pain per se. Obviously, the pre-reflective experience of "illness" may not include pain although it will involve some felt bodily disruption or some perceived disfigurement which causes the body

to become thematic in a distinct way.

¹⁵Cassell, Clinical Technique, 14.

¹⁶Leo Tolstoy, "The Death of Ivan Ilych," in Story and Structure, 5th edition, ed. Laurence Perrine (New York: Harcourt Brace Jovanovich, Inc., 1966), 528.

¹⁷It should perhaps be noted that such unusual sensory experience does not have to be pathological for this shift of attention to occur. One may, for example, become acutely aware of one's body in moments of sexual arousal, during strenuous physical exercise, and so forth. The key point is that the shift of attention renders the body itself thematic.

¹⁸H. Tristram Engelhardt, Jr., "Illnesses, Diseases and Sicknesses," in The Humanity of the Ill, ed. Victor Kestenbaum (Knoxville: The University of Tennessee Press, 1982), 146.

¹⁹Engelhardt, "Illnesses, Diseases and Sicknesses," 141.

²⁰Engelhardt, "Illnesses, Diseases and Sicknesses," 141.

²¹Eric J. Cassell, "The Subjective in Clinical Judgment," in Clinical Judgment: A Critical Appraisal, ed. H. Tristram Engelhardt, Jr., Stuart F. Spicker, and Bernard Towers (Dordrecht, Holland: D. Reidel Publishing Co., 1979), 211.

²²Cassell, "The Subjective in Clinical Judgment," 203.

²³Cassell, "The Subjective in Clinical Judgment," 212.

²⁴Engelhardt, "Ideology and Etiology," 262.

²⁵Arthur Kleinman, The Illness Narratives: Suffering, Healing and the Human Condition (New York: Basic Books, Inc., 1988).

²⁶Kleinman, The Illness Narratives, 11. In this connection Engelhardt says that pre-linguistic sensations must necessarily be described linguistically in the medical encounter and that language itself interprets and shapes the experience. H. Tristram Engelhardt, Jr., "Pain, Suffering, Addiction and Cancer," in Drug Treatment of Cancer Pain in a Drug Oriented Society, ed. C. Stratton Hill, Jr., and William S. Fields, vol. 11 of Advances in Pain Research and Therapy (New York: Raven Press, 1989), 71-79.

²⁷Cassell, "The Subjective in Clinical Judgment," 203.

²⁸Cassell, "The Subjective in Clinical Judgment," 203.

²⁹Schutz makes this point when he argues that a completely uniform social distribution of knowledge cannot exist in principle because there are different social relevances based on gender, age, social strata, and so forth. Alfred Schutz and Thomas Luckmann, The Structures of the Life-World, trans. Richard M. Zaner and H. Tristram Engelhardt, Jr. (Evanston, Illinois: Northwestern University Press, 1973), 310ff.

³⁰Kleinman, The Illness Narratives, 24.

³¹As Engelhardt has pointed out, in a highly technological society such as ours "bits of knowledge" acquired from others are incorporated into the constitution of the lived experience of the body. Consequently, the boundaries between "suffered illness" and

"disease" may not be as crisp as Sartre suggests, in that the constitution of "suffered illness" as a synthetic totality will be influenced by the theoretical understandings that are embedded in the life-world. The constitution of "suffered illness" will reflect the particular life-world in which the patient finds himself.

³²Sartre, Being and Nothingness, 466.

³³It should be noted that in this context I am confining my analysis to Western scientific medicine. Kleinman and others note, of course, that illness is conceptualized differently by practitioners in different systems of medical knowledge. Arthur Kleinman and Everett Mendelsohn, "Systems of Medical Knowledge: A Comparative Approach," The Journal of Medicine and Philosophy 3 (December 1978): 314-30; Eric J. Cassell, "Illness and Disease," Hastings Center Report 6 (April 1976): 27-37. Furthermore, the actual construal of the "disease state" has varied in different times. See, H. Tristram Engelhardt, Jr., "The Subordination of the Clinic," in Value Conflicts in Health Care Delivery, ed. Bart Gruzalski and Carl Tulson (Cambridge, Mass.: Ballinger Publishing Co., 1982), 41-57. Nevertheless, as explanatory models, these differing conceptualizations share in common the fact that they represent a level of constitution which is distinct from the level of lived experience.

³⁴George L. Engel, "The Need for a New Medical Model: A Challenge for Biomedicine," Science 196 (April 1977): 130-31; Richard J. Baron, "Bridging Clinical Distance: An Empathic Rediscovery of the Known," The Journal of Medicine and Philosophy 6 (February 1981): 5-23; Michael A.

Schwartz and Osborne Wiggins, "Science, Humanism, and the Nature of Medical Practice: A Phenomenological View," Perspectives in Biology and Medicine 28 (Spring 1985): 331-61; Ian R. McWhinney, "Changing Models: The Impact of Kuhn's Theory on Medicine," Family Practice 1 (1983): 5; Kleinman, The Illness Narratives, 5-6.

³⁵It should, of course, be noted that the constitution of the disease state has changed through time. For an excellent summary of the changes in understanding which have led to this modern pathoanatomical and pathophysiological account see, Engelhardt, "The Subordination of the Clinic." Engelhardt notes, for example, that there has been a shift from clinically oriented appreciations of disease - such as that of Thomas Sydenham (1624-1689) - to pathoanatomical, pathophysiological and bacteriological accounts of disease. Consequently, the theoretical presuppositions of the basic sciences have come to structure the experience of illness. He argues that, largely as a result of developments in the science of pathoanatomy in the 19th century, the primary focus of medicine went inside the body and disease thus became identified with pathoanatomical lesions or pathophysiological disturbances. See also the following works in Concepts of Health and Disease: Interdisciplinary Perspectives, ed. Arthur L. Caplan, H. Tristram Engelhardt, Jr., and James J. McCartney (Reading, Mass.: Addison-Wesley Publishing Company, 1981): Thomas Sydenham, "Preface to the Third Edition, *Observationes Medicae*," trans. R. G. Latham, 145-55; G. B. Morgagni, "The Seats and Causes of Disease: Author's Preface," 157-65; Xavier Bichat, "Pathological

Anatomy: Preliminary Discourse," 167-73; Rudolf Virchow, "Three Selections from Rudolf Virchow," trans. S. G. M. Engelhardt, 187-95; Henry Cohen, "The Evolution of the Concept of Disease," 209-19; and Owsei Temkin, "The Scientific Approach to Disease: Specific Entity and Individual Sickness," 247-63. This shift between 18th and 19th century understandings of disease is also detailed by Michel Foucault in The Birth of the Clinic: An Archaeology of Medical Perception, trans. A. M. Sheridan Smith (New York: Vintage Books, 1975).

³⁶Engelhardt, "The Subordination of the Clinic," 47; Engelhardt, "Ideology and Etiology," 260.

³⁷Michael A. Schwartz and Osborne Wiggins, "Scientific and Humanistic Medicine: A Theory of Clinical Methods," in The Task of Medicine: Dialogue at Wickenburg, ed. Kerr L. White (Menlo Park, California: The Henry J. Kaiser Family Foundation, 1988), 140.

³⁸That this account of illness is problematic is well illustrated by Richard Baron in his article "An Introduction to Medical Phenomenology: I Can't Hear You While I'm Listening," Annals of Internal Medicine 103 (October 1985): 606-11. Baron refers to several studies on ulcer treatment which all demonstrated that the anatomic fact of an ulcer cannot be correlated with patients' complaints. For example, in one major study after four weeks of treatment 55 percent of patients whose ulcers were unhealed were asymptomatic, regardless of treatment group. In addition 12 of 45 patients whose ulcer had healed endoscopically continued to have ulcer symptoms. See, Walter L. Peterson, Richard A. Sturdevant, Harold D. Frankl, et al, "Healing of

Duodenal Ulcer With an Antacid Regimen," New England Journal of Medicine 297 (August 1977): 341-45; Karsten Lauritsen, Simon J. Rune, Peter Bytzer, et al, "Effect of Omeprazole and Cimetidine on Duodenal Ulcer: A Double-Blind Comparative Trial," New England Journal of Medicine 312 (April 1985): 958-61.

³⁹That such findings take on a life of their own may be illustrated in the following example from my own experience. Some time subsequent to being diagnosed as having multiple sclerosis, I was hospitalized because of significant motor weakness and muscle pain. I was having great difficulty climbing stairs, walking more than a very short distance, and so forth. While the motor weakness was not atypical of MS, the muscle pain was unusual and my physician thought it necessary to investigate the possibility of a primary muscle disorder. Various tests were performed culminating in a muscle biopsy. The initial pathology report indicated that there was a primary myopathic process going on but there was no explanation as to the cause. Since there was no clearcut definition of the problem, it was also not clear what therapy might be instituted to correct it. I was extremely discouraged by my inability to get around, by the continuing pain, and by the apparent inconclusiveness of the tests. In frustration I commented that, since the biopsy did not indicate what the problem was, nor what to do about it, we seemed to have gained little by performing the procedure. My physician replied, "Oh, but we have! Now we KNOW something is wrong." For me, to know that something was "wrong" was to be acutely aware of my bodily dysfunction and discomfort, and my

inability to carry out the most mundane of activities. For the physician, to know that something was "wrong" was to have "objective" evidence in the form of an abnormal pathology report with respect to the muscle tissue removed from my thigh.

⁴⁰Drew Leder, "Clinical Interpretation: The Hermeneutics of Medicine."

⁴¹McWhinney, "Changing Models: The Impact of Kuhn's Theory on Medicine," 5.

⁴²Edmund Husserl, The Crisis of European Sciences and Transcendental Phenomenology: An Introduction to Phenomenological Philosophy, trans. David Carr (Evanston, Illinois: Northwestern University Press, 1970), 5-7. Of course, Husserl's concern in The Crisis is to uncover the problems inherent in this view.

⁴³Husserl, The Crisis, 48-53; Schwartz and Wiggins, "Scientific and Humanistic Medicine," 140.

⁴⁴It can be argued, of course, that all facts (including medical facts) are interpreted facts. Ludwik Fleck has demonstrated that medical facts are socially conditioned. That is, there is an intimate relationship between the observer and the observed such that observations are always culture-laden. Certain thought-styles make certain observations possible and others impossible. See, Thaddeus Trenn, "Ludwik Fleck's 'On the Question of the Foundations of Medical Knowledge'," The Journal of Medicine and Philosophy 6 (August 1981): 237-56; also Laurence B. McCullough, "Thought-Styles, Diagnosis and

Concepts of Disease: Commentary on Ludwik Fleck," The Journal of Medicine and Philosophy 6 (August 1981): 257-61. For the relation between facts and theories see, Thomas S. Kuhn, The Structure of Scientific Revolutions, 2nd edition (Chicago: University of Chicago Press, 1970).

⁴⁵H. Tristram Engelhardt, Jr., "Pain, Suffering, Addiction, and Cancer."

⁴⁶Eric J. Cassell, "The Nature of Suffering and the Goals of Medicine," The New England Journal of Medicine 306 (March 1982): 639-45.

⁴⁷H. Tristram Engelhardt, Jr., "Pain, Suffering, Addiction and Cancer."

⁴⁸Cassell has suggested that one's life is a project or process such that the life project may be seen as a "fabric wherein a pattern is being created of each moment." Thus, it is useful to see illness as, among other things, a disruption of this pattern. Eric J. Cassell, "Quality of Life is a Personal Choice," in The Common Bond: The U.T. System Cancer Center Code of Ethics, ed. Jan van Eys and James Bowen (Springfield, Illinois: Charles C. Thomas, 1986), 57-65.

⁴⁹Cassell, "The Nature of Suffering and the Goals of Medicine," 642.

⁵⁰For an enlightening discussion on the social aspects of disability see, Robert F. Murphy, The Body Silent (New York: Henry Holt and Company, Inc., 1987). Murphy, a distinguished anthropologist at

Columbia University, became quadriplegic as a result of a spinal cord tumor. In describing his experience he pays special attention to the social meanings which accompany disability.

⁵¹Cassell, "The Nature of Suffering and the Goals of Medicine," 639-45.

⁵²Kleinman, The Illness Narratives, 102ff. Engelhardt argues that particular ideologies are likely to tempt us to explain particular phenomena as diseases in order to fit our ideological needs (e.g. drapetomania, the disease of slaves who fled the South for the North). Also he notes that states of affairs are classified as disease states for social and ideological reasons, to apply the sick role to those in that state (e.g. alcoholism, drug abuse, and so forth). Engelhardt, "Ideology and Etiology," 262. For a fascinating discussion of the interrelationship between evaluation and explanation in this regard see, H. Tristram Engelhardt, Jr., "The Disease of Masturbation: Values and the Concept of Disease," Bulletin of the History of Medicine 48 (Summer 1974): 234-48. In this article Engelhardt describes how in the 18th and 19th century masturbation was regarded to be a dangerous disease entity.

⁵³Susan Sontag, Illness as Metaphor (New York: Farrar, Straus and Giroux, 1978); Susan Sontag, "AIDS and Its Metaphors," The New York Review of Books 35 (October 1988), 89-99.

⁵⁴Claudine Herzlich and Janine Pierret, Illness and Self in Society, trans. Elborg Forster (Baltimore, Maryland: The Johns Hopkins

University Press, 1987).

⁵⁵Ronald Carson, "Care and Research: Antinomy or Complement," in The Common Bond: The UT System Cancer Center Code of Ethics, ed. Jan van Eys and James Bowen (Springfield, Illinois: Charles C. Thomas, 1986), 48-50.

⁵⁶Carson, "Care and Research: Antinomy or Complement," 50.

⁵⁷Not only is it the case that the lived experience of illness may be present in the absence of demonstrable pathoanatomical or pathophysiological findings but the reverse is also true. That is, illness may be constituted as a "disease state" in light of certain objective clinical findings (abnormal xrays, blood tests, etc. discovered during a routine physical exam) but the patient has noticed no abnormal sensory experience (i.e. he doesn't feel sick) and, therefore, he has not constituted his experience as illness at the level of immediate experience.

⁵⁸Engelhardt, "The Subordination of the Clinic," 50. See also, William Donnelly, "Medical Language as Symptom: Doctor Talk in Teaching Hospitals," Perspectives in Biology and Medicine 30 (Autumn 1986): 81-94; Melvin Konner, Becoming a Doctor: A Journey of Initiation in Medical School (New York: Viking Penguin Inc., 1987).

⁵⁹For a personal account of this type of suffering see, Barbara D. Webster, All of a Piece: A Life With Multiple Sclerosis (Baltimore, Maryland: The Johns Hopkins University Press, 1989), 1-15.

⁶⁰George L. Engel, "Physician-Scientists and Scientific

Physicians: Resolving the Humanism-Science Dichotomy," The American Journal of Medicine 82 (January 1987): 107-109.

⁶¹Cassell, "The Subjective in Clinical Judgment," 210.

⁶²Kleinman, The Illness Narratives, 121-22.

⁶³Leder, "Clinical Interpretation: The Hermeneutics of Medicine."

⁶⁴H. Tristram Engelhardt, Jr., "Illnesses, Diseases, and Sicknesses," 142-56.

⁶⁵Stewart Alsop, Stay of Execution (Philadelphia: J. B. Lippincott Company, 1973).

⁶⁶Herzlich and Pierret, Illness and Self in Society, 94-97.

CHAPTER THREE

THE CONSTITUTION OF BODY

In considering the manner in which the body is constituted by the patient in illness, it is important first to explore the manner in which the body is constituted in normal circumstances. In particular, a distinction will be made between the lived body (the body experienced at the pre-reflective level in a non-objective way) and the objective or physiological body (the body apprehended at the reflective level as a material objective entity among other entities within the world). It will be noted that the objectification of body results in a sense of alienation from body. The body-as-object appears as something outside my subjectivity, as a thing which is other-than-me.

1. The Constitution of Body

1.1 Lived Body

The phenomenological analysis of body provided by Sartre and Merleau Ponty¹ reveals a fundamental distinction between the lived body (the body as it is immediately experienced in a non-reflective or pre-reflective manner) and the objective or physiological body. In particular, such an analysis discloses that at the pre-reflective level (i) the body is not explicitly thematized as body (i.e. it is not

apprehended as a physiological body or as a material object among other material entities within the world); (ii) the relation with lived body is an existential, rather than an objective, relation. At the level of lived body I do not "have" or "possess" a body, I am my body; (iii) there is thus a fundamental identification with body at the pre-reflective level such that there is no perceived separation between body and self; and (iv) the lived body exhibits certain features which are essential to embodiment. Such features include being-in-the-world, bodily intentionality, primary meaning, contextural organization, body image, and gestural display and significance.²

At the pre-reflective level, as Sartre notes, the body is not explicitly thematized as body. In the normal course of events I do not experience my lived body as a biological organism (i.e. as a brain, skeleton, nerve endings, and so forth).³ My lived body is essentially that which is perpetually "forgotten" or "surpassed" in carrying out my projects in the world.⁴ In writing a letter, for example, I am not explicitly aware of the neurophysiological mechanism which controls the movement of my hand and the grasping of the pen. Indeed, I am not even conscious of my hand at all. My attention is wholly directed to the task at hand. While the lived body is present in every action, it is "invisible." The act reveals the writing of the letter, not the hand which writes. While the lived body is always present, always the center of reference for my world (and in that sense always the "referred to" of my world), it is the "inapprehensible given" - a center which is indicated but never grasped as such.⁵

What Sartre is concerned to emphasize here is that at the level of lived body any consciousness of the body is a non-thetic consciousness. So, for example, at the level of lived experience pain in the eyes is simply exhibited as the eyes "painfully-lived." Since I am my body, in that I am an embodied subject, it takes an act of reflection to make my body stand out as body (ie. to turn my lived body into an object for me-as-subject).⁶

My lived body is both the total center of reference which things indicate and the instrument and end of my actions (i.e. the center of a complex system of instrumentality and the reference of the series of instrumental acts). Nevertheless, since I am my body, I do not perceive it to be an instrument like other instruments.⁷

I am not in relation to my hand in the same utilizing attitude as I am in relation to the pen; I am my hand ... I can apprehend it - at least in so far as it is acting - only as the perpetual, evanescent reference of the whole series ... my hand has vanished; it is lost in the complex system of instrumentality in order that the system may exist. It is simply the meaning and orientation of the system.

As an embodied subject I find myself always within the world in the midst of envioning things. I am "embodied" in the sense not that I "possess" a body but in the sense that I am my body. Rather than being an object of the world, my body is my particular point of view on the world.⁸ Indeed, as Merleau-Ponty notes, it is by means of my body that I have access to the world in the first place. Sensory experience is, after all, the sole means by which the envioning world of things is at all disclosed to me. As my orientational locus in the world, my

body both orients me to the world around me by means of my senses, and positions the environing world in accordance with my bodily placement and actions.⁹ From the point of view of my experience of the world, to perceive something is necessarily to be related to it by means of my body.¹⁰

Furthermore, the lived body exhibits certain features which are essential to embodiment. Such features include being-in-the-world, bodily intentionality, primary meaning, contextural organization, body image and gestural display.

Being-in-the-world: My bodily engagement in the world is an active one. Rather than being an exclusively physical thing devoid of intentionality, the lived body is an embodied consciousness which engages and is engaged in the surrounding world.¹¹ Not only do I constantly find myself within the world but I continually move towards the world and organize it in terms of projects, and so forth.¹² In this respect, says Merleau-Ponty, sensory perception is neither a purely mechanical, physiological process nor, alternatively, a purely psychological one. Rather, sensing exhibits a "bodily intelligence and affectivity."¹³ Thus, the function of the lived body can only be understood insofar as the lived body is a being-in-the-world. It is the global presence of the situation which gives meaning to the sensory stimuli and "causes them to acquire importance, value or existence for the organism."¹⁴ Perception cannot be divorced from the concrete situation of the perceiver. Every sensible quality not only exists within a specific milieu but is determined and defined with respect to

the "task at hand."¹⁵ Consequently, bodily acts must be understood in terms of their being acts which take place within a certain situation having a certain practical significance for the embodied subject.¹⁶

Bodily intentionality: As a practical field of significance, the world arouses in the lived body certain habitual intentions (for example, manipulatory movements such as grasping and so forth). Consequently, objects are apprehended as manipulatable or utilizable by the body.¹⁷ In its directedness towards (attentiveness to) the world the body thus exhibits a bodily intentionality. The parts of the body may be understood as "intentional threads" linking it to the objects (the world) which surround it. As such, objects are "poles of action" which delimit a certain situation and which call for a certain mode of resolution, a certain kind of work.¹⁸ Perception reveals objects as "invitations" to my body's possible actions on them, as "problems" to my body.¹⁹ Consequently, every perceived object is inseparably connected to my body since my body is the locus of all intentions. The surrounding world is always grasped in terms of a concrete situation. Objects are encountered, for example, as the cloth "to be cut up," the book "to be read" or "to be replaced on the shelf." Bodily space is given as an intention to take hold - a matrix of bodily action.

Embodied consciousness is, then, in the first place not a matter of "I think" but of "I can." In the action of the hand which reaches for the pen is contained a reference to the object, not as object represented, but as that highly specific thing at which I aim "in order to" effect some action. Every formula of movement presents itself to

the body as a practical possibility, a sphere of action.²⁰ Consequently, objects are oriented as the context for the body's possible action. The object is presented to the body as a question, a problem to be solved.²¹ Thus, into every geographical setting is built a behavioral one - a system of meanings by means of which the individual organizes the given world.²² The embodying organism is experienced as "always in the midst of environing things, in this or that situation of action, positioned and positioning relative to some task at hand."²³

Primary meaning: Merleau-Ponty argues, moreover, that the primary imposition of meaning is that afforded by the body through what he calls "physiognomic perception."²⁴ As the work of such developmental psychologists as Jean Piaget has demonstrated, the infant first understands the world through the experiences of sense perception and bodily action, and only subsequently through the development of rational and conceptual thought.²⁵ There is thus a primary "knowing" which is a "knowing" through the body. To be attentive to things is to exist towards them in a manner which "precedes essentially all thematization, categorization and predication."²⁶ The meaning afforded by sensory-motor experience is a direct response to the world and is prior to any act of reflection or conceptualization.

Furthermore, the primary perceptual relation between body and object is that of "form-giving."²⁷ Sensory perception is already charged with meaning in that the object is always grasped as a significant whole against a background of co-perceived things (i.e. in

a figure/ground relation). As Merleau-Ponty points out, we do not experience the world in terms of pure, isolated, sensations (i.e. in terms of such sense data as meaningless red patches). In our actual common-sense experiencing of the world there is no such thing as a pure impression or isolated datum of perception.²⁸ "To perceive is not to experience a host of impressions ... it is to see, standing forth from a cluster of data, an immanent significance."²⁹

Furthermore, implicit in any concrete situation is a set of meanings "whose reciprocities, relationships and involvements do not require to be made explicit in order to be exploited."³⁰ When I walk across the room, for example, I know without thinking about it that I must walk around the chair in order to get to the door. Locations and perceptions are immediately apprehended in relation to my bodily placement without being made explicit. Beneath objective space is a primitive spatiality of the body.

Contextural organization: Zaner notes that not only does perception disclose the world in terms of a figure/ground relation but the bodily organism itself exhibits a figure/ground relation. To execute any movement such as raising one's arm (figure) requires a definite background attitude for the rest of the body (ground). Any change in movement results in a change in background attitude. Indeed, every bodily performance (sensory, motor, emotive) is necessarily and intrinsically implicated with others.³¹ This leads Zaner to suggest that the body may be considered as a complex, organized "contexture."³² That is, as a systematic totality of intrinsic references or functional

significances, a "whole" composed of interrelating "parts" and "members." Indeed, it is a fundamental feature of embodiment that it is a part/whole, a "unity-in-difference" phenomenon at every level.³³ Moreover, Zaner argues that body, consciousness and world unite to form a unique and complex whole, a "complexure," whose "parts" are themselves strictly inseparable, albeit distinguishable, contextures.³⁴

Body image: The lived body is experienced as an integrated system of coordinated body movements which are distributed spontaneously among the various body segments. For the most part the individual does not consciously effect this coordination. As Merleau-Ponty notes, "I do not bring together one by one the parts of my body; this translation and this unification are performed once and for all within me; they are my body itself."³⁵ When I reach for the glass, for example, my body coordinates not only the physical movements of the arm but also links tactile and visual sensations.

Furthermore, I do not behold the relations between the parts of my body as a spectator. Rather, I know where my limbs are through a "body image" in which all are included. My body image is the total awareness of my posture in the intersensory world, a "form." This "form" is dynamic. My body appears to me as "an attitude directed towards a certain existing or possible task."³⁶ In addition, the body is experienced not only in terms of its present set of positions but also as "an open system of an infinite number of equivalent positions directed to other ends."³⁷ This system of equivalents, this "immediately given invariant whereby the different motor tasks are

instantaneously transferable" is included in the body image. It follows then that body image is not only an experience of my body but an experience of my body-in-the-world.

Gestural display: Just as it is through my body that I perceive things, so it is through my body that I understand the bodily actions of others. When my neighbor waves to me from across the street, I understand his gesture not through some act of intellectual interpretation but in a sort of "blind recognition." The meaning of the gesture is understood through my own body's capacity to express itself in gestures.³⁸

The communication or comprehension of gestures comes about through the reciprocity of my intentions and the gestures of others, of my gestures and intentions discernible in the conduct of other people. It is as if the other person's intention inhabited my body and mine his.

In this connection it is important to note that body gesturing is not, in its primordial appearances, a matter of some sort of "internal" goings-on being "pressed-outward" by bodily movements and attitudes.³⁹ Frowns and redness in the face do not simply express anger. They are the anger.

It should also be noted that when I perceive the Other, I perceive his body as a totality and I perceive him always as being in a situation. Sartre argues that in isolation the gesture would mean nothing. I do not perceive, by itself, a "clenched fist." I perceive a man who in a certain situation clenches his fist. It is this totality, "body in situation," which is anger.⁴⁰ Moreover, when my

friend waves to me, I do not see an arm raised against a motionless body. I see my friend—who-raises-his-arm "in order to" - in order to attract my attention, express his friendliness, and so forth. I perceive his body as a totality and his gesture as having practical significance.⁴¹

Zaner suggests that the body itself (stance, forms and motions) is a gestural display, its various and varying configurations communicating meaning to others.⁴² This is perhaps what we refer to when we speak of "body language," a language which at times communicates more eloquently than speech. In addition, Merleau-Ponty has noted that we develop a certain corporeal style, a certain bodily bearing which identifies the lived body as mine.⁴³

Summary: In summary, then, at the pre-reflective level of immediate experience the body is constituted in the natural attitude as lived body. At this level I do not explicitly thematize my body as a body. Rather than being an object for me-as-subject, the body-as-it-is-lived (the lived body) represents not only my particular point-of-view on the world but my unique being-in-the-world. As such it is the locus of my intentions and the instrument of my active engagement in and with the surrounding world. Consequently, I do not simply "have" or "possess" a body. As an embodied subject I AM my body. There is, thus, a fundamental identification with the lived body such that there is no perceived separation between body and self.

Moreover, certain essential features such as being-in-the-world, bodily intentionality, primary meaning, contextural organization, body

image and gestural display are characteristic of the lived body. Such features must be taken into account when examining the manner in which the patient constitutes his body at the pre-reflective level.

1.2. Body as Object

As has been noted, at the pre-reflective level the body is not explicitly thematized. Rather than being the object of attention, it is that which is surpassed in carrying out my projects in the world. To grasp my lived body as body requires an act of reflection which necessarily transforms it into an object-body.

For Sartre the apprehension of one's body-as-object - the awareness of one's own "thingness" - is revealed in the experience of "being-for-the-Other."⁴⁴ Sartre argues that I first experience my body-as-object in the gaze of the Other. In the experience of being looked-at, I recognize not only my being-an-object for another subject but also the brute fact of my being as material, "physico-biological stuff."⁴⁵ That is, I am aware that when the Other looks at me what he sees is a physical body (one that is engaged in certain activities within the world). Consequently, in apprehending myself as a "being-for-the-Other," I apprehend my body as an object and, furthermore, as a physical body (in Sartre's terms as an "ensemble of sense organs," as "flesh"). I see myself through the eyes of the Other and I recognize my facticity.⁴⁶

The experience of "being-for-the-Other" is one of alienation. The body appears as Other-than-me, as a thing outside my subjectivity.

For example, when I perceive the doctor's ear listening to my heartbeat, I experience myself as an object. The lived-body becomes designated as a thing outside my subjectivity in the midst of a world which is not mine.⁴⁷

Merleau-Ponty argues that there is, thus, a fundamental "ambiguity" in the structure of lived body. While the lived body is that which is most intimately "me" and "mine" (the "own-body" which I am), it is yet an object for others – being at once the "expression" and the "expressed" of my existence.⁴⁸

The apprehension of one's body as an object does not, however, arise solely in the experience of being an object-for-the-Other.⁴⁹ The body becomes present to consciousness as a material object in such mundane experiences as fatigue (when one is "dead" tired and must drag one's body around), stubbing one's toe against the corner of the bed and feeling the pain in the toe, participating in strenuous exercise and becoming aware of the rapid beating of one's heart, having one's arm go to sleep and experiencing it as a heavy, lifeless "thing," and so forth.⁵⁰ Gallagher notes that according to most researchers the body suddenly appears in the field of consciousness "when the organism loses or changes its rapport with the environment," i.e. in certain "limit situations" such as sickness or pain or in such positive experiences as sport, dance, and sexual excitement.⁵¹ In such situations the lived body is recognized as essentially corporeal – that is, it is apprehended as a physical, material entity.

In addition, Engelhardt points out that the body presents itself

as a mechanical, physical object in such everyday occurrences as brushing against poison ivy and breaking out in an angry looking rash, drinking a large quantity of beer and noticing a corresponding rise in urine output, or sitting in the sun and seeing a resulting bleaching of one's hair.⁵² In such occurrences the body appears as a physico-biological "thing" among other objects to be felt, seen and acted upon. Moreover, the body is apprehended as something which is Other-than-me. I am not (nor could I be) personally involved in the various and varied physical processes which characterize my body as a neurophysiological organism.

It should be noted that, as is the case with illness, the manner in which the individual constitutes his body as a physical entity will reflect the particular life-world in which he is situated. Thus, for those who live in a highly technological society the body will be constituted as a physico-biological thing according to pathoanatomically based theoretical concepts. For example, if I have a cramp in my leg I will be cognizant of my body as being a "skeletal body with a neuromuscular system"; if I cut my finger and it bleeds, I will recognize it to be a "body with a circulatory system."⁵³

Zaner suggests that to experience one's own body as Other is to experience the own-body as "uncanny" - the "uncanny" being something hidden (repressed) which suddenly makes its appearance.⁵⁴ He argues that there are four senses in which the body is experienced as "uncanny": (i) the inescapable/the limitation; (ii) chill and implicatedness; (iii) hidden presence; and (iv) alien presence.

In the first case Zaner notes that a sense of inescapability and limitation are essential to embodiment. While it is inescapable that I be embodied, it is a matter of contingency that I have this particular embodiment (i.e. this particular neurophysiological makeup). And this particular embodiment carries with it certain radical limitations. "In critical ways ... and whether I like it or not, there are some activities, postures, gestures, sensory encounters, and sensory refinements, etc., which are just not within my bodily scope, thanks to my being embodied by this and not some other body."⁵⁵ Thus, I must come to terms with the limitations of my embodiment. I am not free to do whatever I will. I must necessarily take my body into account. It is unlikely that I will become a professional basketball player if I am four foot eleven inches tall, or skilled in microsurgery if I lack coordination.⁵⁶

Moreover, says Zaner, while my body is experienced as intimately "mine," there is a sense in which I belong to it, in which I am at its disposal or mercy.⁵⁷

My body, like the world in which I live, has its own nature, functions, structures, and biological conditions; since it embodies me, I thus experience myself as implicated by my body ... I am exposed to whatever can influence, threaten, inhibit, alter, or benefit my biological organism.

Since whatever happens to my body affects me, bodily experiences are experiences of "corporeal implicatedness" - "if/then" experiences. I find myself to be that person who is bound to this particular embodiment and who is irrevocably bound to suffer whatever this

particular body suffers. The recognition of this corporeal implicatedness (especially in its most radical form, that of my own going-to-die) may be accompanied by a sense of chill or dread. Thus embodiment is experienced not only as that which is most intimate - "mine" - but as that which is "dreadfully and chillingly implicative."⁵⁸

Zaner also makes the point that my body is experienced as a "hidden presence" in that, as biological organism, it includes events, processes and structures over which I have no control and of which I have no awareness. In a sense my body seems to "carry on" without me and to have no need of me. Although I have an intimate knowledge of my body, yet I do not "know" it in fundamental ways. Even if I study anatomy and physiology, I do not directly encounter the "hidden presence" of my body. Rather I learn about "the" heart, "the" lungs, "the" metabolism. Rarely are these directly experienced or experienceable in my own case.⁵⁹ In this sense, says Gallagher, the body is "experientially absent."⁶⁰ While physiological processes are lived through, they are not consciously lived. Most processes or happenings in the body which may be described in neurophysiology are unfelt and do not seem "to me" to be happening in "my" body.⁶¹

Finally, Zaner suggests, my body manifests itself as other in the form of an essentially "alien presence" which has its own nature, its own biological rhythms, and so forth. "Whatever I want, wish or plan for, I irrevocably 'grow older,' 'become tired,' 'feel ill,' 'am energetic' - and these, at times, whether or not I plan my life, or my

day even, so as to gain some control over my bodily 'moods'."62 I am responsible for it, yet at its disposal, and at the same time it expresses and embodies me. My body is at once the most intimate yet alien presence.

It is clear that the objectification of the body at the reflective level involves a disruption of the unity of lived body. That is, as an object, the body may for the first time be apprehended as separate from the self. At the level of lived body I do not have an explicit awareness of my body as a separate entity. Rather I AM my body. I exist it. Therefore, the lived body is not a thing which "I" own and which makes me the subject and it the object.⁶³ Although there is an implicit, pre-reflective awareness of bodily identification at the level of lived body - for example, if I move my hand towards something, in some sense I "know" that it is "my" arm that moves - nevertheless, this is a non-objectifying experience.⁶⁴ The body is not thematic to consciousness as a thing apart from the self.

At the reflective level the body becomes an object for me as subject. I now explicitly recognize this body as "my" body in a sort of "owned" recognition. Rather than simply existing my body, I am aware that "I" have or possess a body. It is, after all, "my" body as opposed to the body of my friend, Fred (a fact in which I may take pride if I have spent long hours at the gym "building it up," or for which I may have fleeting regrets as I view his svelte frame contrasted with my own rotundity). This is, to be sure, a unique kind of possession. I cannot, for example, separate from my body in toto

(although I can rid myself of parts of it, such as an inflamed appendix). Consequently, there is – at the level of body-as-object – a sense not only of possession but also of identification. I understand myself to be conjoined with "my" body in a symbiotic relationship.

At the same time – in recognizing it as a material, physical object – I apprehend the body as Other-than-me. The apprehension of otherness of body is not necessarily a negative one. In positive experiences such as sexual arousal, sport, dance, and so forth, I may take delight in the physical nature of my body. However, as Zaner has pointed out, the apprehension of otherness of body may alternatively bring about a sense of alienation and "uncanniness." Under normal circumstances this sense of bodily alienation and "uncanniness" is, for the most part, a fleeting experience – one that is easily forgotten and passed over. As we shall see in the next section, however, in illness the apprehension of otherness of body is both negative and profoundly alienating.

2. The Constitution of Body by the Patient

2.1 Lived Body in Illness

Having examined the manner in which the body is experienced at the pre-reflective level under normal circumstances, I shall now explore the way in which the lived body is experienced by the patient. In particular, it will be noted that illness strikes at the fundamental features of embodiment which have been identified above. Consequently, at the level of immediate experience (prior to any reflective

objectification of body) illness manifests itself essentially as a disruption of lived body.

Bodily dysfunction necessarily causes a disturbance in the various and varying interactions between embodied consciousness and world. Consequently, the very nature of body as "being-in-the-world" is transformed.⁶⁵ First and foremost illness represents dis-ability, the "inability to" engage the world in habitual ways. A headache is not experienced simply as a pain in the head, but as the "inability to" concentrate on the book I am reading, enjoy the music I am listening to, have an animated conversation with my spouse, and so forth. Arthritis represents not so much an inflammation of the joints as it does the "inability to" button my shirt, swing a golf club, play tennis.

In illness bodily intentionality is frustrated. Objects which were formerly grasped as utilizable, now present themselves as "obstacles" to the body. For the person with angina, for example, stairs which in health were simply there "to be climbed," are now obstacles "to be circumvented," "avoided," or even "feared." Habitual acts (such as walking, running, lifting, sitting up, eating, talking, and so forth), which were hitherto performed unthinkingly, now become effortful and must be attended to.⁶⁶ Thus, the sphere of bodily action and practical possibility becomes circumscribed. The "I can" is rendered circumspect.

Body image changes, not only in terms of such things as posture, gait, and so forth, but in the sense that one no longer has available

"an open system of an infinite number of equivalent positions directed to other ends." In illness the possibilities for action shrink. If I am ill I simply do not have available to me all the alternatives that are available in health. Whether I like it or not, there are certain activities, postures, gestures, and so forth, which are no longer within my bodily scope.

Additionally, the primary meaning provided by the body may be disrupted. The multiple sclerosis patient who trips on the stair and the visually impaired person who walks into the table, for example, both find their body's intuitive sense ineffective, indeed deceptive. The primitive spatiality of the body has been disturbed. The body no longer correctly interprets itself and the world around it. In this event the physiognomy of the world has changed.

Moreover, in response to the demands of illness, the contextural organization of the body and the complexure of body/mind/world must shift in varying ways. Such adjustments in the contexture are experienced as "foreign," alien, unnatural. "I'm just not myself today," or "Things just don't feel right," express in part this perceived change in bodily experience. Also, the figure/ground relation of body/world changes in illness. As Rawlinson notes, "whereas our embodied capacities ordinarily provide the background to the figure of our worldly involvements, in illness our body, and particularly that aspect which pains, becomes itself the figure of our intention against which all else is merely background."⁶⁷

Illness also effects a change in the body's gestural display.

This change may be perceived by others in the "look" of the sick person, the "grimace" of pain, the stoop of the shoulders, the limp. The one who is ill is acutely aware of the change in gestural display. After all, as Merleau-Ponty has pointed out, we develop a certain corporeal "style" which identifies the body as "mine." A limp may appear scarcely noticeable to an onlooker, yet be profoundly disturbing to the one who limps. It represents a fundamental change in his body style. In addition, such gestural displays as weeping, laughter, tremor, and so forth, may be experienced as uncontrollable and inappropriate by the patient and may be misunderstood and shunned by others.

Zaner suggests, moreover, that upright posture itself has significance as a gestural display.⁶⁸ He notes that Straus's analysis of the constitution of lateral space shows upright posture to be "pregnant with a meaning not exhausted by the physiological tasks of meeting the forces of gravity and maintaining equilibrium."⁶⁹ The value assigned to upright posture should not be underestimated when considering the experience of illness. To be able to "stand on one's own two feet" is of more than figurative significance. As Sellers and Reiser have noted, verticality is directly related to autonomy.⁷⁰ Just as the infant's sense of autonomy and independence are enhanced by the development of his ability to maintain an upright posture and "sally forth" into the world unaided, so there is a corresponding loss of autonomy which accompanies the loss of uprightness. Indeed, not only does loss of verticality (upright posture) engender feelings of

helplessness and dependency in the one who is ill, but it causes others to assign the dependent role to the patient. One has only to spend a morning in a wheelchair, or a day in a hospital bed, to experience firsthand the loss of integrity and autonomy which accompanies the loss of upright posture. As an M.S. patient, for example, I am intrigued by the fact that on those occasions when I use a wheelchair, strangers invariably address themselves to my husband and refer to me in the third person. "Would she like to sit at this table?", "What would she like to drink?", and so forth. When I am standing on my own two feet, they address me directly.

Furthermore, there is more than metaphorical significance to such expressions as "to look down on" and "to look up to." In the hospital setting the patient, more often than not, is in bed and must "look up to" the doctor who "stands" talking and "looking down on" him. This is also the case in the clinical examination room setting. In "looking up to" the doctor, and "being looked down on," the patient perceives himself to be on an unequal "footing" with his physician, concretely diminished in his autonomy.⁷¹

Loss of upright posture represents a concrete loss of independence. In illness this loss is profoundly felt, not simply as a loss of bodily integrity but more importantly as a diminishment of selfhood. It affects not only the ill person's bodily relations to the surrounding world but additionally his relations with others.⁷²

In illness the character of lived spatiality changes in significant ways. As the phenomenological description of lived body

reveals, the spatiality of the body is not a spatiality of physical location but a spatiality of situation. If I place my arm on the table, for example, I do not think of the arm as being "beside" the ashtray in the same way that I consider the mug to be "beside" the ashtray. Rather, my body appears to me as "an attitude directed towards a certain existing or possible task."⁷³ I place my arm on the table "in order to" – in order to reach for the mug, to put a cigarette in the ashtray, and so forth.

Physical space is thus for my body an oriented space. The objects which surround me necessarily refer back to my bodily placement, my orientation, within the world. The placement of objects is not defined simply by purely spatial coordinates but rather is defined in relation to axes of practical reference. "The glass is on the coffee table" means I must be careful not to upset it if I move the table. "The chair is to the right of the desk" means that I must avoid bumping into it when I walk past the desk to go to the door.⁷⁴

Physical space is thus presented to me as functional space, as that milieu within which I am able to perform my various activities. Points in space do not represent merely objective positions in relation to the objective location of my body. Rather they mark the varying range of my aims and gestures. For example, the narrow doorway through which I must pass presents itself to me not as an object but as a "restrictive potentiality" for my body requiring modification of my actions.⁷⁵ My embodying organism is always experienced as "in the midst of environing things, in this or that situation of action, positioned

and positioning relative to some task at hand."⁷⁶

In the experience of illness the character of lived spatiality changes. Spatiality constricts in the sense that the range of possible actions becomes severely circumscribed. Projects must be modified or perhaps set aside altogether. The "in order to" character of bodily gearing into the world must be explicitly attended to, often with unaccustomed effort. Functional space suddenly assumes an unusually problematic nature. Ordinary objects which hitherto were grasped as utilizable may now be regarded as "restrictive potentialities" for the body. For example, for the person with a tremor the mug is no longer simply there "to be grasped" but, rather, presents itself as a problem to be solved. Stairs are obstacles not only to the person with angina but to the person with M.S, the man on crutches, and the patient recovering from a severe bout of influenza. For the visually impaired, surrounding objects may represent constant reminders of the inability to focus, while crossing a busy street becomes a nightmare. For the person with a hearing loss a telephone conversation or a social gathering is an ordeal. The examples can be continued ad infinitum. The crucial point is that in varying ways the ill person perceives a distinct change in the spatiality of the body (the spatiality of situation) – a change which permeates his world.

Illness causes a disruption not only in the character of lived spatiality but additionally disturbs the lived temporality of the patient. Zaner notes that embodiment is not a "fact" but rather a complex event and task to be accomplished at each moment.⁷⁷ Since

purposiveness and intentionality are essential to embodiment, the lived body exhibits an "if/then" temporality which is a projecting into bodily action towards a "what-is-to-come." In illness the character of lived temporality changes. The "if/then" style of strivings, the primordial causality of the body, is interrupted, the purposiveness disrupted. In the normal course of events, the individual acts in the present in light of more or less specific goals which relate to future possibilities. In illness such goals suddenly appear irrelevant or out of reach. The individual finds himself preoccupied with the demands of the here and now, confined to the present moment, unable effectively to project into the future. Life projects must be set aside, modified, or abandoned. As Zaner notes, illness obstructs the human ability to "possibilize," to free oneself from the actual in order to move to the possibly-otherwise.⁷⁸ This loss of the future causes a constriction in the patient's world.

Moreover, the significance of past, present and future may change in other ways in illness. For example, a dire prognosis for the future may be perceived as an imminent and ever-present threat. The uncertainty associated with progressively degenerative diseases such as multiple sclerosis, for example, may cause the newly diagnosed patient to start living as if already severely incapacitated, or as if the threat is immediate. In this case the primary causality of the body is disrupted in a different way. It is not simply that the "if/then" style of strivings is disrupted through the loss of future goals, there is a concurrent loss of the actual present. The actual present is

forfeited and transposed into an imagined future.

Similarly, the significance of the past may take on a different character in illness. A remembered past event which was very threatening, such as a severe attack of illness, surgery, or an accident, may be perceived as close in time. "It can't be two years since I had my heart attack." This past threat may also pervade the present. The patient may live in constant fear of a recurrence - a fear which may increase instead of diminishing as time passes. "It's two years since I had an attack of my illness. It's about time for another." As Cassell notes, the meaning of the objective time scale is subjective and varies with the patient (and, I suspect, with the type and stage of illness).⁷⁹

In this respect it is important to recall the distinction between lived and objective time. The patient experiences his illness in its immediacy in terms of lived time. Consequently, not only is it the case that the actual experience of disorder resists measurement in terms of the units of the objective time scale, but the significance of past, present and future may take on a different character. The patient may be caught in the past (obsessed with the meaning of past experiences), confined to the present moment (preoccupied with the dictates and demands of the here and now), or projected into the future (living in terms of what may happen). This change in temporal significance is experienced as a chaotic disturbance in the patient's world.⁸⁰

In summary, then, at the pre-reflective level illness is

experienced as a disruption of lived body. The fundamental features of embodiment, such as being-in-the-world, bodily intentionality, primary meaning, contextural organization, body image, and gestural display, are all disturbed in various ways. The character of lived spatiality and lived temporality undergoes a significant change. Consequently, illness-as-lived represents a chaotic disturbance and sense of disorder in the patient's being-in-the-world. Furthermore, since at the level of lived body there is no perceived separation between body and self (at this level I AM my body), illness necessarily incorporates not only a threat to the body but a threat to one's very self.

2.2 Body as Object in Illness

As has been noted, under normal circumstances the body appears as an object both in the experience of being an object for another and in certain "limit situations" in which the body is apprehended as a material, physical entity. Such bodily objectification separates self from body and, depending upon the circumstances, may result in a deep sense of alienation from one's body. In examining the manner in which the patient constitutes the body-as-object, it will be noted that the objectification of body is an integral element in illness. Such objectification is necessarily accompanied by feelings of both alienation from, and unwilling identification with, the body.

Illness represents a "limit situation" in which the body is apprehended both as a material, physical entity and as a being-for-the-Other. In the first place, as was noted in the previous

chapter, illness engenders a shift of attention. The disruption of lived body causes the patient explicitly to attend to his body as body, rather than simply living it unreflectively. The body is thus transformed from lived body to object-body.⁸¹ This objectification results in the apprehension of the body as a material, physical entity.

For example, in the normal course of events when reaching for the pen to write a letter, I do not explicitly focus on the action of my hand. Rather, my attention is directed to the task at hand (the writing of the letter). However, should I injure my hand, then my attention is focused on my hand as hand. I must observe how it is that my hand grasps the pen and I am conscious of my hand's unaccustomed ineffectiveness as an instrument of my actions. In illness the body intrudes itself into lived experience. It becomes the focus and object of scrutiny. Furthermore, with the breakdown of function, the instrumentality of the body announces itself. For example, if I cannot see properly I perceive my eye explicitly as an instrument-for-seeing and, more particularly, as a defective instrument-for-seeing.⁸²

In apprehending his body explicitly as an "instrument-for" his actions within the world, the patient perceives it to be a material, "physico-biological thing." Furthermore, with dysfunction it is perceived as a defective "physico-biological thing." Therefore, the patient objectifies his body not only as a physiological organism but as a malfunctioning physiological organism. As is the case with "disease," this constitution will reflect the particular life-world in

which the patient is situated (and indeed the stage of his illness). For example, initially the body-as-object may simply be constituted in terms of faulty mechanism (i.e. there is an apprehension that the machine-like, physical body simply doesn't "work right"). The patient's conception of his dysfunctional body will, however, reflect the theoretical understandings that are embedded in his particular life-world.⁸³ If, for example, I have blurred vision I will recognize my eye not only as a defective "instrument-for-seeing" but I will incorporate into my understanding of the eye's "not working right" some conception (albeit sketchy and incomplete) of the anatomy and physiology of vision. If I have chest pain and I have a history of heart disease, I may incorporate into my conception of physiological body some explicit reference to narrowed coronary arteries.

The malfunctioning body is further constituted in terms of its mechanistic nature in that it is perceived by the patient to be a machine-like entity comprised of organ systems and parts, some of which can be repaired, removed or technologically supplemented.⁸⁴ I can, for example, rid myself of an inflamed appendix or a cancerous breast. Under extreme conditions I can even have my heart cut out to be replaced by a mechanical pump or a transplanted organ. Obviously, not all parts of this complicated mechanism are expendable or restorable and, to the extent that this is the case, I cannot disassociate myself from my machine-like body in toto⁸⁵ - nor can I detach myself from certain parts of it (such as the central nervous system).⁸⁶

In addition, the corporeal nature of the malfunctioning body is

rendered explicit in that the body becomes an oppositional force in illness. One may, for example, concretely experience the heaviness of one's limbs, the resistance of stiffened joints, the powerlessness of weakened muscles, the contrariety of trembling hands. The sheer physicality of the body impedes one's interaction with the world providing inert and overt resistance. Rather than being that which enables one to carry out one's intentions in the world, the physical, material body presents itself as an impediment which must be overcome. In this experience the object-body may be apprehended without explicit reference to pathoanatomical concepts. Rather, it is a direct apprehension of physical encumbrance.

The experience of the body as a physical encumbrance is obviously most evident in illnesses which involve the overt loss of function. Illnesses which manifest themselves in terms of a change in appearance, such as an unusual rash or a lump in the breast, present the body as oppositional (in that the malfunctioning body opposes the self in disrupting one's ongoing plans and projects) but in these circumstances the opposition is less likely to appear as a pure physical resistance.

The constitution of the body as a malfunctioning physiological organism is an important factor in contributing to the sense of bodily alienation which characterizes illness. In particular, this constitution renders explicit the experience of the body as "uncanny." Bodily dysfunctions disclose the latent implications of embodiment, and reveal what it means to be embodied. While the sense of "otherness" of body is by no means peculiar to illness, it is concretely felt in this

experience.

It will be recalled that Zaner identifies four senses in which the body is experienced as "uncanny" under normal circumstances - (i) the inescapable/the limitation; (ii) chill and implicatedness; (iii) hidden presence; and (iv) alien presence - and it is instructive to consider how these senses particularly manifest themselves in illness.

In the first place, in illness the patient comes face-to-face with the radical contingency of his existence and the inescapable limitations of his embodiment. Whether he wills it or not, he must learn to accept and deal with the physical limitations which his illness imposes upon him. In a critical way he is forced to recognize his inherent vulnerability. "It could really happen to me" is felt in the experience of illness as a concrete actuality. Moreover, the inescapability of embodiment is felt in another way. Try as he might, the patient cannot altogether disassociate himself from his body. His dis-ability intrudes into and disrupts his ongoing activity, explicitly requiring his attention. Consequently, the sense of inescapability and limitation are intrinsic to illness-as-lived.⁸⁷

The sense of corporeal implicatedness is also concretely realized in the experience of illness. The patient recognizes that whatever happens to his body must also irrevocably happen to him. In attempting to manipulate his body through the use of therapeutic measures, he tries to change the pattern of corporeal implicatedness, to control the "if/then." Nevertheless, the patient is "chillingly" aware that he has only a limited ability effectively to control the workings of the

biological organism which is his body. The body is experienced as essentially out of the control of the self.

The body is experienced as out of control of the self in another respect. As has been noted, with the loss of function my body appears as Other-than-me in that it manifests itself as an encumbrance, an oppositional force which I must physically overcome in order to interact successfully with the surrounding world. I may, for example, forcefully have to drag a paralyzed limb across the room, use the handrail to pull myself up the stairs to compensate for an unaccustomed weakness in my legs, or pry stiffened fingers loose in order to hold a cup.

Moreover, the body appears in opposition to the self in that illness causes one to modify or abandon one's projects in the world. If I have influenza, although I may very much want to continue working on a project which is of extreme importance to me, I may be quite unable to carry out my wish due to physical debility. The malfunctioning body curtails activities, thwarts plans, and disrupts one's involvements in the world.⁸⁸ As such, it is both Other-than-me (in that it is essentially out of my control) and yet it embodies me (in that I cannot escape my impaired embodiment).⁸⁹

In addition, in living through the various manifestations of his illness, the patient discovers his body to be both hidden and alien presence. In the clinical encounter the hidden presence of the body may be directly explored and discussed with the physician. Yet it remains inapprehensible in fundamental ways. The patient may learn

that he has a specific dysfunction in his bodily organism – a lesion in the central nervous system, for example. But he does not experience his central nervous system directly. He cannot concretely feel the lesion at such-and-such a point. Even if the lesion is visualized on a CT scan and pointed out to him, it remains ineffable. He experiences only its effects. The nervous system itself remains a hidden and threatening presence.

It is interesting to note that patients often verbally express their awareness of the body as alien presence. Cassell notes, for example, that patients will disassociate themselves from their bodies in describing their symptoms.⁹⁰ Rather than referring to "my" leg, or "my" breast, they will refer to "the" leg or "the" breast. "If you see something on the mammogram does that mean 'the' breast has to be removed?" Cassell suggests that the use of impersonal pronouns is a means to avoid contact with an intrusive reality. I would suspect that it also represents the patient's feelings of alienation with respect to the body.⁹¹

In this respect it should perhaps be pointed out that the constitution of body-as-other may in some circumstances be desirable. It may, as Cassell implies, be less traumatic to consider removal of "the" breast, rather than removal of "my" breast. Furthermore, in regarding my body as a mechanistic object I can (paradoxically) in some situations regain some control over it. I can, for example, have an obstructed artery cleared, a broken arm repaired, a malfunctioning heart valve replaced. Nevertheless, it should be noted that this sense

of control is tenuous. The cleared artery may become obstructed again in the future or the broken arm may fail to heal, regardless of my (or my physician's) best endeavors. Consequently, the constitution of body-as-other (even in instances where such constitution is desirable) at some level involves the apprehension of body as alien presence.⁹²

The shift of attention which renders the body thematic in illness is necessarily a part of the clinical encounter. In order to cooperate with the physician the patient must explicitly attend to his body as object (in the giving of an "objective" report of his body sensations, in self administering treatments and reporting back on all changes in the external appearance and internal sensations of the body, and so forth).⁹³ The lived body is thereby transformed. For example, as Sartre notes, in observing my leg as an object what I cause to exist is the thing "leg"; it is not the leg as the possibility which I am of walking, running or of playing football.⁹⁴

Moreover, in the clinical encounter the body is objectified not only as a material, physical entity but as a being-for-the Other. Under the "gaze" of the physician, the patient perceives his body to be an object of scientific investigation. In the experience of being looked-at, the patient recognizes his being-an-object for the Other as well as the brute fact of his being as a biological entity.⁹⁵ To undergo the experience of being taken as an "object" by the Other is to experience concretely the "ambiguity" of own-body (i.e. to experience the strange duality of being at once subject for oneself and object for the Other). As has been noted, such experience is in no way limited to

the clinical encounter. However, what perhaps sets the clinical encounter apart is the fact that in the course of a medical examination the patient experiences himself not only as object for the Other but, more specifically, as an object of scientific investigation. Consequently, the patient finds not only his body but himself reduced to a malfunctioning biological organism. Furthermore, in discussing his illness with the physician, the patient is acutely aware that there is a disparity between his experiencing as a subject and his being experienced as an object.

Sartre has noted that at the level of "disease" the patient constitutes his illness as a being-for-the-other, in that "disease" is known to the sick person by means of concepts derived from others (such as the principles of physiology and pathology described to him by others). To the extent that it incorporates some reference to pathoanatomically based theoretical constructs, the constitution of body as a malfunctioning neurophysiological organism likewise represents the constitution of being-for-the-Other, in that it too involves bits of knowledge acquired from others.

In sum, then, it is clear that the objectification of body is an integral element in the lived experience of illness. The body is objectified by the patient both as a malfunctioning physiological organism and as a physical encumbrance. As a malfunctioning physiological organism the damaged body appears as a defective instrument or a faulty tool (which is clearly not the way it appears to us in health even in those moments when we are aware of the physical

nature of our bodies). In addition, in the clinical encounter the patient constitutes the body-as-object (and more particularly as "scientific" object) in the concrete experience of being-for-the-Other.

What is peculiar about bodily objectification in illness is that the constitution of body-as-object is such that it renders the experience of "uncanniness" explicit, often resulting in a profound sense of alienation from body. This is not necessarily the case in normal circumstances where the otherness of body may be a positive experience. Moreover, to the extent that one is forced to take the impaired body into account in carrying out one's projects in the world, so the experience of alienation and "uncanniness" is ever-present. This experience is a paradoxical one. My body appears as Other-than-me in that it opposed and frustrates my intentions; yet I am my body for I cannot escape my impaired embodiment.

While the paradoxical relation between body and self is explicitly recognized in all forms of illness, it is felt most profoundly in chronic illness.⁹⁶ As we have seen, the objectification of body in illness results from a forced attention to physical function and the awareness of some impairment or other physical change. In chronic illness this forced attention to body is a daily occurrence. As a multiple sclerosis patient, for example, even though I have adapted to my physical disabilities, I must overtly take them into account as I go my way about the world. On a daily basis, whether I like it or not, I am aware of my dysfunctional body as both physical

encumbrance and as malfunctioning physiological organism. This is obviously the case for all those who suffer from chronic ailments which disrupt everyday functioning on a regular basis.⁹⁷

The prolonged attention to body which occurs in chronic illness engenders a kind of metamorphosis. The body is transformed into a new entity, the "diseased body." The "diseased body" with its ongoing demands, necessarily stands in opposition to the self. One must compensate for its dis-abilities, allow for its weaknesses, pay unwilling attention to its pains, and so forth, before one can carry out one's projects in the world. Not only is the "diseased body" constituted as a malfunctioning physiological organism but with chronic illness there can be no expectation of a return to normal function. One perceives one's body to be permanently impaired. Consequently for the chronically ill the sense of alienation from, and unwilling identification with, body is particularly profound.

3. The Constitution of Body by the Physician

In considering the manner in which the body is constituted differently by physician and patient, it is helpful briefly to recapitulate the analysis of illness in the foregoing chapter. It will be recalled that, in constituting illness as a disease state, the physician (as natural scientist) thematizes the patient's immediate experience of bodily disruption in terms of theoretical, scientific constructs such that the experience is wholly subsumed under the causal categories of natural scientific explanation. Symptoms thereby become

re-interpreted as physical signs (visible lesions) and physiological processes are translated into objective, quantified data (lab values, images, graphs, numbers, and so forth). Since disease is categorized in the same way as other natural phenomena, it can be viewed independently from the person suffering from the disease.

It will also be recalled that the disease state, as constituted by the physician, is not identical with the "disease" which is constituted by the patient. Although the patient may come to constitute his "suffered illness" as "disease" (a constitution which may incorporate some reference to pathoanatomically based theoretical constructs and which involves some assignment of explanatory meaning to his immediate experience of bodily disruption), "disease" is still an amorphous entity which is not directly experiencable. For example, as was noted, although an M.S. patient may come to recognize the numbness in his arm as "multiple sclerosis" and, further as involving some disruption of certain sensory pathways, he does not directly experience the lesion in the central nervous system which is the disease state known by the physician. For the patient the fundamental entity of illness is the body painfully-lived whereas for the physician the fundamental entity is the disease state.

A similar distinction exists in the constitution of body by physician and patient. Under the medically trained "gaze" of the physician, the patient's lived body assumes the status of a scientific object (i.e. it is constituted as a neurophysiological organism and, more particularly, as a mass of cells, tissues, organs, and so forth

according to the categories of natural science.)⁹⁸ The human body which is presented to the physician in the clinical encounter is understood by him to be a strictly biological affair, ultimately explainable in purely physical terms. The medical eye focuses on the various bodily systems, organs, structures, and functions in an effort to render explicit the inner workings of this complicated neurophysiological mechanism – and thereby to pinpoint the disease state.⁹⁹

It is important to note several things about the physician's constitution of the body. In the first place the body-as-scientific-object is constituted within the naturalistic attitude. Thus it is conceived as a purely physical, material thing whose mechanism is wholly explicable (or in principle explicable) in terms of the categories of natural science. Thus, this particular body presented to the physician in the clinical encounter is simply an exemplar of "the" human body and, as such, it may be viewed independently from the person whose body it is. That is, the mechanical workings of this particular human body are "objectified" in such a way as to render the "subjective" experience of the particular patient explicable in terms of a general, theoretical account of the causal structure of such experiencing. As Husserl has noted the goal of natural science (and the purpose of the scientific attitude) is not to consider reality as it is experienced by particular persons but rather to explicate reality in terms of universal, causal laws which are "objective" and thereby valid for all.¹⁰⁰

Foucault and others have noted that according to the modern,

scientific understanding of disease, under the "gaze" of the physician the body-as-scientific-object is transformed from lived body to anatomical body and, as such, it assumes the guise of a corpse.¹⁰¹ It will be recalled that, largely as a result of developments in the science of pathoanatomy in the 19th century, the primary focus of medicine went inside the body and disease thus became identified with pathoanatomical lesions or pathophysiological disturbances.¹⁰² The live body thus became explicable in terms of the dead body. To quote Zaner:¹⁰³

Now for the first time, indeed, it became possible for medicine to offer what was seen as the genuinely scientific explanation of what is strictly individual; anatomical experience at correlating tissual lesions with formerly observed (and recorded) clinical symptoms permitted increasingly controlled inferences from the latter to the former. And, with this, clinical observation itself became increasingly a matter of "autopsy-in-advance," with observations becoming anticipations of what actual autopsy would eventually find.

In particular, it is important to note that the body-as-scientific-object is no longer the totality "body in situation" as is the case with the lived body.¹⁰⁴ As Sartre points out, the body of anatomical-physiology does not represent the synthetic unity of a particular life, it is no longer embodying.¹⁰⁵

Even the study of life in the living person, even vivisection, even the study of the life of protoplasm, even embryology or the study of the egg can not rediscover life; the organ which is observed is living but it is not established in the synthetic unity of a particular life; it is understood in terms of anatomy - i.e. in terms of death.

The physical body, as a scientific object, is constituted purely in

terms of its mechanistic nature and is, thereby, no longer "in situation."

In constituting the body-as-scientific-object, the physician is concerned to move beyond the outward appearance of the material body to detect its innermost workings. In other words the "medical gaze" is directed to the inside of the body.¹⁰⁶ In the physical examination the physician interprets the surface signs of the body (edema, cyanosis, and so forth) as merely the outward manifestation of the pathology within. With the aid of various technologies (stethoscope, ophthalmoscope, and so forth) and through the use of his own perceptions (e.g. the probing fingers which feel the abdominal mass, the eye which sees the unsightly rash, the ear which hears the heart murmur) the physician turns his attention from the surface to the body's interior. This process may be further assisted through the use of machines (X-rays, CT scans, and so forth) which actually visualize organs and structures located deep within the body. Furthermore, in diagnostic workups physiological processes may be reduced to lab values, numbers, graphs and so forth in an effort to render such processes explicit.¹⁰⁷ In regarding the body as a scientific object, then, the physician in a sense renders the outer appearance of the physical object-body transparent. Under his medically trained "gaze" the object-body is reconstituted in terms of its interior (i.e. the body-as-scientific-object is constituted as a mass of cells, tissues, organs which comprise the material body).

In Chapter One, in discussing the separate worlds of physician

and patient, it was noted that the manner in which an object is thematized is directly correlative to the way in which an individual attends to that object (such attentional focus being determined within a particular context of relevance).¹⁰⁸ It was further noted that within the context of the scientific attitude and in light of his medical training, the physician constitutes the "reality" of the body in a manner which reflects the "habits of mind" of the medical profession. Consequently, just as a painting is viewed differently by a professional artist as opposed to the man-in-the-street, so the body is "seen" differently by the experienced physician. For example, the cardiologist hears the heart murmur, feels the substernal thrill that is undetected (and undetectable) in the non-medical "gaze."¹⁰⁹ This manner of thematizing the body in the scientific attitude is quite distinct and represents what Schutz has called an "autonomous province of knowledge."¹¹⁰

It is important to emphasize that the body as constituted within the scientific attitude by the physician is significantly different from either the lived body or the object-body constituted by the patient. In the first place, as has been noted, the body-as-scientific-object is wholly subsumed under the categories of natural scientific explanation (i.e. it is constituted with explicit and exclusive reference to pathoanatomically based theoretical constructs). As such, the anatomical body represents not the lived body (one's intentional being and mode of access to the world) but rather the cadaver which may be dissected at autopsy. Furthermore, as

a scientific object, a particular body is simply an exemplar of the human body (or of a particular class of human bodies) and, as such, it may be viewed independently from the person whose body it is.

For the patient, however, the body does not represent a scientific object. Indeed, at the pre-reflective level he is not aware of his body as body (i.e. he does not objectify it as a neurophysiological organism nor pay attention to its mechanistic nature). At the level of lived body he simply "exists" his body. The lived body represents his "being-in-the-world" and illness is fundamentally experienced as a disruption of this embodiment.

At the reflective level the objectification of body by the patient reveals the material, physical nature of body and particularly the instrumentality of the body. As a defective instrument, or faulty tool, the body may appear not only as a material, physico-biological thing but as a malfunctioning physiological organism. Nevertheless, this constitution as malfunctioning physiological organism by the patient is not identical with the constitution of body as scientific object. Rather, such constitution by the patient represents the experience of the "uncanny," of one's own body as hidden and alien presence. Although this constitution may include some reference to pathoanatomically based theoretical constructs (some reference to the objective nature of "the" body), the patient recognizes this body to be "his" body and thus he cannot totally disassociate from it. Thus, the objectification of body as malfunctioning physiological organism involves explicit reference to the body as "owned" and incorporates a

sense of both unwilling identification with and alienation from one's body.¹¹¹ Furthermore, just as he does not directly experience the "disease state," so the patient does not directly experience the body-as-scientific-object (the body known by the physician). Rather, this constitution escapes him as the body's hidden and alien presence.

For the physician, then, the fundamental entity is the body-as-scientific-object. As such the patient's body represents simply an exemplar of "the" human body (and indeed of the human cadaver) which may be explicated wholly in terms of the concepts of natural science. For the patient, however, the fundamental entity is the body "painfully-lived." The body "painfully-lived" represents not only the immediate experience of bodily disruption at the pre-reflective level but the apprehension of uncanniness at the reflective level.

4. Implications for Medical Practice

The foregoing analysis of the manner in which the body is constituted has some important implications for medical practice. In the first place it is evident that illness is fundamentally experienced by the patient as a disruption of lived body. Consequently, illness must be understood not simply as the physical dysfunction of the mechanistic, biological body but as the disorder of body, self and world (of one's "being-in-the-world"). Unlike the conception of body-as-scientific-object, the paradigm of lived body situates illness in the particular patient in a very explicit way. The biological body

cannot be conceived as separate from the person whose body it is. The biological body represents this patient's particular embodiment and, as such, his embodiment bears certain relations to his particular world and to his unique self. The patient does not simply "possess" this body. He IS this body. Consequently, the patient does not so much "have" a bodily illness as he "exists" his illness. For example, people who live with multiple sclerosis, arthritis, heart disease and so forth, are persons living a disordered existence in very specific ways, not just persons who "have" certain identifiable diseases.¹¹² As lived, the body must be conceived as body-in-situation. A dysfunction in biological body represents a concurrent disruption of the patient's being-in-the-world.

Indeed, in his books Sacks has shown how illnesses may be understood in terms of the "organised chaos" which they produce in the patients' worlds.¹¹³ In presenting clinical studies of various neurological disorders he has grouped such disorders not according to the traditional classifications of neurology but in terms of such disturbances of world as "losses," "excesses," and "transports."¹¹⁴ Such clinical studies not only provide insight into the lived experience of illness but they suggest therapeutic approaches to the disorders. Moreover, as Sacks notes:¹¹⁵

[A] disease is never a mere loss or excess ... there is always a reaction, on the part of the affected organism or individual, to restore, to replace, to compensate for and to preserve its identity, however strange the means may be: and to study or influence these means, no less than the primary insult to the nervous system, is an essential part of our role as physicians.

The patient comes to the physician because of a perceived disruption in his everyday life, a sense of disorder of embodiment. As Pellegrino and Thomasma show, the goal of medicine is primarily the relief of this perceived lived body disruption – the restoration to a former or better state of perceived health or well-being.¹¹⁶ This may include, but is not limited to, cure of organic dysfunction. Indeed, in order to address the patient's experience of disorder, attention must be paid not only to the physical manifestation of a disease state but also to the changing relations between body, self and world. This is, perhaps, especially the case in chronic illness where the disintegration of self and world is felt most profoundly.

The recognition that there are certain essential features to embodiment (such as "being-in-the-world," bodily intentionality, contextural organization, body image, gestural display, and so forth) provides a clue as to the manner in which illness manifests itself as a disorder of embodiment. In particular, direct attention can be paid to such disturbances as the change in lived spatiality and lived temporality. As was noted, illness causes a constriction in the lived spatiality of the patient in that the range of possible actions becomes severely circumscribed. Furthermore, for the sick person physical space itself takes on a restrictive character – slopes may be too steep to climb, doorways too narrow to navigate with a wheelchair, sidewalks too uneven to walk on, and so forth. In recognizing that physical space represents functional space, Merleau-Ponty notes that in the normal course of events (through the performance of various habitual

tasks) the embodied individual incorporates objects into his bodily space. For example, the woman who habitually wears a hat with a long feather intuitively allows for the extension of the feather when she goes through a doorway. The experienced typist no longer views the keys of the typewriter as objective locations at which she must aim. Rather, the person who knows how to type incorporates the key-bank space into his or her bodily space.¹¹⁷ Similarly, the blind man's stick after a time ceases to be simply an object but becomes an extension of his body increasing its range. The point of the stick becomes "an area of sensitivity, extending the scope and active radius of touch, and providing a parallel to sight."¹¹⁸ To get used to a stick, a feathered hat, a typewriter is to incorporate them into one's body. The incorporation of objects provides a means to expand the constricted lived spatiality of the patient. The physician can assist the patient to increase the range of his bodily space by encouraging, where necessary, the habitual use of such things as visual aids, a cane, a wheelchair, a walker, and so forth. Often a patient may be reluctant to "give in" to such aids, or may view them as demeaning. If physicians and patients can learn to see such objects as extensions of bodily space, they can utilize them effectively to increase the range of the patient's possible actions.

In this connection, it is interesting to note that physicians often pay little attention to exploring with the patient the various means by which he can counteract the constriction of his lived spatiality. For example, Dr. Dewitt Stetten, Jr., has poignantly

described his experience with physicians during a fifteen-year battle with macular degeneration. He notes that, as he struggled to cope with his progressive blindness, not one of the seven "distinguished and highly qualified" ophthalmologists he consulted at any time suggested any devices that might be of assistance to him; not one mentioned "any of the ways in which I could stem the deterioration in the quality of my life."¹¹⁹ To learn about such aids he had to depend upon friends and acquaintances who themselves had impaired vision.

This difference in perspectives between physician and patient reflects, of course, their disparate systems of relevances. As was noted in Chapter One, the physician interprets the patient's lived experience of illness in terms of his scientific understanding of anatomy, physiology, and so forth. Consequently, the physician views the bodily dysfunction primarily in terms of likely medical interventions, whereas the patient regards the problem primarily in terms of the relief of lived body disruption. As Stetten's article emphasizes, however, it is vital for the physician to recognize that the distressing effects of illness may include such factors as a change in the character of lived spatiality. Most importantly, such factors can be directly addressed and relieved – even in the event that the possibility for successful medical intervention is limited.

It will be recalled that illness also engenders a change in lived temporality. The significance of past, present and future may take on a different character such that the patient may be caught in the past (obsessed with the meaning of past experiences), confined to the

present moment (preoccupied with the dictates and demands of the here and now), or projected into the future (living in terms of what may happen). In directly addressing this changed character of lived temporality, physicians can do much to help patients address the problems associated with a change in temporal significance. Past meanings and future fears can be directly addressed in a realistic fashion, thus enabling the patient to live more effectively in the present.

As has been noted, gestural display is an essential feature of lived body. Engel argues that gestural display is a major component of communication which must be carefully attended to by the physician. Indeed, gestures may indicate a different message from the spoken word. As an example, he describes a hospitalized female patient who, on being asked how she was doing by her physician, replied "Pretty good, I guess," but who, at the same time, frowned slightly and raised and then let fall her right hand in the gesture of helplessness.¹²⁰ The physician ignored the gesture, replied "Good, I'm glad to hear that," and walked out of the room, having indicated that he was discharging her from the hospital. Noting that the patient appeared disconsolate, Engel remained behind and commented that she did not seem too happy about her discharge, whereupon she burst into tears and recounted to him some important information about her personal life which had direct bearing on her illness. She indicated that she had wanted to share this information with her physician but that he had not given her the opportunity. The physician in question later expressed surprise at the

information and amazement at how readily the patient had revealed it. Engel goes so far as to say that, not only is the inattention to gestural display poor science (in that it ignores vital information relevant to the patient's illness and appropriate treatment) but he suggests that we can develop a scientific typology of gestures, postures and facial expressions and establish their relationship to inner experiences being felt and expressed.¹²¹ In any event, it is clear that gestural display is an important component in communication, and that it may also be a source of suffering if illness involves a disruption of such gestural display.

In addition, Leder has suggested that an understanding of embodiment as lived body (as being that which I AM rather than a passive, impersonal object) can motivate a sense of personal responsibility for bodily functioning.¹²² Such a sense of personal responsibility focuses attention on the role of personal participation in prevention of illness and treatment of disease. In this connection, Leder has noted that the patient often simply hands his body over to the physician for treatment.¹²³ This is undoubtedly the case. In the clinical encounter the body becomes objectified. With this objectification the unity of lived body disintegrates and the body is alienated from the self. The alienation from self engenders a profound sense of loss of control in the person who is ill. His sense of autonomy is deeply eroded; he loses confidence in his ability effectively to manage his physical situation. Hence he abrogates his responsibility in favor of allowing the physician to assume control.¹²⁴

This handing over to the physician, in and of itself, further adds to his feelings of helplessness. Part of the healing function is to assist the patient in reasserting his autonomy in the face of the disintegration of lived body. This implies paying explicit attention to the various disturbances in the patient's world and in the perceived change in the relation between self and body. In exploring with the patient the various ways in which he can retain control over his situation, the physician can enable him to assert his selfhood. This is the case even in the event that such control is necessarily limited to the manipulation (rather than cure) of symptoms.

Moreover, the understanding of body as lived body effectively mitigates against some of the dehumanizing aspects of medical care. In conceiving of the body as an exclusively biophysiological mechanism, medicine in effect abstracts the body from the person whose body it is. The primary focus is on the physical disease process with a concurrent de-emphasis on the disorder of self and world. The patient may be seen as a "well controlled diabetic" or an "interesting carcinoma" rather than as a suffering subject. For the patient the disturbance of lived body (disruption of body/self/world) is of primary importance. If therapeutic intervention is concentrated solely on the dysfunction of the biological body, with little attention paid to the disturbance of lived body, he feels himself reduced to a physical object, and consequently dehumanized. In addition, the denigration of the patient's subjective experiencing of disorder in favor of an exclusive preoccupation with "objective," quantitative clinical data,

further adds to the patient's loss of personhood.

In this respect it is important to reiterate the distinction made in Chapter Two between suffering and clinical distress. In particular, it will be recalled that suffering is experienced by persons, not merely by bodies. Cassell argues that suffering occurs when the impending destruction of the person is perceived.¹²⁵ Consequently, suffering relates not only to the loss of intactness of the biological body but to the loss of integrity of the whole web of interrelationships between body, self and world.¹²⁶ It seems clear, then, that suffering is intimately related to the disruption of lived body, to the manner in which one uniquely exists one's body, and to the disruption of that embodiment which alters all one's relations and interactions with the surrounding world. If suffering is to be alleviated, such disruption of embodiment must be directly addressed.

It should be emphasized, then, that attending to the patient's lived experience is important for a number of reasons: (1) the physician's appreciation of the patient's lived experience of illness is important for the physician acknowledging the patient as a person and treating the patient as a person; (2) understanding the lived experience is necessary in order that the physician may then interpret such understanding in terms of his knowledge of anatomy, physiology, and so forth, and begin the process of therapeutic intervention; (3) an adequate understanding of the lived experience of the patient is important for insuring the most effective scientifically mediated therapeutic intervention.

The phenomenological analysis of the constitution of body reveals that, in addition to the disruption of lived body experienced at the pre-reflective level, illness engenders a shift of attention which necessarily results in the objectification of one's body as a material object and (more particularly) as a malfunctioning physiological organism. Thus, the dialectic of identification and objectification is integral to the experience of illness. On the one hand at the immediate level of bodily disruption I AM my body and I EXIST my illness; on the other, my impaired body demands my attention and thus I objectify it and experience a distance from it. The object-body is that body which I "have" or "possess" (rather than being simply the lived body which I "exist"); furthermore, it altogether escapes me being a purely biological body with its own nature. Consequently, I find myself alienated from it. This sense of separation between body and self, which is intrinsic to the experience of illness, is intensified in the "medical gaze" with the reduction of body to the status of a scientific object.

The objectification of body results in the loss of embodiment. That is, as an object the body is no longer embodying. This loss of embodiment is part of the relevance structure of illness (incorporated into the finite province of meaning of those who experience illness). Consequently, sick persons can identify and recognize this loss of embodiment as an integral element of illness (i.e. they can share something of another's experience of illness without the need for physiological explanation). In particular, the sick have a mutual

understanding of the manner in which the body is constituted in illness (as an oppositional force, as a physical encumbrance, as a malfunctioning physiological organism, as that which is "uncanny," and so forth) which provides immediate recognition of another's circumstance.

It is important to note that this empathic understanding of the "givenness" of illness, founded on the constitution of body-as-object, provides a clue as to the manner in which a shared world of meaning may be constituted between physician and patient. Such an empathic understanding is available to all (even to those who have not experienced illness in their own lives). This is the case because, as we have seen, under normal circumstances the body is constituted as an object in ways that point towards its constitution as an object in illness. From time to time, in everyday occurrences, one becomes aware of one's body as a material, physical entity, as a physical encumbrance, and as a physiological organism. Like the constitution of body-as-object in illness, this mundane experience of the object-body is one of alienation (of a separation between body and self). The body is felt as no longer embodying. Furthermore, in this common occurrence the body may appear as "uncanny." The lifeworlds of physician and patient thus provide the starting point for mutual understanding.¹²⁷ The "givenness" of illness is available to the physician not merely through a subjective experience of being sick (although obviously this provides greater insight), but through reflection upon the manner in which the body is experienced as an object in everyday life.¹²⁸

The phenomenological analysis of the constitution of body-as-object also suggests that, whereas the objectification of body necessarily results in a separation of body from self, different bodily dysfunctions have differing impacts on the relation between body, self and world. For example, as has been noted, the experience of the body as a physical encumbrance is most evident in those illnesses involving an overt loss of function. Indeed, in another context, I have argued that illnesses may be understood in terms of the differing existential meanings which relate to the varied bodily disturbances.¹²⁹ For example, motor disturbances produce a bodily alienation through the loss of corporeal identity and the establishment of the body as an oppositional force which is beyond the control of the self. The disruption of motor function diminishes one's capacity to act within the world in an essential way. In consequence, the world itself assumes an unusually problematic and restrictive character. Sensory disturbances, on the other hand, precipitate a radical disengagement of body from self in that the body is no longer experienced as "mine" or as "belonging to me." With disruptions such as bowel and bladder disorders (which represent the most elemental loss of control over one's body), the body is experienced not merely as oppositional but as frankly malevolent, posing a constant threat to one's dignity and self esteem. The phenomenological analysis of the constitution of body suggests, therefore, that it is possible to identify the differing impact of various bodily disorders upon the patient's manner of being-in-the-world.

In addition, the process of identification with or disassociation from one's body (which is an important element in illness) varies according to the type of bodily disorder. In disorders, such as diseases of the central nervous system, the patient cannot disassociate himself from the diseased body part and consequently he finds himself inescapably embodied, irrevocably attached to an essentially malfunctioning bodily organism which promises to disrupt all his involvements in the world. Such diseases are, consequently, experienced as profoundly world threatening (even if not life threatening). In other disorders, such as appendicitis or coronary artery disease, disassociation from the diseased body part is not only possible but, in many cases, advisable. An awareness of the existential meanings associated with particular bodily disruptions and differing disease processes can provide invaluable insight into the patient's lived experience of illness.

NOTES

¹I find the works of Sartre and Merleau-Ponty most helpful in the analysis of body-as-lived. In particular, I am in agreement with them that the immediate relation with body is an existential rather than an objective relation. However, as I shall note, Husserl's analysis of the body in the phenomenological reduction - although treating the body as a special kind of object - provides some important insights which are relevant to this analysis.

²A portion of the material contained in this chapter has appeared

in my article, "Illness and the Paradigm of Lived Body," Theoretical Medicine 9 (June 1988): 201-26.

³Jean-Paul Sartre, Being and Nothingness, trans. Hazel E. Barnes (New York: Washington Square Press, 1956), 401-402.

⁴Sartre, Being and Nothingness, 429-30.

⁵Sartre, Being and Nothingness, 425-27.

⁶In Ideas 2 Husserl explores the constitution of the body as the bearer of localized sensations and he makes the point that if I touch my left hand with my right, I experience the left hand as an object. Furthermore, he argues that in all experiencing of spatial objects the body as perceptual organ of the experiencing subject is "amongst them." Edmund Husserl, Ideas 2, Section #36, trans. H. Tristram Engelhardt, Jr. (Typescript.) There is a sense in which I have a non-thematic awareness of body at the pre-reflective level. However, to focus on the body as perceptual organ, or to consider the manner in which the body is constituted in the experience of touching my left hand with my right, requires an act of reflection.

⁷Sartre, Being and Nothingness, 426.

⁸Maurice Merleau-Ponty, Phenomenology of Perception, trans. Colin Smith (London: Routledge and Kegan Paul Ltd., 1962), 70, 90. Merleau-Ponty notes that in this respect my body is not an object among other objects. It is never in front of me but rather always "with" me. That is, I have a non-thetic awareness of the body at this level.

⁹In his phenomenological analysis of the body, Husserl also notes

that the body is the center of orientation around which the rest of the spatial world groups itself. Ideas 2, Sections #41 and #42. Consequently, my body has the central mode of givenness of "Here" whereas all other physical things are given as being located "There" in relation to my body. See also, Edmund Husserl, Cartesian Meditations, trans. Dorion Cairns (The Hague: Martinus Nijhoff, 1982), 116-17. Following Husserl, Schutz - in recognizing the body as the basic scheme of orientation - analyzes the strata of reality in the everyday world in terms of the world within actual reach, and the world within potential and restorable reach. Alfred Schutz, "On Multiple Realities," in The Problem of Social Reality, ed. Maurice Natanson, vol.1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 222-26. It should be noted, however, that whereas Husserl's phenomenological reduction to the sphere of "ownness" led him to a "mundanizing apperception" of the body as a special kind of object - the sole object that is immediately and spontaneously governable by the will - Sartre and Merleau-Ponty are concerned to explore the existential relation with body which is revealed in the apprehension that my body is indeed both my center of orientation and the locus of my intentions.

¹⁰Merleau-Ponty, Phenomenology of Perception, 92.

¹¹Engelhardt makes the point that "my body appears as that from whence and through which I operate upon the world." It is the unique vehicle of my agency and one's most primordial means for contact with the world. Projects are thus always envisaged in terms of one's body.

H. Tristram Engelhardt, Jr., Mind-Body: A Categorical Relation (The Hague: Martinus Nijhoff, 1973), 41-42.

¹²Alfred Schutz also notes, of course, that the primary strata of relevance is the surrounding world, the "world of work," which I engage in a pragmatic fashion and organize in terms of my ongoing projects.

¹³Drew Leder, "Medicine and the Paradigms of Embodiment," The Journal of Medicine and Philosophy 9 (February 1984): 31.

¹⁴Merleau-Ponty, Phenomenology of Perception, 79.

¹⁵Richard M. Zaner, The Problem of Embodiment: Some Contributions to a Phenomenology of the Body (The Hague: Martinus Nijhoff, 1964), 159.

¹⁶As Merleau-Ponty notes, such phenomena as phantom limb cannot adequately be accounted for in terms of either a purely physiological or a purely psychological explanation. However, they may be understood in terms of the perspective of bodily being-in-the-world. To have a phantom limb is to retain the practical field which one enjoyed before mutilation. It is to continue to be "intervolved in a definite environment, to identify oneself with certain projects and be continually committed to them." Merleau-Ponty, Phenomenology of Perception, 76ff. It is not that the person who has lost a limb merely remembers it, or in some way experiences some sort of "representation" of the absent limb, it is rather that his body remains open to the types of actions for which this limb would be the center if it were still operative. Zaner, The Problem of Embodiment, 157.

¹⁷Merleau-Ponty, Phenomenology of Perception, 81-82. In the case of the phantom limb, the manipulatory movements of a certain part of the body have been destroyed yet the habitual intentions of the lived body remain operative. For a time objects still present themselves to the patient as utilizable and, consequently, he constitutes a "fictive body" by means of which he continues to aim at the world. Zaner, The Problem of Embodiment, 157. In this connection it is interesting to note that the phantom limb phenomenon never occurs when amputation is performed just after birth, and is rarely experienced in children in cases where limb amputation occurred before they developed the use and coordination of the limb. M. Simmel, "Phantom Experiences Following Amputation in Childhood," Journal of Neurosurgery and Psychiatry 25 (1962): 69-72.

¹⁸Merleau-Ponty, Phenomenology of Perception, 106.

¹⁹Zaner, The Problem of Embodiment, 131.

²⁰Merleau-Ponty, Phenomenology of Perception, 138.

²¹Zaner, The Problem of Embodiment, 177.

²²Merleau-Ponty, Phenomenology of Perception, 112.

²³Richard M. Zaner, The Context of Self: A Phenomenological Inquiry Using Medicine as a Clue (Ohio: Ohio University Press, 1981), 97.

²⁴Merleau-Ponty, Phenomenology of Perception, 132.

²⁵Jean Piaget, Genetic Epistemology, trans. E. Duckworth (New

York: Columbia University Press, 1970).

²⁶Zaner, The Problem of Embodiment, 188.

²⁷Zaner, The Problem of Embodiment, 154.

²⁸Merleau-Ponty, Phenomenology of Perception, 3ff.

²⁹Merleau-Ponty, Phenomenology of Perception, 22.

³⁰Merleau-Ponty, Phenomenology of Perception, 129.

³¹Zaner, The Context of Self, 45.

³²Zaner, The Context of Self, 92-109.

³³Zaner, The Context of Self, 62-63.

³⁴Zaner, The Context of Self, 107.

³⁵Merleau-Ponty, Phenomenology of Perception, 149.

³⁶Merleau-Ponty, Phenomenology of Perception, 100. Sacks notes that this intuitive sense of body is indispensable for our sense of ourselves. In his clinical tale, "The Disembodied Lady," he recounts how the loss of this sense caused a patient to experience herself as disembodied and consequently to experience the loss of the "fundamental, organic mooring of (her) identity," her very sense of self. Oliver Sacks, "The Disembodied Lady," in The Man Who Mistook His Wife for a Hat and Other Clinical Tales, (New York: Summit Books, 1985), 50. Sacks suggests that corporeal identity is the basis of self and the loss of this corporeal identity deprived his patient of her existential, epistemic, basis.

³⁷Merleau-Ponty, Phenomenology of Perception, 141.

³⁸Merleau-Ponty, Phenomenology of Perception, 185. For a fascinating account of such understanding through gestural display see, Oliver Sacks, "The President's Speech," The New York Review of Books (August 15, 1985), 29. Sacks describes how aphasic patients who are unable to understand spoken words as such may understand much of what is said to them by interpreting extraverbal clues such as expressions, gestures, posture, tone of voice and so forth.

³⁹Zaner, The Context of Self, 63.

⁴⁰Sartre, Being and Nothingness, 455.

⁴¹Sartre, Being and Nothingness, 454.

⁴²Zaner, The Context of Self, 63-66.

⁴³Merleau-Ponty, Phenomenology of Perception, 150.

⁴⁴Sartre, Being and Nothingness, 445-60.

⁴⁵Sartre, Being and Nothingness, 461.

⁴⁶In this regard, Sartre argues that my "being-for-the-Other" is synonymous with the Other's being-for-me. That is, when I observe my friend across the room, what I see is his physical body. When he waves at me, I observe the movement of his arm and I notice the manner in which he raises it to attract my attention, and so forth. In this manner I can "know" my friend's body in a way that he cannot. In living his body unreflectively, it is that which is surpassed in carrying out his projects in the world. He is not explicitly aware of

the manner in which he raises his arm to attract my attention whereas I have an explicit awareness of his movements in that his body is an object for me.

⁴⁷Sartre, Being and Nothingness, 462. It should be emphasized, of course, that the constitution of body-as-object does not require a concrete experience of being looked-at by another person. For example, one is aware of one's body-as-object in moments of shame or humiliation even when there is no actual onlooker present. What Sartre is concerned to argue is that such instances are parasitic on "the Look." That is, when I experience shame or humiliation, I am constituting my body as a "being-for-the-Other." I am, so to speak, viewing myself from the point of view of the Other.

For Sartre the experience of "being-for-the-Other" is an essentially negative one. My body as object-for-the-Other manifests itself as facticity rather than subjectivity, as an ensemble of sense organs - "flesh," as an instrument for the Other's "gaze," as transcendence-transcended. However, I would argue (pace Sartre) that under normal circumstances there are some experiences in which the body is objectified as a "being-for-the-Other" which are not perceived as negative in nature. For example, in experiencing myself as a "being-for-the-Other" in the gaze of my lover, I perceive my body-as-object but this may be a positive apprehension of the object-body.

⁴⁸Merleau-Ponty, Phenomenology of Perception, 194-95.

⁴⁹It is obvious that here I part company with Sartre. However,

while I do not believe that the objectification of the body arises solely in the experience of "being-for-the-Other," I do agree with Sartre that this experience is one of the important ways in which my body is revealed to me as a physical material entity.

⁵⁰This latter experience is particularly alienating. Plügge notes, for example, that a dead limb takes on an aspect of "objective thinglikeness, such as an importunate heaviness, burden, weight ... like plaster of paris, in any event as largely space-filling and hence not altogether as a part of ourselves." Herbert Plügge quoted in Richard M. Zaner, Ethics and the Clinical Encounter (Englewood Cliffs, New Jersey: Prentice Hall, 1988), 168. In the transformation to "thinglikeness" the body member appears as no longer a part of oneself. The body is experienced as no longer embodying. Obviously this sense of alienation is particularly pronounced in pathological disturbances such as paresis. In another context, I have argued that the loss of tactual and kinesthetic sensation is experienced as a radical disengagement of body from self. As Husserl points out, kinesthetic sensations not only give the body an "interior," clearly identifying it as "mine," but combine with movements in such a way that I experience such movements as my own. To lose this sense is to become disassociated from one's body. It is "the" arm, rather than "my" arm, which moves. See, S. Kay Toombs, "The Body in Multiple Sclerosis: A Patient's Perspective," in The Body in Medical Thought and Practice, ed. Drew Leder (Dordrecht, Holland: D. Reidel Publishing Company, forthcoming.) For a discussion of Husserl's analysis of the role of

kinesthetic sensation in the constitution of lived-body see, H. Tristram Engelhardt, Jr., "Husserl and the Mind-Body Relation," in Interdisciplinary Phenomenology, ed. Don Ihde and Richard M. Zaner (The Hague: Martinus Nijhoff, 1977, 51-70.

⁵¹Shaun Gallagher, "Lived Body and Environment," Research in Phenomenology 16 (1986): 148-49.

⁵²H. Tristram Engelhardt, Jr., Mind-Body: A Categorical Relation, 38; Husserl, Ideas 2, Section #41.

⁵³Obviously this constitution may vary according to culture, time period, and so forth. In China, for example, incorporated into the constitution of object-body will presumably be some concept of "qi" (vital energy) and of "yin" and "yang" elements. Arthur Kleinman, The Illness Narratives: Suffering, Healing and the Human Condition (New York: Basic Books, Inc., 1988), 109.

⁵⁴Zaner, The Context of Self, 48-55.

⁵⁵Zaner, The Context of Self, 51.

⁵⁶Sartre also refers to the contingent necessity of the body. The body is at once "the necessary condition for the existence of a world and ... the contingent realization of this condition." "We must recognize," he says, "both that it is altogether contingent and absurd that I am a cripple, the son of a civil servant or laborer, irritable and lazy, and that it is nevertheless necessary that I be that or else something else." See, Sartre, Being and Nothingness, 431-32. Insofar as, for Sartre, to be is to choose oneself then I choose the way in

which I constitute my contingency (i.e. I may choose to constitute my disability as "unbearable," "the justification for my failures," "fortunate," and so forth). The body (with its contingent necessity) is precisely the necessity that there be a choice at all - "my finitude, my embodiment, is the condition for my freedom."

⁵⁷Zaner, The Context of Self, 52.

⁵⁸Zaner, The Context of Self, 52-53.

⁵⁹Zaner, The Context of Self, 53.

⁶⁰Gallagher, "Lived Body and Environment," 154-55.

⁶¹Gallagher, "Lived Body and Environment," 154. This is, of course, also Sartre's point.

⁶²Zaner, The Context of Self, 54.

⁶³For a discussion of this point see, Gallagher, "Lived Body and Environment," 144-46.

⁶⁴Merleau-Ponty, The Phenomenology of Perception, 168-69.

⁶⁵This is the case both in acute and chronic illness. In chronic illness the disruption of world is obviously of longer lasting duration and may appear more evident, but even such bodily disorders as a simple cold produce a concurrent disruption of the patient's being-in-the-world. Indeed, the severity of the illness is related to, and judged by, the extent to which the patient's world is disrupted.

⁶⁶As will be noted in the discussion on body-as-object in illness, this forced attention to body engendered at the pre-reflective

level causes a shift in attention whereby the body is explicitly thematized and constituted as an object.

⁶⁷Mary C. Rawlinson, "Medicine's Discourse and the Practice of Medicine," The Humanity of the Ill, ed. Victor Kestenbaum (Knoxville: The University of Tennessee Press, 1982), 75.

⁶⁸Zaner, The Context of Self, 65.

⁶⁹Zaner, The Context of Self, 65.

⁷⁰James Sellers and Stanley J. Reiser, "Stages of Patienthood: Beyond Autonomy and Paternalism." Paper presented at the Rice University faculty symposium on "Metaphors and Symbols of Discourse on Health, Illness, and Medicine," Houston, Texas, 1984.

⁷¹In this regard it is worth noting that the patient is likely to feel much less "inferior" if the physician sits down by the bedside, so that they are on the same level ("eye to eye") when communicating with one another. Robert Kravetz, a practicing gastroenterologist writing of his own illness, notes that a study compared patients' perceptions of how long their doctor spent with them when they stood by the bedside. Although the time spent in the study was exactly the same, the patient always perceived that more time was spent when the physician sat. The conclusion drawn from the study was that because it was perceived that the physicians had spent more time when sitting, it was also felt that they were more interested and concerned about the welfare of their patients. Robert E. Kravetz, "Bleeding Ulcer," in When Doctors Get Sick, ed. Harvey Mandell and Howard Spiro (New York:

Plenum Publishing Corporation, 1987), 434. Speaking of his own illness, Kravetz notes, "I noticed that those visitors who sat at my bedside and chatted with me seemed to be spending more time with me and I felt that they were more interested in my well-being. I had always made it a practice to sit with my patients at the bedside, and after being in this position myself, I heartily endorse this type of patient visit because it creates a much more intimate physician-patient relationship and one of caring concern."

⁷²For an excellent discussion on the diminishment of self and the change in social relations which is engendered by loss of upright posture see, Robert F. Murphy, The Body Silent (New York: Henry Holt and Company, Inc., 1987).

⁷³Merleau-Ponty, Phenomenology of Perception, 98-100.

⁷⁴Sartre, Being and Nothingness, 424.

⁷⁵Merleau-Ponty, Phenomenology of Perception, 143.

⁷⁶Zaner, The Context of Self, 97.

⁷⁷Zaner, The Context of Self, 57.

⁷⁸Zaner, The Context of Self, 176.

⁷⁹Eric J. Cassell, Clinical Technique, vol. 2 of Talking with Patients (Cambridge, Mass.: MIT Press, 1985), 28.

⁸⁰With regard to the structure of lived temporality, Schutz argues that the world is organized around the individual in terms of not only the world within actual reach (including the manipulatory

sphere) but also in terms of the world within potential and restorable reach. The world within potential and restorable reach includes past experiences which can be brought back within actual reach through memory, and anticipations for the future based on the individual's experiences of the past and present. Alfred Schutz, "Symbol, Reality and Society" in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 306-10. As has been noted, the works of Merleau Ponty, Sartre and Zaner provide important insights into the disintegration of lived spatiality (the world within actual reach) which occurs in illness. Schutz's work suggests that illness may additionally be considered in terms of the disturbance of lived temporality (i.e. with reference to the world within restorable and potential reach). For example, as Sacks has shown, the loss of world within restorable reach (through the loss of memory) has profound effects with regard to the loss of self. Oliver Sacks, "The Lost Mariner," in The Man Who Mistook His Wife for a Hat, (New York: Summit Books, 1985), 22-41.

⁸¹In reflecting on the ontology of the body, Pellegrino and Thomasma have argued that a philosophical distinction exists between a "living body," a "lived body," and a "lived self." "Living body" refers to the "ontologically prior realm of individual survival as a physical organism," "lived body" refers to the "experience of being a body which cannot be objectified," and "lived self" refers to "the objective catalog of characteristics human beings create and present to the world as the public aspects of a human personality." Edmund D.

Pellegrino and David C. Thomasma, A Philosophical Basis of Medical Practice: Toward a Philosophy and Ethic of the Healing Professions (New York: Oxford University Press, 1981), 73-74, 107. Pellegrino and Thomasma note that human bodies have the capacity to objectify themselves in symbols at the level of the "lived self" (never at the level of the lived body). They note that such objectification is necessarily not unique (i.e. it demands a common language and a world of common objects). Consequently the act of objectification is a depersonalizing act representing an abstraction from the "lived body."

⁸²There seems to be an important analogy here with Heidegger's analysis of the breakdown of the tool. The breakdown of the tool discloses certain fundamental intentional structures which are normally not rendered explicit. Furthermore, in breakdown the tool becomes conspicuous and obstructive (as does the body in illness). See, Martin Heidegger, Being and Time, trans. John Macquarrie and Edward Robinson (New York: Harper and Row, 1962), 102-107.

⁸³See the discussion of this point under the constitution of "disease" in Chapter Two.

⁸⁴It should be noted how different this constitution is from the pre-reflective level of lived body wherein the body does not exist partes extra partes but rather as an intentional unity. That is, at the level of lived body the diversity of body parts and senses form a systematic unity in the worldly engagement of a subject. See, Mary C. Rawlinson, "The Sense of Suffering," The Journal of Medicine and Philosophy 11 (February 1986): 42-43.

⁸⁵Husserl notes that the body is unique in that, whereas I have the freedom to change my position in regard to all other objects, I do not have the power to withdraw myself from my body, nor it from me. Ideas 2, Section #41.

⁸⁶In this regard Engelhardt argues that the organs of the body are differentiated in respect of the sense of being me. Whereas it is possible to recognize a distance between myself and all replaceable organs, the sense of the nervous system is unique in that it cannot be replaced and leave me intact. Indeed, one experiences a radical dependence upon the nervous system. See, Engelhardt, Mind-Body: A Categorical Relation, 41. I have argued that this sense of radical dependence is particularly felt in disorders of the central nervous system in that the patient concretely experiences the inability to disassociate himself from his malfunctioning body. See, Toombs, "The Body in Multiple Sclerosis: A Patient's Perspective."

⁸⁷In this respect Sartre's account of the contingent necessity of embodiment may provide an affirmative response for the patient who is faced with chronic, incurable illness. It is vitally important for such a patient to feel that there are some aspects of his life over which he has some control. Sartre's account emphasizes that, although the patient may have little or no control over the actual course of the disease process, he always retains the freedom to choose how to respond to his predicament, how to constitute the contingency of his illness. In this regard Sartre sees embodiment not only as radical limitation but also as possibility. Furthermore, since all embodiment involves

radical limitation, limitation due to illness should not be regarded as "fatal" to the ultimate integrity of self. No matter what the extent of the physical limitation, a newly defined self may be constituted. In other words the radical limitation brought about by illness is not unique. The body-in-health is as surely subject to limitation as is the body-in-illness, the contingent necessity of embodiment being what it is.

⁸⁸I have in another context examined the manner in which the oppositional force of the body is particularly manifested in multiple sclerosis. See, Toombs, "The Body in Multiple Sclerosis: A Patient's Perspective."

⁸⁹For an account of the manner in which this dichotomy is manifested in the phenomenon of pain see, Drew Leder, "Toward a Phenomenology of Pain," Review of Existential Psychology and Psychiatry 19 (1984-85): 255-66.

⁹⁰Eric J. Cassell, The Theory of Doctor-Patient Communication, vol. 1 of Talking With Patients (Cambridge, Mass.: The MIT Press, 1985), 55-65.

⁹¹Oliver Sacks has provided a vivid account of the manner in which body may be experienced as alien and reduced to "objecthood" in his autobiographical account of a leg injury, A Leg to Stand On (New York: Summit Books, 1984).

⁹²Obviously this alienation from body resolves itself more readily in acute illness when a return to health has been effected

(although it may recur with renewed illness). However, as I shall note later, in chronic illness the alienation from body is much more profound since there is no possibility of a return to normal functioning.

⁹³Leder, "Medicine and the Paradigms of Embodiment," 33.

⁹⁴Sartre, Being and Nothingness, 403.

⁹⁵Sartre, Being and Nothingness, 461.

⁹⁶Toombs, "The Body in Multiple Sclerosis."

⁹⁷For a discussion of the differences between the experience of chronic and acute illness see, Bruce Jennings, Daniel Callahan and Arthur L. Caplan, "Ethical Challenges of Chronic Illness," The Hastings Center Report, Special Supplement (February 1988): 1-16. The authors note that chronic illness cannot be conceptualized as an aberrant situation that marks a temporary, reversible departure from the person's "normal" state. Consequently, chronically ill patients cannot regard their illness as an "alien presence" within the person but have no choice but to try to integrate their illness into their daily lives. One of the difficulties then is to recognize that one's illness is not an "invader to be defeated" but something to negotiate and live with for the rest of one's life. The authors show that this difference between chronic and acute illness has important implications for the care of the chronically ill.

⁹⁸Drew Leder, "Clinical Interpretation: The Hermeneutics of Medicine," Theoretical Medicine, (forthcoming).

⁹⁹It should be noted that, as is the case with illness, this particular constitution depends upon historical circumstances. Husserl notes, "Natural science is a culture, and it belongs only within the cultural world of that human civilization which has developed this culture and within which, for the individual, possible ways of understanding this culture are present." Edmund Husserl, "Appendix III: The Attitude of Natural Science and the Attitude of Humanistic Science. Naturalism, Dualism and Psychophysical Psychology," in The Crisis of European Sciences and Transcendental Phenomenology: An Introduction to Phenomenological Philosophy, trans. David Carr (Evanston, Illinois: Northwestern University Press, 1970), 332.

¹⁰⁰Husserl, "Appendix III," 315–83.

¹⁰¹Michel Foucault, The Birth of the Clinic: An Archeology of Medical Perception, trans. A. M. Sheridan Smith (New York: Vintage Books, 1975), 111, 134; Zaner, Ethics and the Clinical Encounter, 154–70.

¹⁰²H. Tristram Engelhardt, Jr., "The Subordination of the Clinic," in Value Conflicts in Health Care Delivery, ed. Bart Gruzalski and Carl Tulson (Cambridge, Mass: Ballinger Publishing Company, 1982), 41–57.

¹⁰³Zaner, Ethics and the Clinical Encounter, 134.

¹⁰⁴See previous section 1.1; also Sartre, Being and Nothingness, 455.

¹⁰⁵Sartre, Being and Nothingness, 457.

¹⁰⁶For a discussion of this point see, Claudine Herzlich and Janine Pierret, Illness and Self in Society, trans. Elborg Forster (Baltimore, Maryland: The Johns Hopkins University Press, 1987), 69-97.

¹⁰⁷For an excellent discussion of the various ways in which the body is interpreted in the clinical encounter see, Leder, "Clinical Interpretation: The Hermeneutics of Medicine."

¹⁰⁸For a discussion of relevance and acquisition of knowledge see, Alfred Schutz and Thomas Luckmann, The Structures of the Life-World, trans. Richard M. Zaner and Tristram H. Engelhardt, Jr. (Evanston, Illinois: Northwestern University Press, 1973), 243-331. See also Chapter One.

¹⁰⁹Leder, "Clinical Interpretation: The Hermeneutics of Medicine."

¹¹⁰See Chapter One.

¹¹¹This difference in constitution is also evident when the patient is himself a physician. For example, Mullan notes that on viewing the chest xray which revealed his own cancer he "instinctively looked at the grim information on the viewing box as a clinician" but in a matter of minutes he began to "come to grips with what was happening. That pint-sized cauliflower that I had so recently discovered on a piece of celluloid was in fact a tumor - a cancer. It was living quietly deep within MY body ... in a space of five minutes it had come out of nowhere to become the focal point of my life, or perhaps the focal point of the rest of my life." See, Fitzhugh Mullan,

Vital Signs: A Young Doctor's Struggle with Cancer (New York: Farrar, Straus and Giroux, 1975), 4. See also, Harvey Mandell and Howard Spiro, eds. When Doctors Get Sick (New York: Plenum Publishing Corporation, 1987). It is clear that, although physicians have the training to turn the "medical gaze" upon their own bodies, the experience of illness is such that the bodily objectification of one's own body is fundamentally the experience of the body as "uncanny."

¹¹²Engel notes that, on the other hand, the prevailing biomedical model of illness and the conception of body as machine encourages the view that disease is a "thing in itself" and thus the notion that patients HAVE diabetes or heart disease. Disease thus becomes an abstraction independent of the patient. George L. Engel, "Too Little Science. The Paradox of Modern Medicine's Crisis," The Pharos 39 (October 1976): 127-31.

¹¹³Oliver Sacks, Awakenings, (New York: E. P. Dutton, Inc., 1983).

¹¹⁴Oliver Sacks, The Man Who Mistook his Wife for a Hat (New York: Summit Books, 1985).

¹¹⁵Sacks, The Man Who Mistook His Wife for a Hat, 4.

¹¹⁶Pellegrino and Thomasma, A Philosophical Basis of Medical Practice, 72.

¹¹⁷Merleau-Ponty, Phenomenology of Perception, 145.

¹¹⁸Merleau-Ponty, Phenomenology of Perception, 143.

¹¹⁹Dewitt Stetten, Jr., "Coping with Blindness," The New England Journal of Medicine 305 (August 1981): 458-60.

¹²⁰George L. Engel, "The Care of the Patient: Art or Science?" The Johns Hopkins Medical Journal 140 (1977): 224.

¹²¹Engel, "The Care of the Patient: Art or Science?", 225. It would appear, however, that this will vary with different cultures although Engel notes that, as far as we have been able to ascertain, the helplessness gesture is universal.

¹²²Leder, "Medicine and Paradigms of Embodiment," 36.

¹²³Leder, "Medicine and Paradigms of Embodiment," 35.

¹²⁴It is interesting to note that studies indicate that patients suffering from acute diseases are more likely to relinquish control of their bodies to the physician than are those suffering from chronic illnesses. Lidz, et al, discovered that passivity and distance from treatment decisions was typical of acute patients whereas patients with chronic diseases were much more actively involved in treatment decisions. Charles W. Lidz, Alan Meisel, et al, "Barriers to Informed Consent," Annals of Internal Medicine 99 (1983): 539-43.

¹²⁵Eric J. Cassell, The Nature of Suffering and the Goals of Medicine, (forthcoming).

¹²⁶Although I do not in this context intend to provide a definition of personhood, it seems clear that our conception of who we are incorporates more than simply the body and includes such facets as the various roles we occupy, our relations with others, our intentional

activities, our projects, goals, aspirations, and so forth. In other words, it incorporates our "being-in-the-world."

¹²⁷Husserl, The Crisis, 255; George L. Engel, "Commentary on Schwartz and Wiggins: Science, Humanism, and the Nature of Medical Practice," Perspectives in Biology and Medicine 28 (Spring 1985): 364.

¹²⁸Essays by physicians who have themselves been ill show that the experience does have an impact on their ability to empathize with patients. The following quote from a clinician writing of his experience of trauma is illustrative of the insight gained.

On a professional basis, this illness has had a substantial impact upon me. Throughout the years in medicine, from internship onward, I had always considered myself a sensitive physician. I could communicate with my patients, sense their needs, and attempt to meet them. My experience taught me how less than perfect those perceptions were. I suspect that in order to be an ideally sensitive physician, a treater of patients, one must experience at least some form of illness and undergo some degree of medical care. It is only then that our antennae can pick up and respond to the multitude of signals patients are sending us on a daily basis. These signals center not so much upon our well-tuned abilities as diagnosticians or therapists, but rather upon our abilities as uniquely sensitive and caring human beings. Do we recognize the anxiety associated with making a decision concerning, for example, surgery? On medical grounds the decision may be obvious. Are we sufficiently sensitive to what this means to the patient? Do we conceptualize the fear of illness in the patient sitting before us in our office? Can we assuage that fear in a medically sound but nevertheless sensitive manner? Do we realize the discomfort the hospitalized patient experiences when going through the most mundane of medical manipulations such as venipuncture or a chest X-ray? I have asked myself these questions over and over again. My answer is that frequently we are not sensitive to these issues. Our antennae are insensitive, nonspecific, and lacking in precision. Being a patient brings these thoughts and these signals forward and allows one to internalize, to make formal and concrete an awareness and response that makes

the physician far more capable of dealing with the patient before him. I have seen this in myself as I now meet and deal with patients about to undergo cardiac surgery and other cardiac procedures. I can talk about the pain, the difficulties, and the subsequent joy of being able to sneeze or cough without being racked by a multitude of painful stimuli. There is no doubt that this experience has made me a better physician.

See, Barry L. Zaret, "Trauma," in When Doctors Get Sick, ed. Harvey Mandell and Howard Spiro (New York: Plenum Publishing Corporation, 1987), 410-11. While there is no doubt that undergoing illness in one's own life provides the greatest insight into what it means to be ill, it is possible to develop an empathic understanding through focusing on those experiences in everyday life which provide clues as to the disruption which occurs with bodily (or mental) malfunction and which provide a common ground for developing a shared world of meaning.

¹²⁹Toombs, "The Body in Multiple Sclerosis: A Patient's Perspective."

CHAPTER FOUR

THE SHARED WORLD OF PHYSICIAN AND PATIENT

The foregoing phenomenological analysis has demonstrated that there is a fundamental and decisive distinction between the lived experience of illness and the scientific conceptualization of illness as a disease state. In particular, it has been noted that this distinction results in a systematic distortion of meaning which prevents the constitution of a shared world between doctor and patient. This distortion of meaning is evident at the most general level of intersubjective agreement in the failure to constitute what Schutz has called a "communicative common environment," as well as at the more specific levels of the constitution of illness and body.

In this chapter I shall explore the manner in which it may be possible to constitute a shared world of meaning between physician and patient, given the systematic breakdown which has been revealed. In particular, I shall argue that the phenomenological analysis discloses not only the separate worlds of physician and patient but also that it provides us with clues as to how to bridge the gap between them.

1. The Eidetic Approach¹

The lived experience of illness is a complex phenomenon that exhibits a typical way of being. The phenomenological description of

illness-as-lived has revealed certain essential features that characterize this way of being and that pertain to the phenomenon of illness, per se, regardless of its manifestation in terms of a particular disease state. These essential features are what I shall call the "eidetic" characteristics of illness. As Schutz notes, "eidetic" characteristics are those that are essential to the thing-itself and that remain unchanged regardless of any varying empirical features.² So, for example, the eidetic characteristics of a cube would include rectangularity, limitation to six squares, and corporeality. No cube could be thought of that did not have these essential characteristics and such characteristics would remain unchanged through all possible variations of the cube - variations such as color, size and so forth.³

The eidetic characteristics of illness transcend the peculiarities and particularities of different disease states and constitute the meaning of illness-as-lived. They represent the experience of illness in its qualitative immediacy. Just as the physician is trained to recognize certain unvarying characteristics that define particular disease states, so he can learn explicitly to recognize the eidetic characteristics of illness. Indeed, he must do so if he is to bridge the decisive gap between the patient's world (the world of immediate experience) and the world of science.

The eidetic approach makes possible a shared world of meaning between physician and patient. Such an approach requires that the physician temporarily set aside his interpretation of illness in terms

of theoretical disease constructs, in order to focus upon and make explicit those characteristics that are fundamental to the experience of illness itself.⁴ Such characteristics include the perception of loss of wholeness, loss of certainty, loss of control, loss of freedom to act, and loss of the familiar world.

Illness is primarily experienced as a fundamental loss of wholeness, a loss of wholeness that manifests itself in several forms. Fundamentally, of course, it is the perception of bodily disruption or impairment – a perception that is not so much a simple recognition of specific impairment (e.g., shortness of breath) as it is a profound sense of the loss of total bodily integrity. The body can no longer be taken for granted or ignored. It has seemingly assumed an opposing will of its own, beyond the control of the self. Rather than functioning effectively at the bidding of the self, the body-in-pain or the body-malfunctioning thwarts plans, impedes choices, renders actions impossible. Illness disrupts the fundamental unity between the body and self. As Cassell notes:⁵

Disease can so alter the relation [with one's body] that the body is no longer seen as a friend but, rather, as an untrustworthy enemy. This is intensified if the illness comes on without warning, and as illness persists, the person may feel increasingly vulnerable.

In illness the body, which was hitherto simply lived, becomes the unwelcome object of one's attention. This objectification necessarily results in the alienation of body from self. In particular, the body is experienced as no longer embodying. Rather, it manifests itself as a material physical object or as an oppositional force which must be

overcome in carrying out one's projects in the world.

In addition, the malfunctioning object-body reveals itself as a hidden and alien presence which is essentially beyond the control of the self. The perceived disruption in function discloses the mechanistic nature of the biological body and the various and varied physical processes which are neither directly experienceable nor controllable. This sense of "otherness" of body is acutely felt by the patient in his discussions with the physician. The biological, pathological sense of the body is of the body as other-than-me, of the body in opposition to the self, and it is this sense that is now emphasized.

Even if the body is eventually restored to health, the perceived loss of bodily integrity remains. For the individual who has experienced illness recognizes he has only a limited control over the functioning of his body; that at some future date it may again come into opposition with the self. He can no longer take the body's compliance for granted.

Furthermore, in illness the experience of the body as hidden and alien presence (in Zaner's terms the experience of the body as "uncanny") incorporates not only an awareness of alienation from but also unwilling identification with one's body. While on the one hand there is an apprehension that the separation of body and self results in the loss of embodiment (such that the body is no longer embodying), on the other there is an acute awareness of inescapable embodiment. That is, one understands oneself to be inextricably embodied in that

one cannot totally disassociate the self from this malfunctioning biological body which promises to disrupt all one's involvements in the world.

In this regard it is important to note that illness is experienced not only as a threat to the body but also as a threat to the self. Often when physical impairment or disfigurement are involved, or when role is severely disrupted, the patient loses not only bodily integrity but also integrity of self. He perceives himself to be no longer a "whole person." He thinks himself "less of a person." The disintegration of self is particularly acute in the experience of incurable illness or permanent disability.⁶ It is important for the physician to recognize the primacy of this loss of self. The patient needs support in his efforts to establish the integrity of a newly defined self.

The loss of wholeness experienced in illness not only incorporates a perception of bodily impairment and loss of integrity but also includes the loss of certainty in its most profound form. In the experience of illness the individual is forced to surrender his most cherished assumption, that of his personal indestructibility. And if this most deeply held assumption is no more than an illusion, what else in his hitherto taken-for-granted existence can remain inviolable? The person who is ill comes face-to-face with his own inherent vulnerability. "It could happen to ME" is felt in the experience of illness as a concrete actuality, and not as an amorphous possibility. Once shattered, the illusion of personal

indestructibility can be only tenuously re-established.

The radical loss of certainty that accompanies illness is cause for considerable personal anxiety and fear. Although acutely conscious of his fear, the ill person nevertheless finds it difficult to communicate his deep apprehension to others. Paradoxically, he often deems such apprehension to be inappropriate even though it is ineluctably part of his experience. In attempting to minimize the anxiety of the patient, the physician may make an effort to discuss the illness or therapeutic intervention in such a way as to imply that there is no real cause for concern. The patient, however, may interpret this simply to mean that the profound anxiety he feels is therefore irrational and inadmissible.

For the most part illness is experienced as a capricious interruption, an unexpected happening, in an otherwise more or less carefully formulated life-plan. The disease is perceived as "befalling the person, as an unasked-for and unanticipated 'happening-to-me,' falling outside the person's range of possible choice and plans."⁷ And thus, accompanying the profound sense of loss of wholeness and loss of certainty, is an acute awareness of loss of control. The familiar world, including the self, is suddenly perceived as inherently unpredictable and uncontrollable. Illness, as Pellegrino has noted, "moves us ... toward the absorption of man by circumstance."⁸

It is, of course, the case that in the effort to give explanatory meaning to an illness, the patient may alternatively associate his sickness with some sort of punishment (divine or otherwise). That is,

the person who develops a serious illness may feel that he must have done something to deserve it and that the illness is, thus, a retribution for his wrongdoing. Cassell notes, for example, that the first words of one of his patients found to have carcinoma of the breast were, "I knew it, I'm being punished."⁹ Larry and Sandra Churchill have pointed out that the notion that illness is related to moral transgression is not uncommon. Indeed, persons who suffer from cancer of "highly valued portions of the body such as the face or genitals seem especially prone to etiologies in which illness symbolizes retribution for such lapses as excessive vanity or marital infidelity."¹⁰ In the case of AIDS, the notion that illness is a direct result of divine punishment is, in fact, quite widespread in our culture (especially perhaps among those who do not suffer from the disease). In the event that illness is perceived as punishment for personal transgression, rather than simply as an inexplicable random interruption of one's life plan, the individual is still acutely aware of a fundamental loss of control over his present situation. He cannot now undo the transgression which is perceived to have caused his distress. He cannot now change the circumstance in which he finds himself. Indeed, Leder suggests such loss of control is global – the individual feels out of harmony with the universe in that he has violated the order of the universe.¹¹

The loss of control which is intrinsic to illness is acutely felt by modern man in light of the illusions he harbors about the power of technology and the capabilities of modern science. Since technology

and science have been extremely successful in eradicating or ameliorating many diseases, not only is illness perceived as an unwarranted intrusion but the person who is ill expects medical intervention to provide him with nothing less than a complete restoration of health. The patient thus comes to the physician with the unrealistic expectation that such a complete restoration of health will be forthcoming. If the physician is unable to fulfill this expectation, the patient is overwhelmed by his apparent helplessness and perceives his situation to be totally and irrevocably out of control.

The technology that promises redemption concurrently intensifies the loss of control experienced in illness. The patient feels himself at the mercy of faceless machines, whose function he barely understands, yet whose dictates he must obey. He perceives himself to be an object of investigation, rather than a suffering subject. He is acutely aware of the disparity between his experiencing as a subject and his being experienced as an object. This transformation to objecthood is concretely felt not only in the "gaze" of machines, but also in the "gaze" of health care professionals.¹² In his transformation to objecthood, the patient feels himself no longer able effectively to control what happens to him.

The loss of control also manifests itself concretely in the experience of having to rely on others to do what one has formerly been able to do for oneself. Illness, in its various forms, always impedes the ability to be self-reliant, to act on one's own behalf.¹³ The ill

person must not only seek the help of others for physical assistance but he must also rely upon the help of a trained healer, a physician. This relationship is an inherently unequal relationship in that the physician "professes to possess precisely what the patient lacks: the knowledge and power to heal."¹⁴ The inequality of the relationship accentuates the loss of control felt by the ill person.

Illness also erodes the capacity to make rational choices regarding one's personal situation because the one who is ill:¹⁵

[D]oes not understand what is wrong, how it can be cured, if at all, what the future holds, or whether the one who professes to heal can in fact do so. The ill person has not the knowledge or skills requisite for curing his own bodily or mental illness or to gain relief from his pain or anxiety. His freedom to act as a person is severely compromised.

Clinical decisions must ultimately be made by the patient, if he is able. Although such decisions are usually made after appropriate advice and consultation with the physician, the patient almost always feels inadequate to the task. The decision is uniquely his, not only in that he must make it but in that it will ultimately affect his plan of life. The responsibility is his, yet he feels that he does not possess the knowledge or the capacity to make the decision in a rational manner. Sometimes he may intuitively feel that the course of action recommended by his physician is not in his best interests and yet - more often than not - the patient does not feel free to reject the advice of the physician. To do so would seem to be irrational in the face of the inadequate knowledge he feels himself to possess. To do so would also be to risk alienating himself from the one who

promises to alleviate his distress.

This difficulty remains even when the patient is himself a physician since knowledge about one's illness and the eventual outcome of clinical decisions is necessarily incomplete.¹⁶ There are large gaps in understanding for even the best-understood diseases. Causes may be obscure and the outcome is always a matter of probability rather than the certainty which the patient seeks.¹⁷

Furthermore, Cassell argues that illness erodes the capacity to make rational choices in another respect. Illness impairs the ability to reason in that it is difficult to be clear-headed when one is suffering or in pain.¹⁸ It is also extremely hard to be "clear-headed" and view clinical choices with equanimity when they relate directly to one's own uncertain future.

In reflecting upon what is in his own best interest the individual does so in light of his life plan and his unique system of values. Each person lives his life according to certain fundamental principles that have meaning for him personally, and it is in light of these principles that he makes his choices and acts in the world of everyday life. In the existential crisis of illness, these fundamental personal values are often made explicit. The individual encounters and interprets the threat to the self by reference to, and in light of, the principles which render his life meaningful.

Invariably the patient assumes (often incorrectly and certainly unreasonably) that the physician knows and understands what his personal value system is and, further, that in making the clinical

decision the physician is doing so not only in light of the clinical data but additionally with regard to this personal value system. He, therefore, rarely explicitly communicates his values to his physician. The physician, on the other hand, may deem it inappropriate, irrelevant, or intrusive to inquire of the patient what his value system is and he may judge the clinical data alone to be sufficient to determine what is in the patient's best interest. Thus, the patient not only loses the freedom to make a rational choice regarding his personal situation but additionally loses or abrogates the freedom to make the choice in light of his uniquely personal system of values.¹⁹

Illness is a state of disharmony, disequilibrium, dis-ability, and dis-ease in which the individual finds himself separated from his familiar everyday world. As the phenomenological analysis has revealed, illness represents an altered state of existence, a distinct mode of being-in-the-world. The person who is ill is preoccupied with the demands and dictates of his altered mode of existence. He is isolated from the familiar world in that he is no longer able routinely to carry on his normal activities, to participate in the everyday world of work and play. His isolation is all the more acute because the familiar world continues its course around him. His associates pursue their activities much as they have in the past, and although his illness affects the totality of HIS experiencing, it is a "fact" that is necessarily only in the periphery of the experience of others.

This point is powerfully illustrated in the following passage from The Death of Ivan Ilych.²⁰ Ilych arrived home from the doctor's

office and:

[B]egan to tell his wife about it. She listened, but in the middle of his account his daughter came in with her hat on, ready to go out with her mother. She sat down reluctantly to listen to his tedious story, but could not stand it long, and her mother too did not hear him to the end ... There was no deceiving himself: something terrible, new, and more important than anything before in his life, was taking place within him of which he alone was aware. Those about him did not understand or would not understand it, but thought everything in the world was going on as usual. That tormented Ivan Ilych more than anything.

Existential aloneness is necessarily a part of serious illness. As Murphy remarks, "Nothing is quite so isolating as the knowledge that when one hurts, nobody else feels the pain; that when one sickens, the malaise is a private affair; and that when one dies, the world continues with barely a ripple."²¹

Illness not only causes a disruption in present functioning but also effects a change in the individual's perception of the future. In health the individual takes for granted that the future will be available to him to accomplish those goals that are an integral element of his life plan. Few people live their lives solely in terms of the present. Most act in the present in light of specific goals that relate to future possibilities. Illness truncates the experiencing of the individual. It imprisons him within the present moment. The future is suddenly disabled, rendered impotent and inaccessible.²² This loss of the future serves further to isolate the one who is ill and separate him from his hitherto familiar world.

Moreover, as has been noted, the disruption of one's embodied capacities necessarily engenders a concurrent change in the character

of the surrounding world. Space constricts to the extent that illness confines and physical surroundings assume a restrictive and problematic character. Thus, the hitherto familiar world is permeated with a global sense of disorder. It is a world in which one is no longer at home.

In summary, then, the experience of illness is such that there are certain characteristics that are fundamental to the experience and that pertain regardless of its idiosyncratic manifestation in terms of a particular disease state. Such characteristics include the perception of loss of wholeness and bodily integrity, loss of certainty and concurrent apprehension or fear, loss of control, loss of freedom to act in a variety of ways, and loss of the hitherto familiar world. These eidetic characteristics represent the "reality" of illness-as-lived. They reveal what illness means to the patient.

The eidetic approach provides the physician with a means to bridge the gap between the lived experience of illness and the conceptualization of illness as a disease state. Such an approach requires that the physician temporarily set aside his naturalistic construction of disease in order to focus upon the essential characteristics of illness-as-lived and the patient's lifeworld interpretation of his illness. Obviously this is not intended to imply that the physician is thereby required to "give up" his scientific understanding of illness as a disease state. Rather, it is to suggest that the eidetic approach provides the means to expand the traditional scientific paradigm of disease. A new paradigm will include not only

an understanding of illness in terms of clinically definable disease states, but also an understanding in terms of the existential predicament of the patient. Such an expanded paradigm will not only provide a more complete understanding of the patient's illness but will enable the physician to address the patient's suffering more directly.

2. Empathic Understanding

As was noted in the previous chapter, those who have been sick share an empathic understanding of the "givenness" of illness in that they have a mutual understanding of the manner in which the body is constituted in illness - as an oppositional force, a physical encumbrance, as that which is "uncanny," and so forth. Consequently, sick persons can share something of another's experience of illness regardless of the disease state and without the need for any physiological explanation. It was further suggested that this empathic understanding of the "givenness" of illness, founded on the constitution of the body, provides a clue as to the manner in which a shared world of meaning may be constituted between physician and patient. In this section I shall explore further the notion that the lifeworlds of physician and patient do indeed provide the starting point for mutual understanding with regard to the illness experience and suggest that reflection upon the manner in which the body is constituted in normal circumstances can provide important insights into illness-as-lived.

The phenomenological analysis has revealed that the experience of

illness renders explicit the "ambiguity" of being at once identified with one's body (in that one is embodied) and yet separated from it (in that it is essentially out of one's control). This awareness of the "ambiguity" of one's body is not, however, limited to those moments when we become sick although, of course, such moments have undoubtedly a greater existential import with regard to the significance we attribute to such ambiguity. In the course of everyday, mundane existence the recognition of such ambiguity periodically breaks in to consciousness. We are reminded of the fact that we are embodied (and that we cannot disassociate ourselves from our bodies) whenever, for example, physical limitation prevents us from accomplishing a task or pursuing an ambition, in the inevitable experience of growing older, in the approving (or disapproving) glance of the stranger as we walk by, in the habitual awareness that this is "my" body and that while I claim it as my own, I am conjoined with it in a symbiotic relationship such that I cannot dispense with it altogether if "I" am to remain. On the other hand, mundane experiences also remind us that the body is essentially Other-than-me in that it is a material, physical object over which I have, at best, very limited control.

For the most part such experiences in everyday life are typically easily passed over and forgotten. However, they provide valuable clues as to the sense of disorder which is intrinsic to illness. In reflecting upon those moments when I recognize my inescapable embodiment - for example, experiences of physical limitation or the regretful apprehension I may have from time to time that my body

attests to the fact that I am "no longer as young as I used to be" - I may grasp something of the "shock" of radical bodily limitation which serious illness forces upon the sick (a "shock" which persists while illness lasts and which incorporates the awareness of loss of control).

In particular, everyday experiences of the body as a material physical object can disclose the bodily alienation manifested in illness. As has been noted, in such ordinary occurrences as my leg "going to sleep," the body is experienced as no longer embodying but rather as "thinglike" and separate from the self. Such an everyday occurrence is hardly distressing at a deep level. All that is required is that I massage the leg in order to "enliven" it and "gain it back." But a little reflection on this experience suggests how much more distressing it would be if such "deadness" were to persist indefinitely.

Furthermore, in one way or another, we all have mundane experiences of the body as an oppositional force - a force which must be overcome in order to carry out our projects in the world. If I am fatigued for lack of sleep or due to "jet lag," I must fight my body's reluctance to participate in my efforts to be wakeful, invigorated and enthusiastic ("enlivened"). If I have imbibed too freely (and have a "hangover"), or feasted too unwisely (and have a stomach-ache), I must surmount my body's resistance if I am to eat the breakfast which my host or hostess has carefully prepared and put before me. Such experiences place the body in opposition to the self, reveal the body

as a physical encumbrance. Again, such mundane occurrences are, for the most part, only temporarily disquieting. Nevertheless, one can readily appreciate how much more disquieting it would be if such occurrences were to persist; if, as in illness, the body as an oppositional force was an ever-present reality.

Additionally, experiences in which one's body is recognized as a mechanical physical object are not limiting cases confronted only in unusual circumstances. As Engelhardt has pointed out, one has everyday confrontations with the automaticity of one's reflexes – one bangs one's patella and the knee jerks; one drinks too much coffee and the heartbeat becomes irregular.²³ In such experiences the body presents itself as an other, as an object among others to be felt, seen and acted upon. Moreover, the body presents itself as a biological body with its own nature, functions, and physiological processes of which one has little, if any, awareness and over which one has at best very limited control. Under normal circumstances such experiences of the body as a physiological entity may not be particularly threatening. One may, for example, simply wonder at the marvellous complexity of the workings of one's mechanistic body. Nevertheless, such everyday experiences do necessarily reveal the body's "uncanniness" – in particular its hidden and alien presence – and, consequently, they do remind one of the tenuous nature of the control which one exercises over the physical body (a reminder which is oddly disquieting).

Some reflection on this apprehension of the body as uncanny under normal circumstances provides a clue as to the profound sense of bodily

alienation which is intrinsic to the experience of illness. It will be recalled that with bodily disorder not only is the body apprehended as a mechanistic physical entity but, more particularly, as a malfunctioning physical entity. As a malfunctioning physical entity the body is not only disclosed as hidden and alien presence in an overt and persistent manner but, additionally, in a manner which is necessarily perceived as threatening to the self. How much more disquieting it must be in these circumstances to apprehend the body's independent nature and to recognize the extent to which one has but little, if any, control over it.

It seems clear, then, that the lived experience of the body is the starting point for mutual understanding with regard to the illness experience. Whether or not the physician has himself been sick, from time to time under normal circumstances he apprehends his own body's ambiguity, senses its hidden and alien presence. Some reflection on such mundane occurrences in everyday life provides the basis for empathic understanding of the "givenness" of illness. Such understanding is not esoteric. We all have everyday experiences of the body as an oppositional force, as a physical encumbrance, as a material, physical object – experiences which alienate self from body and which disclose the body as uncanny. What distinguishes these experiences from their counterpart in illness is that in everyday life they are short-lived and, for the most part, easily forgotten. Nevertheless, they point towards the deep sense of bodily alienation and loss of control which is intrinsic to illness-as-lived.

Not only do the lifeworlds of physician and patient provide the basis for empathic understanding of the eidetic features of illness but the lifeworld is also the basis for an understanding of specific bodily disorders. Indeed, as Engelhardt has noted, medical students learn explicitly to observe their own life processes in order to notice the dimension of the purely physical and mechanical.²⁴ The physician achieves his scientific understanding of illness on the basis of his pre-scientific experience of everyday life. For example, as Schwartz and Wiggins have pointed out, the scientific notion of emphysema presupposes an ordinary understanding of breathlessness.²⁵ Indeed, Engel argues that in attending to the patient's report of his disorder, the physician's response is predicated on a personal lifeworld and lived body. To become breathless is part of a common lifeworld for both patient and physician, as is the panic engendered when breathlessness occurs for no apparent reason.²⁶

Cassell suggests that it is vital for the physician to use himself and his own experience with his body and the world as a framework of reference to help him to understand the complaints of patients.²⁷ In doing so, he argues, the physician is checking with his own knowledge to be sure that he understands a symptom completely – understands it so well that he can, so to speak, feel it within himself. The physician uses his experience of the lived body to check if he has clearly understood what a patient means and how the symptom differs from normal function, and he uses his knowledge of anatomy and physiology to guide the process of inquiry.²⁸ In the event that one has

never had a similar experience or felt the body sensation described by the patient (a problem more often encountered perhaps by the young and inexperienced) then, says Cassell, one has to ask more questions so that "when you have finished you have both acquired the diagnostic information and learned more about the world."²⁹

Engel notes that by virtue of his education the physician's lifeworld is expanded.³⁰

The report by a dyspneic patient, for example, resonates not just with the physician's life-long awareness of breathing and breathlessness - his or her own and others' - but also with his or her more recently acquired experience with lungs and blood gases and unsaturated hemoglobin and audible rales and patients struggling for air. To the extent that these products of formal education constitute lived experiences rather than abstractions learned from books, they contribute to the ever-changing lifeworld of the physician.

Engel makes the important point that the lifeworld of the patient also evolves in the course of illness and health care and in the process there comes about a mutual understanding between physician and patient in the context of which ongoing care takes place.³¹

In sum, then, physician and patient share lifeworld experiences which provide the basis for the constitution of a shared world of meaning between them. In particular, some reflection upon the lived experience of the body suggests that an empathic understanding of illness-as-lived is readily available to the physician - even if he has not experienced sickness himself.³² This empathic understanding of the "givenness" of illness founded on the constitution of the body may be enriched to the extent that the physician regularly observes the lived

experience of those who are sick. Obviously, such empathic understanding may also be further enriched by a personal experience of illness. As Sacks notes with regard to his own leg injury:³³

[G]oing through all the specific experiences of "The Leg," as well as the more general experiences of "Being a Patient," taught me, changed me as nothing else could. Now I knew, for I had experienced myself. And now I could truly begin to understand my patients ... I could listen to them, I could understand them, and sometimes I could help, because I had traversed this region myself.

The phenomenological analysis of body reveals, however, that whereas such a personal experience indeed provides profound insights into the disordered existence of illness, more mundane lived experiences also provide invaluable clues as to the meaning of illness-as-lived.

3. Clinical Narrative

The phenomenological analysis has revealed that, not only is the lifeworld interpretation of illness distinct from the naturalistic construction of the disease state, but the meaning of illness is intimately related to the patient's unique biographical situation. In this section I shall argue that clinical narrative (the story of the illness as told by the patient) provides insights into the lived experience of illness and particularly into the meaning that illness has for a particular patient.

The clinical narrative is to be distinguished from the medical history. The medical history is based on the biomedical view of reality, the naturalistic construction of the disease state. Larry and Sandra Churchill have noted that the medical history concerns facts

such as "onset of symptoms, disease etiology, pathophysiology, course of the disease, potential for and options for treatment."³⁴ It also includes such items as inoculations, past injuries and hospitalizations, known allergies, and chronic conditions. The clinical narrative, on the other hand, is the story of the illness from the patient's point of view. It not only contains the facts of the illness (the story of the events which have brought the patient to the doctor) but, in addition, the patient's explanations, interpretations and understanding of such facts.³⁵ In particular, the clinical narrative incorporates a description of the manner in which the bodily disruption manifests itself in the life of the patient. The narrative (or tale) is "clinical" insofar as it lends itself to clinical or medical analysis. It is a narrative insofar as it is the patient's story of how he or she has been feeling, what he or she has been experiencing, in the realm of illness.³⁶

In analyzing medical interviews between physician and patient, Mishler has noted that there are two frameworks of meaning characterizing the discourse: the "voice of medicine" (representing the technical-scientific assumptions of medicine) and the "voice of the lifeworld" (representing the natural attitude of everyday life).³⁷ The medical history reflects the "voice of medicine," the clinical narrative represents the "voice of the lifeworld." Mishler reports that in standard interviews the "voice of medicine" predominates. Through his questions the physician controls the form and content of the interview, defining what is and is not considered relevant. While

the "voice of the lifeworld" periodically intrudes into the interview, the physician quickly reintroduces the "voice of medicine" focusing on "objective" symptoms in consonance with the biomedical model of disease. Indeed, Mishler notes that physicians tend to treat the "voice of the lifeworld" as non-medically relevant and therefore quickly suppress this voice in the typical interview.³⁸ The physician listens to the patient's narrative and extracts or abstracts from it a (syndromic or etiological) "case" - based on his knowledge of other "cases" and of the physiological and pathological processes of the body.³⁹

It is important to note, however, that the "voice of the lifeworld" directly relates to the patient's lived experience of illness. Consequently, the clinical narrative - as opposed to the medical history - discloses what illness means to the patient. As Cassell points out, patients are not objective observers "reporting on the march of disease through their bodies," rather they are instead telling about things that have happened to them - happenings to which they assign meanings, interpretations and causal explanations which are a direct reflection of their particular lifeworld.⁴⁰ Thus, in the clinical narrative, the patient emphasizes what he takes to be significant about his illness and its impact upon his life.⁴¹

Attending to the patient's story is vital if one is to understand the patient's illness. The assignment of meaning and explanation is as much a part of the illness as is its physical expression. As Cassell notes, for example, just as illness without a cough is different from

an illness with this symptom, so an illness in which the patient suspects or is afraid of, say, kidney involvement is different from an illness without such fear.⁴² Only the patient's narrative can disclose such meanings.

To gauge fully the patient's perspective and to be sure about what the patient wants from care, says Kleinman, the practitioner must elicit the patient's explanatory model (as opposed to the biomedical explanatory model).⁴³ This means explicitly attending to the patient's narrative and also asking the patient to elaborate on such matters as the reasons for the onset of symptoms at a particular time, his lay understanding of what gave rise to the symptoms, and the expected course and perceived seriousness of the illness.⁴⁴ In addition, in order to understand the meaning the illness has for the patient, Kleinman suggests the practitioner ask, "What is the chief way this illness (or treatment) has affected your life?" and "What do you fear most about this illness (or treatment)?" It should be noted with regard to the latter questions, that such matters are often disclosed by the patient in the telling of his story. In particular, in providing a narrative account of his illness experience, the patient focuses on the lifeworld disruption which his illness represents and tries to convey the impact of such disruption.

In providing a lifeworld description of bodily disruption, the clinical narrative deepens the physician's understanding of the lived experience of illness. Such narratives disclose what it is like to suffer from, say, multiple sclerosis, heart disease, arthritis.

Clinical narratives do not operate at the level of objective pathology. They are not primarily concerned with such matters as elevated blood counts, demonstrable lesions, or abnormal EKG's. They detail the patient's experience of lived body disruption – of the disorder of body, self and world that illness represents.

As Sacks has noted with regard to empathic understanding, it is difficult to "imagine" the lived experience of diseases such as Parkinsonism, because such experience is so far removed from normal everyday functioning.⁴⁵ Nevertheless, a patient's description of what it is like to have Parkinson's disease can broaden one's understanding immeasurably. In his book, Awakenings, Sacks reports how he asked his patients with Parkinsonism to explain what life was like for them.⁴⁶ In their stories they tell of profound disruptions of space and time – descriptions that can be found in no textbook definition of the disease state. As Brody notes with regard to these descriptions, "The richness of the patients' responses suggests how much we may still be missing with regard to many other diseases, where no careful listener has yet come along to hear the stories of the sufferers."⁴⁷

This underscores the importance of asking the patient to recount his story in detail, of posing such questions as "What is it like for you?" Surprisingly, perhaps, such questions are often not asked by physicians. As Murphy notes, for example:⁴⁸

Nobody has ever asked me what it is like to be a paraplegic – and now a quadriplegic – for this would violate all the rules of middle-class etiquette ... Polite manners may protect us from most such intrusions, but it is remarkable that physicians seldom ask either. They like "hard facts" obtainable through modern technology or old

fashioned jabbing with a pin and asking whether you feel it. The tests supposedly provide good "objective" measures of neurological damage, but ... they reduce experience to neat distinctions of black and white and ignore the broad range of ideation and emotion that always accompanies disability.

Since medical people have a "penchant for looking primarily at the biological aspects of health," says Murphy, their advice often "dooms the patient to social and psychological disability in the name of somatic 'health,' whatever that is." Accordingly, some medical people consider a paralytic to be doing well "if he has no skin breakdowns, is not visibly depressed, and has clear bowels and bladder."⁴⁹ If one is to understand the lived experience of illness, comprehend what the disorder means to the patient (and thus address directly the patient's disorder and suffering), then it is clear that one has to go beyond objective, quantifiable, clinical data and elicit the patient's illness story.

In this respect it is interesting to take note of an educational endeavor which has been instituted by Dr. Rita Charon at Columbia University. Charon directs a course for second year medical students which explicitly attempts to teach the "empathic stance." The course introduces students to the voice and the world of the patient - the challenge being to "coax medical students away from their detached objectifying stance, a stance inevitably produced in them through the reductionism of most of their curriculum, without disarming them or rendering them ineffective through over-identification with the patient."⁵⁰ An important exercise in the course is to have students interview a patient with chronic illness. The patient is invited to

tell the story of his or her illness and the students are directed to focus on the patient's own understanding of the illness and to learn how it has changed the patient's life. After the interview students are required to write an account of the patient's illness using the narrative voice of the patient. In asking them to recognize and adopt the patient's voice through writing, Charon notes that she is asking them to seek out the patient's perspective, the coherence that a patient visits on a set of events, and ultimately the meaning that the patient attaches to it all. This exercise accomplishes many goals: students comment that writing the stories allows them to feel something of the patient's experience, and comparing the stories written from the same interview demonstrates to them the selectiveness of attention and the personal contribution of the hearer. Most importantly, students become more attuned to the patient's constitution of illness, to the life context within which the illness takes place, to the ordeal of being sick, and to the many ways there are to heal. As Charon puts it:⁵¹

The imagination is a powerful instrument in the practice of medicine. The physician's effectiveness increases with empathy, and empathy springs from the ability to imagine the patient's point of view. This encounter hinges on narrative acts; on the patient's ability to tell a story, and on the interviewer's skill in receiving it and hearing its message.

Charon's point is that the physician's effectiveness qua physician increases with empathic understanding. It is not simply that such understanding insures collegiality between doctor and patient (i.e. the patient is treated in his uniqueness as an individual) but

that understanding the patient's illness in the context of his particular life situation enables the physician to devise maximally effective therapeutic measures.

Obviously clinical narratives are not limited to the stories individual patients tell in face-to-face encounters with their own practitioners. Insights about the lived experience of illness may also be gained from published narratives. That is, one may develop a greater understanding of illness-as-lived through literary accounts of the illness experience. All of us who read Sacks' report of his patients' descriptions of what it is like to have Parkinson's disease will gain some insight into Parkinsonism.⁵² Murphy's firsthand account of his ongoing experience of a spinal cord tumor and the resulting progressive paralysis provides his readers with concrete information about the disruption of self and world which such a disorder represents.⁵³

Baron argues that, indeed, literary accounts of illness may, in a sense, be read as "medical treatises that give physicians information absolutely essential to the practice of medicine."⁵⁴ Such accounts contrast markedly with the biomedical description of disease.⁵⁵ Consider, for example, the following account of a patient with skin disease:⁵⁶

Oct. 31. I have long been a potter, a bachelor, and a leper. Leprosy is not exactly what I have, but what in the Bible is called leprosy (see Leviticus 13, Exodus 4:6, Luke 5:12-13) was probably this thing, which has a twisty Greek name it pains me to write. The form of the disease is as follows: spots, plaques, and avalanches of excess skin, manufactured by the dermis through some trifling but persistent error in its metabolic instructions, expand and

slowly migrate across the body like lichen on a tombstone. I am silvery, scaly. Puddles of flakes form wherever I rest my flesh. Each morning I vacuum my bed. My torture is skin deep: there is no pain, not even itching; we lepers live a long time, and are ironically healthy in other respects. Lusty, though we are loathsome to love. Keen-sighted, though we hate to look upon ourselves. The name of the disease, spiritually speaking, is Humiliation.

Nov. 1. The doctor whistles when I take off my clothes. "Quite a case." ... The floor of his office, I notice, is sprinkled with flakes. There are other lepers. At last, I am not alone ... As I drag my clothes on, a shower of silver falls to the floor. He calls it, professionally, "scale." I call it, inwardly, filth.

Baron argues that any dermatologist who reads this description seriously thereby profoundly enriches his comprehension of the phenomenon of skin disease. The lived experience ("torture," "loathsome," "Humiliation") is not captured in the naturalistic account of the disease state ("psoriasis," "leprosy," "some trifling but persistent error in metabolic instructions"). Furthermore, says Baron, "there is a level on which it does not even matter whether the disease is psoriasis or leprosy; on such a level, one can talk seriously of 'Humiliation,' even as one decides whether to prescribe dapsons or psoralens with ultraviolet A."⁵⁷

In providing lifeworld descriptions of illness, clinical narratives expand upon (rather than supplant) the purely naturalistic account of disease states. Aleksandr Luria's books, The Mind of a Mnemonist and The Man With a Shattered World, for example, give information not only about neuroanatomy and cerebral function, but provide profound insights into the lived experience of disorder which is produced by pathologies of memory and severe neurological deficit.⁵⁸ In moving from pure medical description to a lifeworld account of

neurological disorder, Sacks focuses on the meaning that neurological disease has for his particular patients – and thus he gives an account of the chaotic disruption of body, self and world that such disorders necessarily entail.⁵⁹ In his autobiographical account of a leg injury, Sacks details not only the specific neurological "fact" of his injury but recounts the experience of disordered body image and body ego which was an integral part of his illness – an experience which is shared by many patients with such injuries. In particular, Sacks' account reveals the profoundly distressing effect that such disturbances of body image create.⁶⁰

Furthermore, literary autobiographical accounts can provide a window into the experience of some illnesses where it is extremely difficult to gain firsthand descriptions through verbal communication with patients. For example, Christopher Nolan's Under the Eye of the Clock is a remarkable account of what it is like to be severely handicapped with cerebral palsy.⁶¹ As John Carey notes with regard to Nolan's story:⁶²

[T]his is a voice coming from silence, and a silence that has, as Nolan is aware, lasted for centuries. He has a keen sense of the generations of mute, helpless cripples who have been "dashed, branded and treated as dross," for want of a voice to tell us what it feels like. Now that voice – or at any rate that redeeming link with a typewriter – has come, and we know. On page after page of this book, Nolan tells us. It should not be possible, after reading it, ever again to think as we have before about those who suffer what he suffers.

The profound gap between the immediate experience of illness and the naturalistic account of such experience is well illustrated in the

clinical narratives provided by physicians who have themselves been sick. In describing their own illnesses most physicians quickly move beyond the traditional medical description of disease to a phenomenological description of the illness experience. In so doing they convey the disruption and disorder which characterizes illness-as-lived. In describing their experience they talk not of objective signs and clinical data but rather of loss of certainty (no longer feeling indispensable or indestructible). They recount fear and anxiety. They tell of losses engendered by the disruption of plans, goals and aspirations. They detail their isolation from others (particularly their sense of isolation from other physicians, including those who are treating them). They talk of losing their independence, of the indignities of becoming a patient. In particular, they focus upon the disruption of their lives which episodes of illness engender – disruptions that have a lasting impact and which do not necessarily cease if the disease is cured.⁶³ Such narratives emphasize that illness is never encountered by the patient as an isolated entity but rather illness is always experienced within the context of a particular life and in light of personal hopes and aspirations.

The clinical narrative (the story of the illness as told by the patient) necessarily situates the illness within the larger context of the patient's life narrative. This is important in a number of respects. In Chapter Two, for instance, it was noted that there is a distinction between suffering and clinical distress. In particular, it was argued that suffering occurs at the reflective level and is

experienced by persons, not merely by bodies. Consequently, suffering is intimately related to the meaning and significances assigned by the patient to his immediate pre-reflective sensory experience. It was further noted that, since suffering is intimately related to the manner in which illness is constituted by the patient, the alleviation of suffering requires that explicit attention be paid to such constitution. The clinical narrative provides important information with regard to the patient's biographical situation and, particularly, with regard to the meanings - both personal and cultural - which are a function of that biographical situation. As we have seen, such meanings determine the manner in which the patient constitutes his illness and, furthermore, to a large extent such meanings determine whether "disease" involves suffering.

Moreover, it will be recalled that the phenomenological analysis of temporality has provided the insight that lived experience exhibits a certain temporal structure (i.e. that the temporal is constituted as a field of occurrence with past and future providing horizons for the present). Such an analysis underscores the importance of recognizing that illness in its immediacy is not simply an isolated physical event but rather must be understood as an episode which is embedded in the unique life narrative of the patient. That is, the present "fact" of illness represents not so much an isolated instant along a given time-line as it does a present-now which must be considered against the horizons of past and future. In particular, it has been noted that present meaning is always constituted in terms of past meanings and

future anticipations. Thus, the meaning of illness to a particular patient will depend upon the "collectivity of his meanings" - a collectivity which is necessarily a function of his particular life situation. In locating the illness within the context of a unique life narrative, the clinical narrative draws attention to the temporal structure of lived experience and, particularly, to the constitution of meaning which is a function of this temporal structure. In so doing, it provides at least an initial response to the question "What does this illness mean to you?"

In sum, then, it is argued that the clinical narrative provides a means to bridge the gap between the separate worlds of physician and patient, in that this narrative provides important insights into the lived experience of illness. In reflecting the "voice of the lifeworld," rather than the "voice of medicine," the illness story relates the disruption of body, self and world (the disorder of lived body) which the immediate experience of illness represents. Furthermore, the narrative explicitly situates the illness within the context of a particular life and, in so doing, it discloses meanings inherent in that unique life situation - meanings which directly relate to the patient's constitution of illness.

4. The Healing Relationship

In his analysis of the manner in which a "communicative common environment" (a common world) is established between individuals Schutz notes that the "face-to-face" relationship is the predominant relation

in the constitution of the social world.⁶⁴ In such a relationship the participants share time and space, perceiving one another. The participants share space in the sense that in this type of relation "the Other's body is within my actual reach and mine within his," and they share time in the sense that together they constitute what Schutz has called a "vivid present."⁶⁵ What characterizes the vivid present is a mutual experience of living simultaneously in several dimensions of time – that is, each participant in the relation not only experiences ongoing events in terms of a shared outer time but in the communicative process there is a synchronicity between the participants' ongoing flow of consciousness in inner time (in the sense that they are mutually directed to and engaged in experiencing an object or event in the world).⁶⁶

Schutz notes that only in the "face-to-face" relation do we experience one another in our individual uniqueness. While the "face-to-face" relationship lasts we are "mutually involved in one another's biographical situation: we are growing older together."⁶⁷ In this type of relation, then, the Other is perceived as a "co-subject" who has his own experiences of a "common" world.⁶⁸ It is in the context of the "face-to-face" relation that a communicative common environment may be established by means of which the participants attempt to constitute a shared world of meaning.

The patient-physician relationship is a unique kind of "face-to-face" relationship in that the mutual involvement in one another's biographical situation (the shared world) is grounded in the

patient's experience of illness. The patient comes to the physician because of some perceived lived body disruption (some unusual sensory experience or functional disturbance or some apprehension of an alteration in his body which is constituted by him as "illness" or "disease"). And this perceived lived body disruption is the focus of the encounter.

Additionally, the patient-physician relationship has a specific end - the healing of the patient - and the relationship is entered into and perpetuated with this end in sight. The person who is ill comes to the physician seeking relief from his lived body disruption, some means of alleviating or ameliorating his suffering or distress.⁶⁹ In coming to the physician, says Pellegrino, the patient does so with one specific purpose in mind: "to be healed, to be restored and made whole, i.e. to be relieved of some noxious element in his/her physical or emotional life which the patient defines as dis-ease - a distortion of his/her accustomed perception of what is a satisfactory life."⁷⁰ The patient, then, is a suffering person who comes to the physician to have something done to assist him to regain his former state or, at least, a more optimal one.⁷¹

Moreover, the sick person also comes to the physician seeking a means to communicate his dis-ease and thereby to make sense of his particular experience of illness. What he seeks is not simply a scientific explanation of his physical symptoms, but also some measure of understanding of the personal impact of his experience of lived body disruption. In communicating with his physician he seeks to convey the

meaning of his illness in the context of his particular biographical situation.⁷²

It is important to note that the manner in which illness is constituted has a profound impact on the notion of "healing," and thus on the way in which the end of the patient-physician relationship is defined. If illness is attended to (and thus defined) exclusively in terms of "objective" pathophysiology (i.e. in terms of "disease states" which are manifested in demonstrated pathoanatomical and pathophysiological findings), the end of the medical encounter is understood to be primarily diagnosis and cure. The primary focus is on the disease state with a concurrent de-emphasis of the patient's lived experience of illness. If, however, illness is understood in terms of the primary experience of lived body disruption (and the concurrent disorder of body, self and world), then attention is focused on the dis-ease of the patient (and not solely the "disease" of the patient) and the goal becomes to restore to him his integrity as a human being. This restoration of wholeness may include, but is not limited to, the restoration of bodily integrity.

This qualitative shift in emphasis (a shift which moves from a stance of confrontation with an abstract disease entity to a stance of addressing the existential needs of the person who is ill) has profound implications, in particular, for the response to those individuals facing chronic or incurable illness - where the restoration of health is not an attainable end. If the end of the medical encounter is defined solely in terms of diagnosis and cure of "disease," the

suffering of those with chronic illness seems intractable. The focus on "cure" suggests that the physician has little to offer the person who is incurably ill.

As Baron notes, such is the emphasis of the following statement appearing in Harrison's Principles of Internal Medicine, a textbook which is described as "probably the single most respected textbook of medicine."⁷³

The discovery and cure of potentially serious disease represents a far greater service to one's patients than ministrations in the course of an incurable condition.

It is, of course, the case that (under optimal conditions) an important way to restore the patient's autonomy and to return him to his former state of well-being is to cure the disease that impairs his autonomy (although it will be recalled that cure of disease does not always eliminate suffering).⁷⁴ However, the majority of patients who seek help from a physician are those suffering from illnesses which cannot be cured.⁷⁵ For such patients the goal of cure, and the expectations which are derived from an acute disease model of illness, are inappropriate.⁷⁶ For the chronically ill the control of disease is, by definition, limited. Rather the emphasis is necessarily upon reducing the disorder of body, self and world which the ongoing lived body disruption engenders. Indeed, Kleinman argues that, in the case of chronic illness, the quest for cure is a "dangerous myth that serves patient and practitioner poorly."⁷⁷ Such a "myth," he says, distracts attention from step-by-step behaviors that lessen suffering, even if they do not cure the disease.

As Engelhardt has pointed out, the manner in which the disease state is constituted has implications for how patients are treated and how they are regarded. An account that portrays diseases essentially as pathoanatomical and pathophysiological changes discounts patient complaints that are not easily referable to such changes. He notes that a "line is quite naturally drawn between bona fide complaints, those complaints accountable in pathoanatomical and pathophysiological terms, and male fide complaints, those complaints not amenable to such explanations."⁷⁸ If illness is defined exclusively in terms of "objective" pathophysiology, then the goal of medicine is construed primarily as understanding and treating pathoanatomical lesions and pathophysiological disturbances. Consequently, the implication is that physicians have nothing to offer patients who suffer from complaints that do not fall into this category. Rather, as Engelhardt indicates, such patients will be seen as "attempting to misuse medicine and to distract it from its important central and serious missions."⁷⁹

It is important to note, however, that many people who are ill do not have diseases which can be classified according to the conventional taxonomy.⁸⁰ Indeed, McWhinney argues that such illnesses account for at least half of the morbidity in the general population at large, and that they are capable of causing much chronic suffering and disability. As an example he cites studies of abdominal pain (in which only 21% of patients received a specific diagnosis after three months), headache (in which only 34.5% of 272 patients had received a specific diagnosis after one year and in which the remainder of complaints were

classified as either "migraine" or "muscle contraction headache" - non-specific diagnoses in which he notes it was "virtually impossible to make a clear distinction between the two conditions"), and chest pain (in which only 50% of the patients studied received a specific diagnosis).⁸¹

If "cure" is perceived to be the goal, disease is the enemy and the patient's body the battlefield. The emphasis is on winning the war, whatever the cost. The "disease" is confronted as an abstract entity residing in, but in some way separated from the one who is ill.⁸²

This emphasis on confrontation with a disease entity, rather than on addressing the existential predicament of the one who is ill, is reflected in the following description by Martin D. Netsky, a professor of medicine. He describes the treatment received by his dying mother in a large teaching hospital that prides itself on the excellence of patient care.⁸³

What happened was a nightmare of depersonalized institutionalization, of rote management presumably related to science and based on the team approach of subdivision of work ... Different nurses wandered in and out of my mother's room each hour, each shift, each day, calling for additional help over a two-way radio ... They were trained as part of a team "covering the floor" rather than aiding a sick human being ... Laboratory studies of blood and urine continued to be performed, fluids were given, oxygen was bubbled in, antibiotics were administered; the days went by but seemed to be years. The patient was seen occasionally by large groups of physicians making rounds, presumably learning the art of practicing medicine properly ... The chart was enlarged regularly with "progress notes." These hastily scrawled writings always dealt with laboratory data, never about the feelings of the patient or her family ... One report stated that occult blood had been found in the stool. Someone responded by writing in the chart that,

in view of this finding sigmoidoscopic examination and a barium enema were indicated. I suggested to the author that his conditioned reflexive act was not warranted in the care of an unconscious 80-year old woman who wanted to die gracefully.

Others have similarly noted that if the primary focus is the disease state, then the goal of medicine is considered to be the preservation of the body and biological life. Consequently, in an era of technological effectiveness life at all costs becomes not only a slogan but a reality (an imperative which may override other human values).⁸⁴

If cure is the overriding goal, inability to cure is equated with failure. Thus, the patient whose "disease" cannot be cured is often avoided as an uncomfortable reminder of failure. Dying patients who write of their illnesses relate their isolation from caregivers.⁸⁵ As one terminally ill cancer patient noted, in the hospital "no one seemed to want to look at me" for to look at her "might have meant to see, in a place where only successful cure was acceptable, that she was incapable of being cured."⁸⁶ The centering focus in biomedical science and medicine on curing disease and injury, says Zaner, suggests that those who cannot be "cured" not only "stand outside medicine as beyond its apparent powers, but also are living affronts to it. Being 'incurable' is being 'beyond help,' and this all too easily becomes the motive for being abandoned."⁸⁷

It is, indeed, interesting to note that clinical vernacular reveals the tendency to blame the patient (and not the limits of medical science) for any failure to respond to treatment.⁸⁸

You never say that a patient's blood pressure fell or that his cardiac enzymes rose. Instead a patient is always the subject of the verb: "He dropped his pressure." "He raised his enzymes." ... When chemotherapy fails to cure Mrs. Bacon's cancer, what we say is, "Mrs. Bacon failed chemotherapy."

If cure of "disease" is taken to be the sole end of the medical encounter, there is indeed little the physician can do in the face of intractable illness. But if alleviation of dis-ease and suffering is perceived to be the end of the healing relationship, there is much the physician can do. Indeed, he is perhaps the most effective ally that the patient can have in the struggle to deal with the limitations imposed by his illness.⁸⁹

Cassell has distinguished between the "healing function" as opposed to the "curing function" of the physician.⁹⁰ The "curing function" is, of course, limited to the cure of disease states. However, the "healing function" is directed at addressing and resolving the existential predicament of the person who is ill - at relieving (to the extent possible) the perceived lived body disruption which the illness engenders. Cassell notes that, in fact, "in this day of cancer, chronic disease and the problems of the aging," the healing function of the physician is primary. As has been noted, patients with incurable disease far outnumber those with curable disease.

In the case of chronic or incurable illness the healing function of the physician is crucial. The healing function is not to be equated simply with giving reassurance, acceptance and patience.⁹¹ In a real way through the healing relationship the physician can restore to the patient his integrity as a person. To do this the physician must

address those factors which are fundamental to the experience of illness, such as loss of control, isolation, helplessness and loss of freedom to act. Whereas the restoration of wholeness may be limited in terms of restoring bodily integrity or eradicating "disease," the physician can assist the patient in regaining control (even if it is only limited control), overcoming helplessness and thus retaining the freedom to act. Although the freedom to act may be severely circumscribed by physical impairment, nevertheless the physician can assist the patient in continuing to live his life to the fullest extent possible.

The healing function of the physician extends even to the dying patient. As Cassell points out the physician is only helpless in the battle against death if he sees his role solely in terms of curing "disease." The physician who knows that his function is to help the sick to the limit of his ability is almost always able to offer something, says Cassell. In his care "the sick are protected from helplessness, fear, and loneliness, agonies that are worse than death."⁹²

"Healing a person does not always mean curing a disease," argues Cicely Saunders, founder of St. Christopher's Hospice in London.⁹³

Sometimes healing means learning to care for others, finding new wholeness as a family - being reconciled. Or it can mean easing the pain of dying or allowing someone to die when the time comes. There is a difference between prolonging life and prolonging the act of dying until the patient lives a travesty of life.

It may be objected that healing, so defined, is not limited to

medicine. Pellegrino argues, however, that although psychologists, ministers, friends and families can provide healing relationships, they do so "over a limited range of human need."⁹⁴ The person who seeks healing from a physician does so specifically because he regards himself as sick, whereas the person who seeks healing from those outside medicine does not consider himself to be ill.⁹⁵

Sickness implies embodiment, the distinctly human phenomenon of a conscious self in a lived-body. When a person experiences some disturbance in his accustomed state of balance between body, psyche and self he counts himself as sick.

It is the fact of embodiment that creates the need for the physician. Only he can unravel the connections between the subjective experience of illness and its linkage to bodily function. Without denying the part others may play, the physician comes closest to what healing means - to restore wholeness or, if this is not possible, to assist in striking some new balance between what the body imposes and the self aspires to.

The special relationship between physician and patient, the healing relationship, distinguishes clinical medicine from biomedical science, per se. In the healing relationship attention is focused on the experience of the one who is ill, rather than simply on the disease process itself. As Toulmin notes, in his traditional role of healer the physician's understanding is "typically particular rather than general, individual rather than collective, even (so far as is practicable) empathic rather than intuitive. He will focus his attention entirely on the particular problems of individual patients, whatever these turn out to be, rather than view patients merely as 'nice cases of x-itis.'"⁹⁶ It is the explicit focusing on the particular problems of the individual patient that enables the

physician to fulfill his role as healer. Healing includes the relief of suffering, of dis-ease, as well as the cure of "disease."⁹⁷

Since healing involves the relief of suffering and the amelioration of the lived body disruption which illness engenders, it is clear that healing requires an understanding of illness-as-lived. The phenomenological analysis has revealed that suffering is always personal. It relates explicitly to the particular patient's life situation and to the meaning and significances which he attributes to his experience of illness. Thus, suffering may only be relieved if explicit attention is paid to the meaning that illness has for a particular patient within the context of his unique life world.

Moreover, the phenomenological analysis of body indicates that suffering relates not only to the loss of intactness of the biological body but to the loss of integrity of the whole web of interrelationships of body, self and world; that is, to the manner in which the patient uniquely exists his body and to the disruption of that embodiment which alters all his relations and interactions with the surrounding world. If suffering is to be relieved, it is imperative directly to address this disruption of embodiment. This is particularly important in the case of chronic or incurable illness where it is not possible to restore the intactness of the biological body. The emphasis must be on addressing and ameliorating such problems as the disruption of lived spatiality and lived temporality, thus enabling the chronically ill patient to confront the ongoing disorder in an optimal fashion.

It has been noted that the disruption of embodiment includes not only the loss of bodily integrity but that it may result in a concurrent disruption of self (loss of integrity of the person). Cassell has noted that an important goal in the healing relationship should be maintaining the integrity of the person. Indeed, he suggests that suffering is "that state of distress induced when the intactness of the person is threatened or destroyed; such distress continues until the threat is gone or the integrity of the person is restored."⁹⁸ In the case of chronic illness, Cassell notes that suffering may arise because the integrity of the person is threatened by internally generated conflicts (such as conflicts generated by the desire to fulfill the expectations of the social world, loss of self esteem, negative perceptions of the attitudes of others towards one's disability, and so forth).⁹⁹ Knowing the patient and his values can allow the physician to assist the person with chronic illness to address such threats to the self. Even in the event that an illness is terminal, the integrity of self can be preserved. That is, mutual decisions can be made by physician and patient which preserve the patient's autonomy in the face of death.¹⁰⁰

The phenomenological analysis carried out in this work has revealed that the lived experience of illness is fundamentally and distinctly different from the conceptualization of illness as a disease state. In particular, this analysis discloses that physician and patient constitute illness from within the context of different worlds - each world providing its own horizon of meaning. Nevertheless, it is

evident that the act of healing requires the constitution of a shared world between physician and patient – in particular, healing presupposes some understanding on the part of the healer of the patient's existential predicament. Such an understanding can only be reached if the physician (the healer) explicitly focuses on the illness as it is experienced by a particular patient. The act of focusing on the patient's lived experience requires that the physician temporarily set aside the naturalistic interpretation of illness as a disease state in order to attend to the lifeworld disruption which illness engenders.

In this chapter it has been suggested that some measure of understanding of such lifeworld disruption may be gained through an awareness of the eidetic characteristics of illness – those essential features which are fundamental to the lived experience and which pertain regardless of its idiosyncratic manifestation in terms of a particular disease state. It has also been argued that physician and patient share lifeworld experiences which provide the basis for the constitution of a shared world of meaning between them. In particular, empathic understanding may be developed through reflection upon the lived experience of one's own body. Lastly, it has been noted that the clinical narrative (the story of the illness as told by the patient) provides the healer with insights into the unique meaning that illness has for a particular patient.

NOTES

¹A portion of the material included in this section was published in my article, "The Meaning of Illness: A Phenomenological Approach to the Patient-Physician Relationship," The Journal of Medicine and Philosophy 12 (1987): 219-40.

²Alfred Schutz, "Some Leading Concepts in Phenomenology," in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 114.

³Schutz, "Some Leading Concepts in Phenomenology," 114. It is not my purpose in this context to evaluate Husserl's method of apprehending essences, i.e., the method of free phantasy variation. For a more detailed account of imaginative variation see the introductory chapter and accompanying footnotes. As has been noted with regard to illness, the attempt to grasp the eidetic structure, difficult as it may be, is what distinguishes a philosophy of illness from a psychology. Moreover, Husserl would suggest that adequate psychological strategies presuppose clarity at the philosophical level. While the example of illness is obviously more complex than the example of the cube, since (in Husserl's terms) it involves various founded levels of meaning, nevertheless it is possible to uncover an eidetic structure which holds through all variations of its instances. With regard to such foundational complexity, as I have noted, I would argue with Schutz that it is possible to carry out a descriptive phenomenology of the "natural attitude" without carrying everything back to the

transcendental level.

⁴This is not intended to imply that the physician is thereby required to "give up" his scientific understanding of illness as a disease state. Rather, it is to suggest that he perform a temporary "shift in consciousness" from a purely naturalistic construction of the patient's illness to a lifeworld interpretation of the patient's disorder in order to gain a more complete understanding of the illness.

⁵Eric J. Cassell, "The Nature of Suffering and the Goals of Medicine," The New England Journal of Medicine 306 (March 1982): 640.

⁶Robert Murphy, speaking of his progressive paralysis resulting from a tumor of the spinal cord, writes:

From the time my tumor was first diagnosed through my entry into wheelchair life, I had an increasing apprehension that I had lost much more than the full use of my legs. I had also lost a part of my self. It was not just that people acted differently toward me, which they did, but rather that I felt differently toward myself. I had changed in my own mind, in my self-image, and in the basic condition of my existence ... it was a change for the worse, a diminution of everything I used to be ... many give in to the impulse to withdraw ... many other disabled people go forth to battle the world every day, but even they must wage a constant rear-guard action against the backward pull. This is a powerful centripetal force, for it is commonly exacerbated by an altered sense of selfhood, one that has been savaged by the partial destruction of the body. Disability is not simply a physical affair for us; it is our ontology, a condition of our being in the world. (Emphasis mine).

See, Robert F. Murphy, The Body Silent (New York: Henry Holt and Company, Inc., 1987), 85, 90.

⁷Richard M. Zaner, "Chance and Morality: The Dialysis Phenomenon," in The Humanity of the Ill, ed. Victor Kestenbaum (Knoxville, Tennessee: University of Tennessee Press), 50.

⁸Edmund D. Pellegrino, "Being Ill and Being Healed: Some Reflections on the Grounding of Medical Morality," in The Humanity of the Ill, ed. Victor Kestenbaum (Knoxville, Tennessee: University of Tennessee Press), 159.

⁹Eric J. Cassell, Clinical Technique, vol. 2 of Talking With Patients (Cambridge, Mass.: The MIT Press, 1985), 30. Cassell further notes that it is useless simply to tell this patient that carcinoma of the breast is not punishment for some behavior since this aspect of belief and meaning is part of the person.

¹⁰Larry Churchill and Sandra Churchill, "Storytelling in Medical Arenas: The Art of Self Determination," Journal of the American Medical Association 262 (August 1989): 1127. The authors also argue that to insist that such notions are irrational is irrelevant because patient models cluster around the question of the personal meaning of the disease. Consequently, such notions are not irrational but nonrational.

¹¹Drew Leder, "Illness and Exile: Sophocles' Philoctetes." Typescript.

¹²For that matter the transformation to objecthood as a direct result of illness may also manifest itself in the "gaze" of strangers, or even family members, to the extent that the overt signs of illness

draw explicit attention to the malfunctioning object body.

¹³Pellegrino argues that the vulnerability engendered by physical illness is unique in that our capacity to deal with it is severely impaired. That is, whereas other conditions (such as imprisonment, economic deprivation, and so forth) deprive one of the freedom to act, we usually feel that we can cope with these other states of vulnerability if only we have our "health." Health is perceived as a means towards freedom and other primary values. Edmund D. Pellegrino, "Toward a Reconstruction of Medical Morality: The Primacy of the Act of Profession and the Fact of Illness," The Journal of Medicine and Philosophy 4 (March 1979): 45.

¹⁴Pellegrino, "Being Ill and Being Healed," 159.

¹⁵Pellegrino, "Being Ill and Being Healed," 159.

¹⁶For a revealing autobiographical account illustrating this point see, Edward E. Rosenbaum, A Taste of My Own Medicine: When the Doctor is a Patient (New York: Random House, 1988).

¹⁷Eric J. Cassell, "The Function of Medicine," Hastings Center Report (December 1977): 17. For an excellent discussion on the whole question of uncertainty in medicine see, Charles Silberman, Crisis in American Medicine (New York: Grove Weidenfeld, forthcoming). See also, Jay Katz, The Silent World of Doctor and Patient (New York: The Free Press, 1984), 165–206.

¹⁸Cassell, "The Function of Medicine," 17.

¹⁹In this regard Pellegrino argues that a clinical judgment is

made up of three generic questions: What can be wrong? What can be done? What should be done? He argues that "a right healing action for a particular patient" frequently involves the counterposition of what is good scientifically, what the physician thinks is good, and what the patient will accept as good. The answer to the question, "What should be done?" depends on a myriad of factors in the patient's life situation and, particularly, must take into account the patient's value system. Edmund D. Pellegrino, "The Anatomy of Clinical Judgments: Some Notes on Right Reason and Right Action," in Clinical Judgment: A Critical Appraisal, ed. H. Tristram Engelhardt, Jr., Stuart F. Spicker, and Bernard Towers (Dordrecht, Holland: D. Reidel Publishing Company, 1979), 169-94.

²⁰Leo Tolstoy, "The Death of Ivan Ilych," in Story and Structure, 5th edition, ed. Laurence Perrine (New York: Harcourt Brace Jovanovich, 1978), 521, 524.

²¹Murphy, The Body Silent, 63.

²²This point has been well illustrated in a short story by Sartre. Jean-Paul Sartre, "The Wall," in Existentialism from Dostoevsky to Sartre, ed. Walter Kaufmann (Cleveland, Ohio: Meridian Books, 1956), 223-40.

²³H. Tristram Engelhardt, Jr. Mind-Body: A Categorical Relation (The Hague: Martinus Nijhoff, 1973), 38.

²⁴Engelhardt, Mind-Body: A Categorical Relation, 38. It should be noted that under these circumstances, although the body is disclosed as

mechanistic and as a physiological entity, it is less likely to be experienced as uncanny. Rather, in focusing on the mechanistic processes of his physical body, the medical student apprehends it as simply an exemplar of THE human body without necessarily thereby experiencing the existential alienation from self. Of course, existential anxiety can arise from this recognition of the body as a purely physiological entity. Hence, the susceptibility of some medical students to suspect that they are suffering from one or other of the various disorders that they are studying ("medical students' neurosis"). In A Coronary Event, Michael Halberstam (a practicing internist and cardiologist) makes the interesting observation that whereas such existential anxiety on the part of medical students is relatively rare, it is common among practicing physicians.

It takes only a few years of practice for physicians to become uneasily aware that death and illness are not things that happen to others but the condition of all mankind ... After all, thirty-five and forty year old lawyers and professors and farmers die suddenly all the time, and every practicing physician becomes painfully aware of this. Week after week he listens to patients his own age tell how they noticed the first slight chest tightness that finally ended in crushing chest pain. Day after day he visits heart patients his own age in the hospital, watching their pulse rate and blood pressure being kept normal by the grace of medication alone. Hour after hour the doctor reads the medical literature about stress, about hard work, about cholesterol, about the coronary artery disease that was found already developing among eighteen- and twenty-year old soldiers killed in Korea. Minute after minute he feels the beat of his own pulse, the throb of his own blood pressure, the silent tides of life. Like a mechanic whose ears can pick up the street sound of a faulty carburetor in the middle of a Sunday sermon, the physician is always half tuned to the workings of his own body, half ready for the pain and pressure in his own chest that he has heard described a hundred times over by his patients ...

A pretty unmarried nurse I know works in the coronary care unit of a big hospital. For a while she went out with a succession of cardiologists and cardiology residents until she found the whole routine a bit frightening. They all had, she explained, a tendency during moments of passion to experience palpitations, skipped beats, rapid pulse and headache, and to translate these evidences of desire into signs of a coronary.

See, Michael J. Halberstam and Stephan Lesher, A Coronary Event (Philadelphia: J. B. Lippincott Company, 1976), 42-43. This book gives a fascinating narrative account of a heart attack from the point of view of the patient who suffered the attack (Stephan Lesher) and the doctor who treated him (Michael Halberstam).

²⁵Michael A. Schwartz and Osborne Wiggins, "Science, Humanism and the Nature of Medical Practice: A Phenomenological View," Perspectives in Biology and Medicine 28 (Spring 1985): 331-61.

²⁶George L. Engel, "Commentary on Schwartz and Wiggins: Science, Humanism and the Nature of Medical Practice," Perspectives in Biology and Medicine 28 (Spring 1985): 362-66.

²⁷Cassell, Clinical Technique, 46.

²⁸In explicitly attending to the lived experience of the patient in terms of his pre-scientific understanding of everyday life, the physician is doing so within the natural attitude prior to interpreting that experience in terms of the naturalistic attitude.

²⁹Cassell, Clinical Technique, 46-47.

³⁰Engel, "Commentary on Schwartz and Wiggins," 364.

³¹Engel, "Commentary on Schwartz and Wiggins," 364.

³²This is in no way to suggest that it is possible fully to grasp the meaning of another's experience, nor is it to minimize the extent to which the lived experience of the body changes in illness. Sacks notes, for example, that though he tried with all the imagination and empathy he could muster to enter the experience of his patients with Parkinsonism he was finally unable to do so. One cannot, he says, "imagine" Parkinsonism without being Parkinsonian. Oliver Sacks, A Leg to Stand On (New York: Summit Books, 1984), 202. My suggestion is, however, that whereas one cannot fully grasp the experience of being Parkinsonian, one can "imagine" something (albeit incomplete) of the profound threat to one's "being-in-the-world" that such a disorder represents. And one can do this specifically because one is provided with clues in the lived experience of the body.

³³Sacks, A Leg to Stand On, 202-203.

³⁴Churchill and Churchill, "Storytelling in Medical Arenas," 1127.

³⁵Cassell, Clinical Technique, 22.

³⁶Oliver Sacks, "Clinical Tales," Literature and Medicine 5 (1986): 14. Cassell points out that illness stories are different from other stories in that they almost always include two characters - the person and the person's body. Cassell, Clinical Technique, 15.

³⁷Elliot G. Mishler, The Discourse of Medicine: Dialectics of Medical Interviews (New Jersey: Ablex Publishing Corporation, 1984), 14.

³⁸Mishler, The Discourse of Medicine, 70-90.

³⁹Sacks, Clinical Tales, 14.

⁴⁰Cassell, Clinical Technique, 22.

⁴¹In this regard, Cassell notes that hospitalized patients (particularly in a teaching hospital) have been "trained" to tell their story (or give the history) in the way physicians prefer to hear it. That is, the patient emphasizes what doctors seem to find important rather than what he thinks is significant. Cassell, Clinical Technique, 22.

⁴²Cassell, Clinical Technique, 126.

⁴³Arthur Kleinman, The Illness Narratives: Suffering, Healing and the Human Condition (New York: Basic Books, 1988), 239. As was noted in Chapter Two, at the level of "disease" the patient assigns explanatory meaning to his lived experience of illness. Nevertheless, it will be recalled that the patient's conception of his illness in terms of "disease" is significantly different from the physician's concept of the patient's illness as a "disease state." Consequently, the explanatory model of the patient is not identical with the physician's explanatory model.

⁴⁴Kleinman, The Illness Narratives, 239.

⁴⁵Sacks, A Leg to Stand On, 202.

⁴⁶Oliver Sacks, Awakenings (New York: E. P. Dutton, Inc., 1983).

⁴⁷Howard Brody, Stories of Sickness (New Haven: Yale University

Press, 1987).

⁴⁸Murphy, The Body Silent, 87.

⁴⁹Murphy, The Body Silent, 185.

⁵⁰Rita Charon, "Doctor-Patient/Reader-Writer: Learning to Find the Text," Soundings 72 (Spring 1989): 139.

⁵¹Charon, "Doctor-Patient/Reader-Writer: Learning to Find the Text," 137.

⁵²Sacks, Awakenings.

⁵³Murphy, The Body Silent.

⁵⁴Richard J. Baron, "An Introduction to Medical Phenomenology: I Can't Hear You While I'm Listening," Annals of Internal Medicine 103 (October 1985): 606-11.

⁵⁵For an excellent illustration of this contrast see, James H. Buchanan, Patient Encounters: The Experience of Disease (Charlottesville: University Press of Virginia, 1989). In this book Buchanan focuses on 16 diseases not only as they are diagnosed and treated, but as they are suffered by individuals. Prior to telling each illness story, Buchanan sets forth the textbook description of the disease state. The patients' narratives clearly show that the biomedical description captures nothing of the illness experience or the suffering which accompanies it.

⁵⁶John Updike, "From the Journal of a Leper," The New Yorker, July 19, 1976, 28.

⁵⁷Baron, "I Can't Hear You While I'm Listening," 610.

⁵⁸Aleksandr R. Luria, The Mind of a Mnemonist: A Little Book About a Vast Memory, trans. Lynn Solotaroff (Cambridge, Mass: Harvard University Press, 1987); Aleksandr R. Luria, The Man With a Shattered World: The History of a Brain Wound, trans. Lynn Solotaroff (Cambridge, Mass: Harvard University Press, 1972).

⁵⁹Sacks, Awakenings; Oliver Sacks, The Man Who Mistook His Wife for a Hat and Other Clinical Tales (New York: Summit Books, 1985).

⁶⁰Oliver Sacks, A Leg to Stand On.

⁶¹Christopher Nolan, Under the Eye of the Clock (New York: St. Martin's Press, Inc., 1987).

⁶²John Carey, "Preface," in Under the Eye of the Clock, xii.

⁶³See particularly, David Rabin and Pauline L. Rabin, To Provide Safe Passage: The Humanistic Aspects of Medicine (New York: Philosophical Library, 1985); Harvey Mandell and Howard Spiro, eds., When Doctors Get Sick (New York: Plenum Publishing Corporation, 1987); Martha W. Lear, Heartsounds (New York: Simon and Schuster, 1980); Edward E. Rosenbaum, A Taste of My Own Medicine: When the Doctor is the Patient; Fitzhugh Mullan, Vital Signs: A Young Doctor's Struggle With Cancer (New York: Farrar Straus Giroux, 1975); Oliver Sacks, A Leg to Stand On.

⁶⁴Alfred Schutz, "Symbol, Reality and Society," in The Problem of Social Reality, ed. Maurice Natanson, vol. 1 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1962), 318.

⁶⁵Alfred Schutz, "Making Music Together: A Study in Social Relationship," in Studies in Social Theory, ed. Arvid Brodersen, Vol. 2 of Alfred Schutz: Collected Papers (The Hague: Martinus Nijhoff, 1976), 171-72.

⁶⁶Schutz notes that there are, of course, other social relations (such as the world of my contemporaries, the world of my predecessors and the world of my successors) but he argues that the face-to-face relation is the most central dimension of the social world. Schutz, "Symbol, Reality and Society," 318.

⁶⁷Schutz, "Symbol, Reality and Society," 317.

⁶⁸Following Husserl, Schutz notes that the Other is from the outset given to me as both a material object with its position in space and as a subject with its psychological life (the psychological life being appresented rather than given in an originary presence).

⁶⁹It should, of course, be noted that in the case of preventive medicine (where the patient does not come to the physician because he is experiencing illness) the relationship takes on a different character. In this case the end of the patient-physician relationship is not a restoration of bodily integrity but the maintenance of bodily integrity. I would argue, however, that this type of physician-patient relationship is a derivative relationship and the primary physician-patient relationship is the one so described.

⁷⁰Edmund D. Pellegrino, "The Anatomy of Clinical Judgments," 171.

⁷¹Pellegrino, "The Anatomy of Clinical Judgments," 172.

⁷²In this respect it is important to note that, as healer, the physician functions not only as scientist but as colleague in the physician/patient relationship. An adequate understanding of the patient's lived experience is important both for the physician acknowledging the patient as person (i.e. in his role as colleague) and for the physician treating the patient qua scientist (i.e. the physician must have an adequate understanding of the lived experience in order to bring to bear his scientific knowledge in devising effective therapy for the patient).

⁷³Richard J. Baron, "Bridging Clinical Distance: An Empathic Rediscovery of the Known," The Journal of Medicine and Philosophy 6 (February 1981): 5; G. W. Thorn, et al. Harrison's Principles of Internal Medicine, 8th edition (New York: McGraw Hill, 1977), 2.

⁷⁴Eric J. Casell, "The Function of Medicine," 18.

⁷⁵Eric J. Cassell, The Healer's Art (New York: J. B. Lippincott, 1966), 149; Kleinman, The Illness Narratives, 47; Drew Leder, "Medicine and the Paradigms of Embodiment," The Journal of Medicine and Philosophy 9 (February 1984): 35.

⁷⁶Kleinman, The Illness Narratives, 249.

⁷⁷Kleinman, The Illness Narratives, 229.

⁷⁸H. Tristram Engelhardt, "The Subordination of the Clinic," in Value Conflicts in Health Care Delivery, ed. Bart Gruzalski and Carl Tulson (Cambridge, Mass.: Ballinger Publishing Company, 1982), 41.

⁷⁹Engelhardt, "The Subordination of the Clinic," 53.

⁸⁰Engelhardt, "The Subordination of the Clinic," 52; Ian R. McWhinney, "Changing Models: The Impact of Kuhn's Theory on Medicine," Family Practice 1 (1983): 5.

⁸¹McWhinney, "Changing Models," 5; John H. Watson, Harold C. Sox and Carol H. Sox, "The Diagnosis of Abdominal Pain in Ambulatory Male Patients," Medical Decision Making 1 (1981): 215-24; Martin J. Bass, Brendan Dempsey, and Ian R. McWhinney, "The Natural History of Headache in Family Practice," Paper presented at the World Organization of National Colleges and Academies of General Practitioners, Singapore, 1983; Sharon Blacklock, "The Symptom of Chest Pain in Family Practice," Journal of Family Practice 4 (1977): 429-33.

⁸²As Martha Weinman Lear notes of her physician-husband fighting his own heart disease:

[I]t was the medical voice coming through. It was the neat surgical mind demanding an adversary, an enemy, a pathology, recognizable forces of death and disease against which he might pit his own skills. They were trained like that, to anthropomorphize disease. Some diseases were enemies you could not vanquish: terminal cancers, inexorable progressions downward. Others were mischievous little bastards - sleepers, simple prostates and kidney stones that should have been an easy win but might put up a hell of a fight, even to the death ... "Where is my adversary?" It was not a thing, not a germ, not a kidney stone, not a cancer or an infection. It was simply this process which was wearing him out, filling him with pain and frustration, and he wanted to fight it aggressively, as he had been trained to do.

See, Lear, Heartsounds.

⁸³Martin D. Netsky, "Dying in a System of 'Good Care': Case

Report and Analysis," Pharos (April 1976): 57-61.

⁸⁴Cassell, "The Function of Medicine," 18; McWhinney argues that the emphasis on "objective" knowledge in medicine has resulted in the tendency for medicine to be dominated by mechanistic values. He suggests that, whereas there are undoubtedly benefits to advanced technology, technology is harmful when its values override other human values without any substantial net benefit. He argues that the dominance of mechanistic over other values in medicine results in such consequences as inappropriate routines of investigation, unnecessary precision, spurious objectivity, redundant investigation, selective inattention to information and inappropriate standardization. Ian R. McWhinney, "Medical Knowledge and the Rise of Technology," The Journal of Medicine and Philosophy 3 (December 1978): 299.

⁸⁵Sandoz Stoddard, The Hospice Movement: A Better Way of Caring for the Dying (New York: Stein and Day Publishers, 1978), 2; Pauline L. Rabin, David Rabin and Roni C. Rabin, "Compounding the Ordeal of ALS: Isolation from My Fellow Physicians," in To Provide Safe Passage: The Humanistic Aspects of Medicine, ed. Pauline L. Rabin and David Rabin (New York: Philosophical Library Inc., 1985), 29-37; Lear, Heartsounds.

⁸⁶Stoddard, The Hospice Movement, 21.

⁸⁷Richard M. Zaner, "A Philosopher Reflects: A Play Against Night's Advance," in To Provide Safe Passage: The Humanistic Aspects of Medicine, ed. Pauline L. Rabin and David Rabin (New York: Philosophical Library Inc., 1985), 240.

⁸⁸Perri Klass, A Not Entirely Benign Procedure (New York: Signet Books, 1987), 72. See also, William Donnelly, "Medical Language as Symptom: Doctor Talk in Teaching Hospitals," Perspectives in Biology and Medicine 30 (Autumn 1986): 81-94.

⁸⁹As a person living with incurable illness, and more particularly with multiple sclerosis, I can attest to the fact that the physician's participation is crucial in assisting the patient to retain control and cope with the realities of his illness. Without such participation on the part of the physician, the patient often feels helpless in the face of circumstance.

⁹⁰Cassell, The Healer's Art, 149.

⁹¹Cassell, The Healer's Art, 149.

⁹²Cassell, The Healer's Art, 200.

⁹³Cicely Saunders, quoted in Sandol Stoddard, The Hospice Movement, 75.

⁹⁴Edmund D. Pellegrino, "The Healing Relationship: The Architectonics of Clinical Medicine," in The Clinical Encounter: The Moral Fabric of the Patient-Physician Relationship, ed. Earl Shelp (Dordrecht, Holland: D. Reidel Publishing Company, 1983), 162-63.

⁹⁵Pellegrino, "The Healing Relationship," 162-63.

⁹⁶Stephen Toulmin, "On the Nature of the Physician's Understanding," The Journal of Medicine and Philosophy 1 (March 1976): 46-47. In contrast, says Toulmin, as biomedical scientist the

physician's understanding, like all scientific understanding, will remain entirely general: "His questions - qua scientific - are entirely general questions about THE brain, THE liver, etc. ... This being so, his interest in particular patients will be minimal and accidental: the more of his research he can do with laboratory animals or in vitro, the better." Engelhardt argues that we must make a distinction between the basic sciences as very successful explanatory and predictive exercises in their own right and basic sciences as auxiliary to the social goals and individual interests that direct medicine as an applied science. He suggests that both the clinical approach and the basic scientific approach are onesided and incomplete - that is, each requires the other. Medicine developed explanatory models in order better to treat patients' complaints - the former being secondary to the latter. Engelhardt, "The Subordination of the Clinic," 53.

⁹⁷Pellegrino, Cassell and others argue that indeed medicine has two obligations: the relief of human suffering and the prolongation of human life. Since the latter is not always possible, the former is equally important. Pellegrino, "The Anatomy of Clinical Judgments"; Cassell, "The Function of Medicine"; Eric J. Cassell, "The Nature of Suffering and the Goals of Medicine"; George L. Engel, "Physician-Scientists and Scientific Physicians: Resolving the Humanism-Science Dichotomy," The American Journal of Medicine 82 (January 1987): 107-11; Ian R. McWhinney, "Are We On the Brink of a Major Transformation of Clinical Method?" Canadian Medical Association Journal 135 (October 1986): 873-78.

⁹⁸Eric J. Cassell, The Nature of Suffering and the Goals of Medicine (forthcoming).

⁹⁹Cassell, The Nature of Suffering and the Goals of Medicine.

¹⁰⁰Cassell, "The Function of Medicine," 19.

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Nevertheless, his goals coincided with those of most of the majority members, thereby reducing the levels of conflict. Because he was willing to play within the committee rules and because generally he agreed with his majority committee colleagues on the direction that education and labor legislation ought to take, Perkins had few problems. Since he cooperated with his colleagues and they with him, the committee was not under sufficient stress to necessitate a third revolt to disarm the chairman.

Reliance on Institutional Resources

When Perkins took the helm of Education and Labor, he faced a significantly different situation than did either of his predecessors. He had few institutional prerogatives at his disposal. Writing shortly afterward, Fenno (1973, 287) referred to the chairmanship of this committee as "institutionally feeble." The abundance of rules adopted to hogtie Powell (in his last year as chairman) instead constrained Perkins, decentralized the committee structure and operations, and forced the chairman to resort to ways to exert his influence that would not conflict with the committee rules -- largely by way of personal factors. He employed what institutional resources he had, however, differently from his predecessors.

Use of Subcommittees. Some chairmen strictly limited the use of subcommittees. For instance, Ways and Means had no subcommittees for years, allowing the chairman to have full control of all the legislation referred to the committee (Manley 1969). In Judiciary, Chairman Emanuel Celler (D-NY) often used his discretionary power to avoid referring legislation to the appropriate subcommittee, leaving bills to die